# KANSAS CORPORATION COMMISSION

# Procedures for Safety Compliance



Transportation Division 1500 SW Arrowhead Road Topeka, KS 66604-4027

September 2015

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Please call the Kansas Corporation Commission if you have questions about any of the following:

Interstate Authority.....Intrastate Authority.....Private Carrier Authority.....DOT Numbers.....Unified Carrier Registration.....Miscellaneous Questions.........Please call (785) 271-3145

You may visit out website at <u>kcc.ks.gov</u>. When you get to the KCC homepage, click on Motor Carriers, this will show information regarding Safety, Publications and Helpful Links.

To access KCC registration information click on Motor Carrier Forms & Applications. Each application has an instruction sheet and phone numbers to call with questions or concerns regarding any application.

Kansas Trucking Connection 1500 SW Arrowhead Road Topeka, KS 66604-4027 www.truckingKS.org

September 2015

# KANSAS

CORPORATION COMMISSION

KATHLEEN SEBELIUS, GOVERNOR

BRIAN J. MOLINE, CHAIR
ROBERT E. KREHBIEL, COMMISSIONER
MICHAEL C. MOFFET, COMMISSIONER

January 2, 2006

The Kansas Corporation Commission (KCC) and the Kansas Highway Patrol (KHP) are joining efforts to enhance the Kansas Safety Compliance Program with one goal in mind, to reduce the number of injury/fatality accidents involving Commercial Motor Vehicles. This joint effort will result in formation of a Civil Assessment Penalty Program for noncompliance with safety regulations. Under the program, drivers and carriers may expect to see the following changes:

**New KHP Policy "Driver":** Effective January 1, 2006, KHP officers have been trained and instructed to issue misdemeanor citations to drivers for the applicable out-of-service (OOS) violations of the Federal Motor Carrier Safety Regulations (FMCSR) discovered during roadside inspections as determined by the CVSA, North American Standard Out-Of-Service Criteria. This change in policy emphasizes the driver's role, understanding and responsibility, and holds them accountable and liable for areas under their purview.

**New KCC Civil Assessment Project "Motor Carrier":** Effective April 1, 2006, the KCC will assess civil penalties to all motor carriers for the applicable OOS violations of the FMCSR's discovered during roadside inspections as determined by the CVSA, North American Standard Out-Of-Service Criteria. This new program emphasizes the responsibility of motor carriers, and holds them accountable and liable for areas under their purview.

If a driver is issued a misdemeanor citation for an OOS violation, there will be no additional civil penalty assessed to the motor carrier for the same OOS violation. KCC will only issue one civil penalty fee for each OOS violation category. For example:

- The tractor of a combination vehicle is placed OOS for an air brake lining with a thickness less than 1/4 inch, as well as OOS for brake adjustment limits and the trailer is also placed OOS for brake adjustment limits, the company will be issued three OOS violations but only fined for one brake OOS.
- Scenario two, a driver is placed OOS for three OOS violations discovered during a roadside inspection. The OOS violations include an 11-hour rule, a 14-hour rule and a 70-hour rule violation. The driver will be issued a misdemeanor citation for the 11-hour OOS violation, the company will be issued three OOS violations, and because the driver will be cited for the 11-hour OOS violation, the company will not be issued a civil penalty.

As stated above, the KCC and KHP are joining efforts to reduce the number of injury/fatality accidents involving Commercial Motor Vehicles. This joint enforcement project will allow both the KCC and KHP to focus our resources on unsafe drivers and motor carriers, resulting in a more effective, efficient and safe environment for the motoring public. If you have any questions regarding this program, feel free to contact one of the agencies as indicated below. We appreciate your continued support in making the highways in the state of Kansas safer for everyone.

Sincerely,

Mike J. Hoeme, Director Transportation Division

(785) 271-3333 (785)

Daniel D. Meyer, Captain Kansas Highway Patrol, Troop I 296-7189

Lanel D. Meyle

### **Important FMCSA Websites**

This page highlights links in question-and-answer format on specific subject matter most frequently requested by the public. The variety of topics featured can assist you in finding information you need to know about a particular Federal Motor Carrier Safety Administration program or activity. Please look for the question(s) you are interested in and go to the appropriate Website. We hope you find this information helpful.

- 1) What is the Federal Motor Carrier Safety Administration's official Website address? <a href="https://www.fmcsa.dot.gov">www.fmcsa.dot.gov</a>
- 2) How can I locate the nearest field office? http://www.fmcsa.dot.gov/mission/field-offices
- 3) Where can I obtain information about Federal safety regulations and interpretations? <a href="http://www.fmcsa.dot.gov/regulations">http://www.fmcsa.dot.gov/regulations</a>
- 4) Where can I find information about the transportation of Hazardous materials? <a href="http://hazmat.dot.gov">http://hazmat.dot.gov</a>
- 5) How do I obtain a US DOT number? www.fmcsa.dot.gov/factsfigs/formspubs.htm.
- 6) Where can I find statistics and analysis regarding the truck and bus industry? http://ai.volpe.dot.gov/
- 7) How can I obtain a motor carrier's Company Snapshot, i.e., safety ratings, inspections, and accident summary data? <a href="http://www.safersys.org">http://www.safersys.org</a>
- 8) How do I report safety violations? <a href="http://www.fmcsa.dot.gov/consumer-protection/report-safety-violations">http://www.fmcsa.dot.gov/consumer-protection/report-safety-violations</a>
- 9) Where do I update my USDOT number annually, change the name and address of my business, or record increase or decrease in the size of my company online? <a href="https://lipublic.fmcsa.dot.gov/LIVIEW/PKG">https://lipublic.fmcsa.dot.gov/LIVIEW/PKG</a> REGISTRATION.prc option
- 10) Where can I find the latest information about safety programs? http://www.fmcsa.dot.gov/resources-for-carrier-companies
- 11) How can I obtain the latest research and analysis on truck and bus safety? <a href="http://www.fmcsa.dot.gov/safety/research-and-analysis/publications?keywords=&title=&author=&year=&to=&page=0">http://www.fmcsa.dot.gov/safety/research-and-analysis/publications?keywords=&title=&author=&year=&to=&page=0</a>
- 12) Where can I find consumer information about moving household goods? http://www.fmcsa.dot.gov/consumer-protection/household-goods/protect-your-move

### MOTOR CARRIERS WHO NEED A CERTIFICATE, LICENSE, OR PERMIT

**Private Carrier Definition:** Any person who provides transportation of

property or passengers, by commercial vehicle

and is not a for hire motor carrier

**Common Carrier Definition:** Any person who holds himself out to the public

as willing to undertake for-hire to transport by motor vehicle, from place to place, the property of others who may choose to employ him

### **Authority Types Defined:**

1, defines Commercial Motor Vehicle as:

**Pri-D** A motor carrier based in Kansas operating beyond 25 miles from their office headquarters

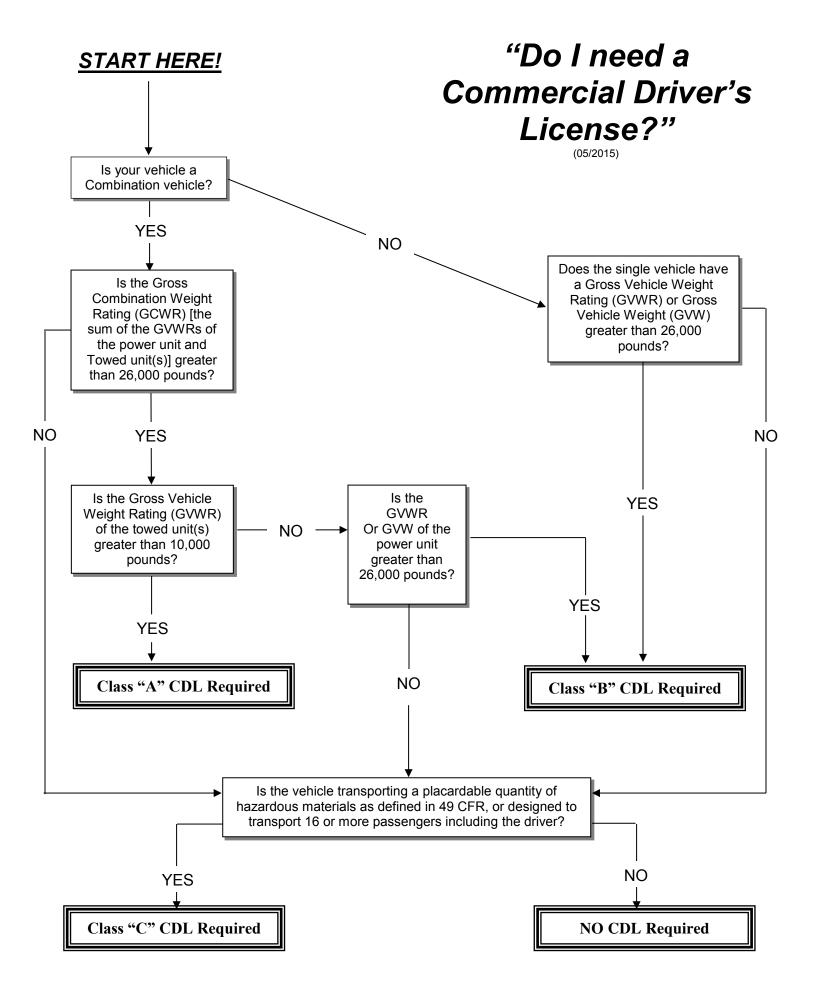
**Pri-F** A motor carrier based outside of Kansas operating beyond 25 miles from their office headquarters

**Kan-C** A person transporting property or passengers for-hire point to point in Kansas

Kansas Corporation Commission and The Federal Motor Carrier Safety Administration (FMCSA) Title 49 Part 390.5 as adopted by the KCC in 82-4-

Any self-propelled or towed motor vehicle used on a highway in interstate and/or intrastate commerce to transport passengers or property when the vehicle;

- (1) Has a gross vehicle weight rating or gross combination weight rating, or gross vehicle weight or gross combination weight, of 4,536 kg (10,001 pounds) or more, whichever is greater; or
- (2) Is designed or used to transport more than 8 passengers (including the driver) for compensation; or
- (3) Is designed or used to transport more than 15 passengers, including the driver, and is not used to transport passengers for compensation; or
- (4) Is used in transporting material found by the Secretary of Transportation to be hazardous under 49 U.S.C. 5103 and transported in a quantity requiring placarding under regulations prescribed by the Secretary under 49 CFR, subtitle B, chapter I, subchapter C.



# Commercial Driver's License CDL CLASSES, EXEMPTIONS, RESTRICTIONS & ENDORSEMENTS

See below for enforcement statutes

### CDL CLASSES - 8-234b

<u>Class A</u> Combination vehicles with GVWR of 26,001 lbs. or more **AND** towed vehicle(s) have a combined GVWR over 10,000 lbs.

Class B Single vehicles with GVWR of 26,001 lbs. or more OR such vehicle towing a vehicle with GVWR of 10,000 lbs. or less.

<u>Class C</u> Single vehicles with GVWR under 26,001 lbs., **OR** such vehicle towing a vehicle with GVWR over 10,000 lbs. **IF** vehicles combined GVWR is less than 26,001 lbs. Includes vehicles:

Designed to transport 16 or more passengers including driver.

\* Used to transport hazardous materials required to be placarded.

### CDL EXEMPTIONS - 8-2,127

- (a) Farm vehicles, provided:
  - (1) Vehicle is registered as a farm truck or truck tractor.
  - (2) Vehicle is transporting agricultural products, farm machinery, or farm supplies to or from a farm.
  - (3) Vehicle isn't being used in contract or common carrier operation.

### AND

- (4) Vehicle is used either (A) In intrastate commerce; or (B) in interstate commerce within 150 air miles of farm(s) owned or leased by the vehicle's registered owner.
- (b) Fire fighting equipment.
- (c) Military vehicles operated by military persons.
- (d) Vehicles used solely for private and noncommercial use.
- (e) (New) Farm tractors operated by an implement dealer, or employee thereof, when moved or transported in accordance with 8-1911

### Interstate Farmers;

- Vehicle is registered or otherwise designated, as a farm truck or truck tractor.
- (2) Vehicle is transporting agricultural products, farm machinery, or farm supplies to or from a farm.
- (3) Vehicle isn't being used in contract or common carrier operation. AND
- (4) The vehicle with a GVWR or GCWR greater than 26,001 is operated within 150 air miles of farm(s) owned or leased by the vehicle's registered owner.

NOTE: If the GVWR or GCWR is 26,001, then there is no mileage limitation

### CFV Exceptions are found in 383.3(h)

### CDL RESTRICTIONS - 8-2,135

The below codes are seen on the computer printout of a DL/CDL, but are normally spelled out on the DL/CDL.

B Corrective lenses required

C Mechanical aid required

D Prosthetic aid required

E Automatic transmission only

F Outside mirrors

G Daylight hours only

H For employment only

I Limited – other

K Intrastate travel only (CDL only)

L Without airbrakes only (CDL only)

M CDL class C & B busses only

N CDL class C busses only

O No tractor trailer combination

V Medical variance (SPE)

Z No Full air brake equipped CMV (CDL only)

### KANSAS MEDICAL CODE GUIDE

NI - Non-Excepted Interstate (Card Required)

NA - Non-Excepted Intrastate (Card Required)

EI - Excepted Interstate (Not Required)

**EA** – Excepted Intrastate (Not Required)

K.S.A. 8-2,133

### CDL ENDORSEMENTS – 8-2,135

- H Hazardous materials (If required placards)
- T Double and triple trailers
- P Passenger vehicles (busses)
  N Tank vehicles: Tank or tanks
  - Tank vehicles; Tank or tanks (liquids/gases, individually more than 119 gals and aggregate of 1,000 gals or more). Empty Storage Tank temporarily moved does not require Endorsement. Any bulk package manifested as "empty or residue" is also exempt this endorsement.
- X Combination tank and Hazardous materials vehicles
- S School bus

### **CDL STATUTES**

- **8-2,129** Driver of commercial vehicle may possess only one CDL, except during 10 day period beginning when issued a new driver's license
- **8-2,132(a)** Operator of a commercial vehicle must have CDL proper class and endorsements for the vehicle being driven. (Use KSA 8-2,132(a) for NTA and appropriate CFR on MVE-2)
- 8-2,132(b) No person shall drive a commercial motor vehicle while their driving privilege is suspended, revoked or canceled, while subject to a disqualification. (Use KSA 8-2,132(b) for NTA and 383.23(a)(2) on MVE-2)
- **8-2,132(c)** No person shall drive a commercial motor vehicle in violation of an out-of-service order. (Use KSA 8-2,132(c) for NTA and appropriate CFR on MVE-2)
- 8-2,136(a) Notwithstanding any other provisions of this act, a driver shall not drive, operate or be in physical control of a commercial motor vehicle while having alcohol in such driver's system. (Use KSA 8-2,136(a) for NTA and 392.5(a) on MVE-2)
- **8-2,136(b)** Commercial driver shall be placed out of service for 24 hours for any amount of alcohol in their system or for refusing to submit to a test to determine blood alcohol content. (Use KSA 8-2,132(c) for NTA and 392.5(c) on MVE-2 for violation of OOS order)
- **8-2,142** Driver disqualified from operating a commercial vehicle for:

  Reference Vehicle Law book
- 8-2,144(a) A driver of a commercial vehicle shall be considered as driving while under the influence of alcohol if a blood alcohol test indicates an alcohol level of .04 or more. (Use KSA 8-2,144(a) for NTA and 392.5(a) on MVE-2)
- 8-2,128 DEFINITIONS OF "SERIOUS TRAFFIC VIOLATIONS"
  - (1) Excessive speeding is defined as 15 miles per hour or more over the posted speed limit;
  - (2) reckless driving, as defined under K.S.A. 8-1566, and amendments thereto;
  - (3) a violation of any state or local law relating to motor vehicle traffic control, other than a parking violation, arising in connection with an accident or collision resulting in death to any person.
  - (4) changing lanes of traffic illegally or erratically, as defined under K.S.A. 8-1548, and amendments thereto;
  - (5) following another vehicle too closely, as defined under K.S.A. 8-1523, and amendments thereto;
  - (6) a violation of subsection (a) of K.S.A. 8-2,132, and amendments thereto; or
  - (7) any other violation of a state or local law relating to motor vehicle traffic control, other than a parking violation, which the secretary determines by rule and regulation to be serious.

### UNIFIED CARRIER REGISTRATION SYSTEM

### **NOTICE**

Any motor carrier or other entity that is required to obtain a USDOT, FF, MC or MX number and/or is required to register with FMCSA, or should be registered as an interstate or international commerce carrier, is subject to the UCR Agreement. This includes all interstate for-hire motor carriers transporting property or passengers, interstate motor private carriers of property, brokers, freight forwarders, and leasing companies.

Motor Carrier: The Unified Carrier Registration (UCR) System requires individuals and companies that operate commercial motor vehicles in interstate or international commerce to register their business and pay an annual fee based on the size of their fleet. A commercial motor vehicle (as defined under 49 USC Section 31101) means a self-propelled vehicle used on the highways in commerce principally to transport passengers or cargo, if the vehicle: (1) Has a gross vehicle weight rating or gross vehicle weight of at least 10,001 pounds, whichever is greater; (2) Is designed to transport more than 10 passengers, including the driver; or (3) Is used in transporting material found by the Secretary of Transportation to be hazardous under section 5103 of this title and transported in a quantity requiring placards under regulations prescribed by the Secretary under section 5103.

**Small vehicle**: Interstate for-hire motor carriers transporting property or passengers and interstate motor private carriers of property operating only small vehicles (gross vehicle weight rating or gross vehicle weight of 10,000 pounds or less, or designed to transport 10 passengers or less, including the driver) are subject to pay UCR fees. If the carrier only operated these small vehicles, the fleet size for UCR purposes would be zero and the carrier would register and pay the lowest fee category (Bracket B1).

**Other entity**: Brokers, freight forwarders, or leasing companies are required to pay the UCR fee at the lowest fee category (Bracket B1).

	UCR Fee Bracket							
Bracket	Number of self- propelled CMVs owned or operated by motor carrier, or motor private carrier of property	Fee per company for motor carrier, or motor private carrier of property	Fee per company for broker, freight forwarder or leasing company					
B1	0-2	\$76	\$76					
B2	3-5	\$227						
В3	6-20	\$452						
B4	21-100	\$1,576						
B5	101-1,000	\$7,511						
В6	1,001 and more	\$73,346						

Registration begins October 1. States may begin enforcement January 1 of the following year.

Online registration can be processed at <a href="www.ucr.in.gov">www.ucr.in.gov</a>. In the right-hand column, click on Start UCR Registration. Click the radio button for New Applicant or Renew Your Unified Carrier Registration (UCR). From here, each screen will ask pertinent information to your company.

If online service is not available, registration may be completed by mail or in person with the Kansas Corporation Commission, 1500 SW Arrowhead Road, Topeka, Kansas 66604. The application must be completed and attached with the payment. The Kansas Corporation Commission accepts checks, credit card (Visa/MasterCard/Discover/American Express), and cash if making the payment in person.

If you have questions, please do not hesitate to contact our office at 785.271.3145. Our office hours are 8 am to 5 pm, Monday – Friday.

### Part 391 - DRIVER OUALIFICATION FILE - CHECK LIST For a complete description of each item, see pages 4a & 5. Driver Application for Employment (Part 391.21) pages 8&9 Pre-employment check of driving record, Motor Vehicle Record (MVR)(Part 391.23(a)(1) and (b)) pages 10&10a 2. \_\_\_\_ 3. \_\_\_ Pre-employment driver Safety Performance History check (Part 391.23(a)(2) pages 11&12 Annual MVR check for three years of driving record (Part 391.25(a) every year pages 10&10a Drivers physical qualifications, Medical examiner's certificate (MEC)(Part 391.43) (NOTE: The driver must have a 5. copy of the MEC in his/her possession while driving, Part 391.41, unless they are an interstate CDL driver who must submit a copy of the MEC to the State of CDL). Company needs to note verification of the MEC listing on the National Registry of Medical Examiners (391.23(m)(1). It is suggested you retain a copy of the medical examination report form in a separate confidential medical file. http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical Examination Report for Commercial Driver Fitness Determination 649-F%286045%29.pdf http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical Examiners Certificate.pdf Driver's road test - Certifications of road test (Part 391.31) or copy of CDL except for tank and double/triple combination vehicles (Part 391.33) pages 14&15 Carriers Driver Statement of On-duty Hours (Part 395.8(j)(2)) page 16 7. Multiple-Employer Driver only: Driver Qualification File checklist page 17 9. Annual driver's certification of violations (Part 391.27) page 18 10. \_\_\_\_ Annual review of driving record (Part 391.25) (c) (2) page 18 11. \_\_\_\_ CDL drivers only: Pre-employment & random alcohol and controlled substance testing (382.301) and (382.305) 12. **CDL drivers only:** Entry –level driver training certification (380.503) page 18a PART 393 – EMERGENCY EQUIPMENT (Equipment required in a CMV) Three (3) reflective triangles. A mounted 5 B:C fire extinguisher or two (2) 4 B:C fire extinguishers that are properly filled and accessible, or a mounted 10 B:C fire extinguisher for CMVs used to transport Hazardous Materials requiring a placard. Spare fuses if the vehicle does not have circuit breakers. PART 395 - HOURS OF SERVICE OF DRIVERS Retention of record of duty status (logbook) for six months at principal place of business (395.8(k)(1)) Retention of time records for six months of short haul operations (100 air miles for CDL vehicles and 150 air mile for non-CDL vehicles) showing starting time, ending time and total hours working (395.1(e)) pages 20a & 20b PART 396 - INSPECTION, REPAIR AND MAINTENANCE

PART 390 - ACCIDENT FILE							
3	An annual inspection must be completed on each commercial vehicle (396.17)						
2	2 Daily post-trip inspection on each CMV must be completed and maintained for ninety days (396.11) page 30						
1	Records of maintenance and inspection must be maintained on each commercial vehicle for one year plus six months after vehicle leaves carrier's control (396.3) <i>pages 27,28 &amp; 29</i>						

Accident record file maintained for 3 years after an accident occurs (390.15) page 31

### PART 390 - MCS-150

2	Every 12 months must be updated (390.19).
3	Marking of vehicle required (390.21) <i>Legal Name &amp; USDOT(your number)</i> & K.S.A. 8-143e: requires vehicles registered at the County for more than 12M to include: <i>City, State and gross registered weight (GRW)</i>

Registration required for Intrastate and Interstate before you begin operations using CMVs.

### INSTRUCTIONS FOR COMPLETION OF FORMS

### 1. MOTOR CARRIER COMPLIANCE CHECKLIST (page 4):

This form provides a one page checklist for recording completion of: the required driver qualification forms; hours of service document retention requirements; vehicle inspection requirements; accident information; and updating the MCS-150. Alcohol and controlled substance program documents may be recorded, but must be retained in a separate file if this driver qualification file is not handled in a confidential manner (page 6a).

### 2. APPLICATION FOR EMPLOYMENT (pages 8 & 9):

(Part 391.21) An employment application must be completed by every applicant seeking to drive a commercial motor vehicle. The application for employment shall be made on a form furnished by the motor carrier (a copy of the form is on pages 8 & 9). Each application form must be completed and signed by the applicant and must contain the information as outlined in Section 391.21.

Before an application is submitted, the motor carrier shall inform the applicant that the information he/she provides may be used and the applicant's prior employers may be contacted for the purpose of investigating the applicant's background. The form must be retained in the driver's qualification file for three years after the person's employment by the motor carrier ceases.

### 3. CHECK OF DRIVING RECORD - MOTOR VEHICLE RECORD (MVR) (pages 10 & 10a):

(Part 391.23) (a) (1) Each motor carrier is required to investigate the driving record, for the preceding three years, of each driver-applicant. The appropriate agency of every state in which the driver held a motor vehicle operator's license or permit during those three years should be contacted within thirty days of the date employment begins. The Kansas MVR request form is found on page 10 of this book or may be obtained online at: <a href="http://www.accesskansas.org/ssrv-mvr-ltd/">http://www.accesskansas.org/ssrv-mvr-ltd/</a>. A copy of the response of each state agency showing the driver's driving record or certifying that no driving record exists for that driver, shall be retained in the carrier's files as part of the driver's qualification file. The responses must be retained in the file for three years after employment by the motor carrier ceases. (pages 10 & 10a)

NOTE: Part 391.25 (a) requires the motor carrier to obtain the driver's MVR annually.

### 4. REQUEST FOR CHECK OF PREVIOUS EMPLOYER (pages 11 & 12):

(Part 391.23) (a)(2) and (c) (1) Each motor carrier must investigate the driver's safety performance history during the preceding three years. The investigations may consist of personal interviews, letters or any method of obtaining information that the carrier deems appropriate and shall be made within thirty days of the date his/her employment begins. The information that must be checked is the prospective driver's accident history for the previous three years and whether the driver has ever tested positive or refused a DOT required drug or alcohol test. A written record must be kept with respect to each past employer who was contacted along with the past employer's name and address, the date he/she was contacted, and his/her comments with respect to the driver. The record shall be retained in the driver's safety performance history file for three years after the person's employment with the motor carrier ceases. (pages 11 & 12)

### 5. DRIVER'S PHYSICAL EXAMINATION:

(Parts 391.41, 391.43 & 391.45) All persons operating a commercial motor vehicle are required to obtain a physical examination at least every twenty-four months that shall be recorded on the FMCSA medical examination report form and medical examiner's certificate. The forms may be found at the following websites: Medical Examiner's Certificate: <a href="http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical\_Examiners\_Certificate.pdf">http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical\_Examiners\_Certificate.pdf</a> Medical Examination Report form:

http://www.fmcsa.dot.gov/sites/fmcsa.dot.gov/files/docs/Medical\_Examination\_Report\_for\_Commercial\_Driver\_Fitness\_Determination\_649-F%286045%29.pdf

All INTERstate medical examinations must be conducted by a medical examiner listed on the National Registry of Certified Medical Examiners. All INTRAstate medical examinations must be conducted by a qualified medical examiner.

A copy of the medical examiner's certificate shall be retained in the driver qualification file for three years from the date of execution **except for** INTERstate CDL drivers who need to file a copy with the State CDL department. The employer must verify the Interstate CDL driver's certification by obtaining an MVR annually. All other Non-Interstate CDL operators must carry a copy of the certificate at all times. If the medical examination report form is provided to the company by the driver, it should be retained in a confidential file because it contains medical information.

### INSTRUCTIONS FOR COMPLETION OF FORMS (CONTINUED )

### 6. RECORD AND CERTIFICATE OF ROAD TEST (Pages 14 & 15):

(Part 391.31) A road test shall be given by a person who is competent to evaluate and determine whether the prospective driver who takes the test has demonstrated that he/she is capable of safely operating the vehicle and the associated equipment that the motor carrier intends to assign him/her. The test evaluator shall rate the performance of the driver at each operation or activity that is part of the test. After the evaluator completes the form, the person who administered the test shall sign it. The original copy of the road test is retained in the driver qualification file. The information must be retained by the Motor Carrier for three years after the person's employment by them ceases. (pages 14 & 15)

**NOTE:** (Part 391.33) Driver's who have a CDL can provide a copy of the CDL and a motor carrier may accept the CDL as equivalent to a road test **except** in the case of a tank vehicle or a double/triple trailer.

### 7. RECORD OF VIOLATIONS CERTIFICATE (page 18):

(Part 391.27) At least every twelve months a motor carrier shall require each driver it employs to prepare and furnish it with a list of all violations of motor traffic laws and ordinances (other than violations involving only parking) of which the driver has been convicted or, on account of which he has forfeited bond or collateral during the preceding twelve months. If the driver has not been convicted of, or forfeited bond or collateral on account of, any violation, which must be listed, he/she shall so certify. The motor carrier shall retain the list or certificate or a copy of it in its files as part of the driver's qualification file. (page 18)

### 8. RECORD OF ANNUAL REVIEW CERTIFICATE (page 18):

Each motor carrier shall, at least once every twelve months review the driving record of each driver it employs to determine whether that driver meets minimum requirements for safe driving following review of the driver's MVR and his list of violations or is disqualified to drive pursuant to Section 391.15. The review shall be signed and dated by the reviewer and the form placed in the driver qualification file. The records may be removed from the driver's qualification file three years after date of execution. (page 18)

### 9. CARRIERS DRIVER STATEMENT OF ON-DUTY HOURS (page 16):

(Part 395.8(j)(2)) Motor carriers, when using a driver for the first time or intermittently, shall obtain from the driver a signed statement giving the total time on duty during the immediately preceding seven days and the time at which such driver was last relieved from duty prior to beginning work for such carrier. The driver also provides information regarding other compensated work for any other employers. The hours of on-duty time are retained at the driver's home terminal until the twentieth day of the succeeding calendar month and retained an additional six months at the carrier's principal place of business. (page 16)

### 10. DRIVER QUALIFICATION FILE FOR MULTIPLE - EMPLOYER DRIVER (page 17):

(Part 391.63) Motor carriers using a multiple-employer, casual or occasional driver shall obtain from the driver his social security number and expiration date of his medical examiner's certificate and complete the information on page 17 required by Part 391.63 and 391.51(d). The driver's hours of on-duty time are to be retained at the driver's home terminal until the twentieth day of the succeeding calendar month and retained an additional six months at the carrier's principal place of business. (page 17)

### DRIVER QUALIFICATION FILE FOR KANSAS CORPORATION COMMISSION COMPLIANCE

According to Part 391.51(a) except as provided in Subpart G, each motor carrier shall maintain a driver qualification file for each driver it employs.

### RECORD RETENTION

The motor carrier must retain the following information in the driver qualification file for three years after the person's employment by the motor carrier ceases, except for item numbers 3, 6, 7, 8 and 9. NOTE: Item numbers 3, 6, 7, 8 and 9 listed below may be removed from the file after three years from the date the forms were executed Part 391.51(d).

- 1. Application for Employment (Part 391.21)
- 2. State agencies response concerning the driver's driving record (MVR) (Part 391.23) (a) (1)
- 3. The response of each state agency to the Annual Driver Record (MVR) inquiry required by (Part 391.25) (a)
- 4. The Record of Road Test form and Certificate of Road Test (Part 391.31(g))

  NOTE: In lieu of the road test and certificate, a copy of the commercial drivers license (CDL) may be accepted as equivalent to the driver's road test except for tank and double/triple vehicles (Part 391.33)
- 5. Entry-level driver training certification (Part 380.503)
- 6. Driver's Medical Examiner's Certificate (Part 391.43(d))
- 7. Note relating to verification of the medical examiner listing on National Registry (Part 391.23(m))
- 8. Review of Driving Record/Certification of violations (Part 391.25 (c) (2) and 391.27) (d)
- 9. A letter granting a waiver of a physical disqualification if required (Part 391.49)

### ALCOHOL AND CONTROLLED SUBSTANCE TESTING RECORDS

A DRIVERS INVESTIGATION HISTORY SEPARATE CONFIDENTIAL FILE FOR ALCOHOL AND CONTROLLED SUBSTANCE TESTING INFORMATION IS REQUIRED. (Part 382.401). See Part 382.401(b) for record retention requirements.

- 1. Controlled Substance Test Records
  - (a) Chain of Custody form Employer copy
  - (b) Test result from Medical Review Officer (MRO)
  - (c) Medical statement regarding driver's inability to provide adequate urine specimen
  - (d) Record of failure to conduct controlled substance testing within 32-hour mandate
  - (e) Documentation of driver refusal to test for controlled substances
  - (f) A positive test cancels the medical examination and the driver must be re-examined
- 2. Alcohol Test Records
  - (a) Breath Alcohol Test form including test results Employer copy
  - (b) Medical Statement regarding driver's inability to provide adequate breath
  - (c) Record of failure to conduct alcohol testing within 2-hour and 8-hour mandate
  - (d) Documentation of driver refusal to test for alcohol
- 3. Inquires to previous employers (past three years) for alcohol and drug testing information. (Part 40.25 & Part 391.23) (c)
- 4. Certificate of receipt for educational materials on drug and alcohol testing requirements including the company drug and alcohol testing policy. (Part 382.601)
- 5. Documents presented by the driver to dispute the results of alcohol or controlled substance tests administered under this part. (Part 382.401(c)(2)(v))
- 6. Documentation that all driver supervisors have received 60 minutes of training on alcohol misuse and 60 minutes of training on controlled substance use. This training is generally provided by your drug/alcohol testing consortium or you can go to: <a href="http://ndwa.org/training.php">http://ndwa.org/training.php</a>.
- 7. Records related to substance abuse professional evaluations.

# SUPPLIERS OF FORMS REQUIRED BY FEDERAL MOTOR CARRIER SAFETY REGULATIONS

(NOTE: The list of private vendors below is not intended to be all-inclusive, is not endorsed as to the quality of the vendors. Any carrier may wish to conduct their own investigation as to sources.)

Kansas Motor Carriers Association 2900 South Topeka Boulevard Topeka, Kansas 66611 (785) 267-1641

Jack-Bilt Corporation 906 Central Kansas City, Missouri 64106 (800) 522-5245

Kansas Corporation Commission 1500 SW Arrowhead Road Topeka, Kansas 66604-4027 (785) 271-3145

Commercial Motor Vehicle Office Interstate Registration Plan (IRP) Docking State Office Building 915 Harrison Rm 150 PO Box 12003 Topeka, Kansas 66612-2003 (785) 296-3621 Federal Motor Carrier Safety Administration 1303 SW First American Place Ste 200 Topeka, Kansas 66604-4059 (785) 271-1260

Motor Fuel Tax (IFTA) Kansas Department of Revenue Docking State Office Bldg., Motor Fuel 915 Harrison Street Topeka, Kansas 66625-8000 (785) 368-8222

J. J. Keller & Associates, Inc. 145 W Wisconsin Avenue Neenah, Wisconsin 54956 (877)-564-2333

LabelMaster 5724 N. Pulaski Road Chicago, Illinois 60646-6797 (800) 621-5808



### APPLICATION FOR EMPLOYMENT

<b>Notice: Substance</b>	and Alcohol Testing is required	of applicant driv	ver. Date
Company		Street A	ddress
City		State	Zip Code
Name			
(First)	(Middle)	(Last)	
Addresses			How Long
Date of Birth		Social So	ecurity Number
Addresses for Past			D.
(Street)	(City) (State) (Zip)	EET IF MORE SPAC	(From) (To)
	EXPERIENCE A	ND QUALIFICA	ATIONS-DRIVERS
Drivers License			
	tate) (License	Number)	(Expiration Date)
Has any license, per	(Date) denied a license, permit or privile mit or privilege ever been suspend	ded or revoked?	otor vehicle Yes No Yes No
(If the answer is yes	s to either of the two previous q	ŕ	,
		VING EXPERIE	
Class of Equipment	31 1 1	Dates From To	Approximate Number of Miles (Total)
Straight Truck ——			
Tractor & Semi Trai	ler		
			THREE YEARS OR MORE
Da	Nature of the Accident (Head-on Rear-end Upset	Ect)	Fatality Injury Non-Injury
Last Accident			
Next Previous			
Next Previous			

### **Employment History**

All drivers applying to drive in intrastate or interstate commerce must provide the following information on employers during the **preceding three years**. List mailing address, street number, city, state and zip code.

Applicants applying to drive a "commercial motor vehicle" as defined by Part 383, in intrastate or interstate commerce shall also provide an additional seven years information on those employers for whom the applicant driver operated such vehicle.

(NOTE: list employers in reverse order starting with the most recent. Add another sheet as necessary.)

	Employer				Date		
Name		From: Month	Year	To: 1	Month	Year	
Address		Position Held					
City	State	Zip Code	Salary	/Wage	es		
Contact Person			Phone	Numb	oer (inclu	ıde area	code)
**Was Your Job D	ect To The FMCSR's Designated As A Safe	s While Employed? ☐ Yes sty-Sensitive Function In Ar 49 CFR Part 40? ☐ Yes ☐	ny DOT-Reg	ulated N	Mode Subj	ect To Th	ne Drug
I	Employer				Date		
Name		From: Month	Year	To:	Montl	1	Year
Address		Position Held					
City	State	Zip Code	Salary	//Wage	es		
Contact Person			Phone	Numb	oer (inclu	ıde area	code)
**Was Your Job D And Alcohol Testin	ect To The FMCSR's Designated As A Safe ng Requirements Of	s While Employed? ☐ Yes sty-Sensitive Function In Ar 49 CFR Part 40? ☐ Yes ☐ IORE SPACE IS NEEDED	ny DOT-Reg ⊢No		-		e Drug
	то в	E READ AND SIGNED B	Y APPLICA	ANT			
my knowledge. I a medical history and inquiries regarding extended.) I hereb inquiries and release that false or mislea	authorize you to mak d other related matter g medical history will y release employers, sing information in c ding information giv	completed by me, and that a e such investigations and in rs as may be necessary in ar be made only if and after a health care providers and o connection with my applicat yen in my application or inte- alles and regulations of the co	requiries of marriving at an acconditional other persons ion. In the exerview(s) ma	y person employr offer of from all vent of	nal, emploment decise employment liability in the employment in th	yment, fin sion. (Gen ent has be in respond ent, I unde	nancial or nerally, een ding to erstand
Date		App	olicant's Sign	nature			

Division of Vehicles 915 SW Harrison St. Topeka, KS 66612



Vehicle Services: 785-296-3621 Driver Improvement: 785-296-3671 www.ksrevenue.org

Nick Jordan, Secretary Lisa Kaspar, Director Sam Brownback, Governor

I. Requester's Information: (Providing daytime phone number Requester's Name:	
Organization (if applicable):	
Address:	
City:	
<ul><li>II. Record you are requesting: Provide as much information a</li><li>☐ Vehicle registration/title information</li></ul>	
Name:	Name:
Address:	Address:
City:State:	City:State:
Make/Model:	DL No:
Year: Plate No:	Date of Birth:
VIN:	
III. Fees: Please submit your payment with this form. (In (FEE)	nclude a check or money order.) (FEE)
□ Vehicle Registration Record \$10.00 □ Vehicle Title History (microfilm review) \$25.00 □ Certified Title History (microfilm review) \$30.00 □ Vehicle Information for Dealers \$1.00 per page  IV. Verification of your eligibility to receive the requeste Please review the exceptions listed on the back of this form and fill in Code:  If you have selected code "J", indicate the second code that would me	Motor Vehicle Record \$10.00 Certified Motor Vehicle Record \$15.00 Clearance Letters \$15.00 Drivers License Folders \$20.00 Certified Driver's License Folders \$25.00  d records. In the code that corresponds with your request.  The code that corresponds with your request.
investigative agency or security service can obtain DMV records as less Second Code: If you have selected code "M", indicate the intended use of the requestive is specifically authorized by Kansas law and is related to the open service can obtain DMV records as less second Code: If you have selected code "M", indicate the intended use of the requestive is specifically authorized by Kansas law and is related to the open second Code: If you have selected code "M", indicate the intended use of the requestive is specifically authorized by Kansas law and is related to the open second Code: If you have selected code "M", indicate the intended use of the requestive is specifically authorized by Kansas law and is related to the open second Code.	ested record(s). (Anyone can obtain DMV records if the intended
V. Signature – Before signing this document, read this s Under the Drivers' Privacy Protection Act of 1994, as amended (18 U.S.C. § Revenue cannot be released unless the request for information falls within or of this form.	2721), personal information obtained by the Kansas Department of
It is unlawful for personal information to be used for any purpose not permit make false representation in order to obtain personal information from DMV	
Civil action may be brought against you by the owner of the personal informactual damages of not less than \$2,500.00, punitive damages, reasonable attements to be appropriate.	
In addition, Kansas law (K.S.A. 21-3914 and K.S.A. 45-220(c)(2)) prohibits given or received for the purpose of selling or offering for sale any property	
I declare that I am eligible and have the express authority to sign for and a Privacy Protection Act of 1994, as amended. I further declare that any per property or service.	

Requester's Signature:\_\_\_

### **Instructions:**

- 1. Complete this form including signature and date. (You may make copies of this form.)
- 2. The department will provide records upon a direct match. If you have not provided enough information to establish a direct match, the department will contact you requesting additional information.
- 3. Provide a completed form for each request. (You may make copies of this form.)
- 4. Submit the required payment, see front page for fee amounts, by check or money order along with this form to:

For vehicle registration/title records:
Kansas Department of Revenue
Titles and Registration
915 SW Harrison
Topeka, KS 66626-0001
Phone: (785) 296-3621

For driver's license records:
Kansas Department of Revenue
Driver Control
PO Box 12021
Topeka, KS 66612-2021 **Phone:** (785) 296-3671

For apportioned vehicle records: Kansas Department of Revenue Motor Carrier Services 915 SW Harrison Topeka, KS 66612-1588 **Phone:** (785) 296-6541

5. Make check or money order payable to the "Division of Vehicles". No refunds will be issued for requests made in error, or requests for records not on file.

### Exception codes for completion of section IV on the first page of this form.

- A. I am requesting my own record.
- B. I have written consent from the individual to whom the requested information pertains, to obtain records on their behalf. (Please attach form TR/DL 301.)
- C. I work for or am acting on the behalf of a government agency and am requesting this information to fulfill the functions of that agency.
- D. I am requesting this information in connection with matters of: motor vehicle or driver safety and theft; motor vehicle emissions; motor vehicle product alterations, recalls, or advisories; performance monitoring of motor vehicles, motor vehicle parts and dealers; motor vehicle market research activities, including survey research; and removal of non-owner records from the original owner records of motor vehicle manufacturers.
- E. I am an employee, agent or contractor of a legitimate business. I am requesting record information in order to verify the accuracy of personal information submitted by the individual in question. If the information I have is incorrect, I am requesting to obtain corrected information. This information will be used to pursue legal remedies against or recover on a debt or security interest against the individual in question.
- F. I am going to use this information in connection with a civil, criminal, administrative, or arbitral proceeding in a Federal, State, or local court or agency or before a self-regulatory body. This may include the service of process, investigation in anticipation of litigation, and the execution or

- enforcement of judgments and orders, or pursuant to an order of a Federal, State, or local court.
- G. I am involved in a research project to produce statistical reports. The personal information obtained will not be published, re-disclosed or used to contact the individual in question.
- H. I am an agent, employee or contractor for an insurer, an insurance support organization or I am self- insured. The information requested will be used in connection with a claims investigation, antifraud activities, rating or underwriting.
- I am requesting record information to provide notice to owners of towed or impounded vehicles.
- J. I work for a licensed private investigative agency or a licensed security service. (See section IV on the front of this form.)
- K. I am an employer or an agent or insurer working on the behalf of an employer of licensed commercial drivers. I am requesting records information in order to obtain or verify information relating to a holder of a commercial driver's license.
- L. I am requesting records of individuals who have given the state the express consent to release personal information by "opting in" their records.
- M. I will use the information requested in a manner that is specifically authorized by Kansas law and is related to the operation of a motor vehicle or public safety. (See section VI on the front of this form.)

### SAFETY PERFORMANCE HISTORY RECORDS REQUEST

PART 1:	TO B	E COMPLE	TED BY PROSPEC	TIVE EMPLOYEE	
I, (Print Name) _					
Hereby authorize	First	M.I.	Last	Soc	ial Security Number
·	er:			Email:	Date of Birth
City, State, Zip:				Fax No.:	
To release and for Substances Test	orward the information re ing records within the pre	quested by s evious 3 year	ection 3 of this docume s from(employments	ent concerning my A	cohol and Controlled
To:	Prospective Employer:				
	Attention:				
	Street:				
	City, State, Zip:				
	th §40.25(g) and 391.23( uch as fax, email, or lette		f this information must	be made in a writter	form that ensures
Prospective emp	loyer's fax number:		<del></del> .		
Prospective emp	loyer's email address: _			<del></del>	
	Applicant's	s Signature			Date
This information	is being requested in cor	mpliance with	§40.25(g) and 391.23		
PART 2:	ТО	BE COMPI	ETED BY PREVIOU	IS EMPLOYER	
			CIDENT HISTORY	DO EMILEO TEIX	
The applicant na	med above was employe	ed by us. Yes	s □ No □		
Employed as		from (m	n/y)	to (m/y)	
1. Did he/she de Bus 🗆 Cargo Ta	rive motor vehicle for you ank 🖸 Doubles/Triples	ı? Yes □ 1 □ Other (S	lo □ If yes, what type pecify)	e? Straight Truck	Tractor-Semitrailer
	eaving your employ: Discept performance history to				ם
	complete the following for B years prior to the applic				.15(b)) that involved the accident register data for
Date 1.		ion	# Injuries	# Fatalities	Hazmat Spill
			· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·	
3.					
Please provide in	nformation concerning ar rers or retained under int				rted to government
Any other remark	(S:				
-					
				<del></del>	
		Signatur	e·		
			c		
}					

### PREVIOUS EMPLOYER - COMPLETE PAGE 2 PART 3

PART 3:	TO BE COMPLETED BY PREVIOUS EMPLOYER
	DRUG AND ALCOHOL HISTORY
If driver was not su check here □, fill in sign, and return.	object to Department of Transportation testing requirements while employed by this employer, please in the dates of employment from to, complete bottom of Part 3,
Driver was subject	to Department of Transportation testing requirements from to
•	son had an alcohol test with the result of 0.04 or higher alcohol concentration?  NO □
	son tested positive or adulterated or substituted a test specimen for controlled substances?
•	son refused to submit to a post-accident, random, reasonable suspicion, or follow-up alcohol or ubstance test?
	son committed other violations of Subpart B of Part 382, or Part 40?
<ol><li>If this persor rehabilitation documentati</li></ol>	n has violated a DOT drug and alcohol regulation, did this person complete a SAP-prescribed in program in your employ, including return-to-duty and follow-up tests? If yes, please send ion back with this form.  NO □
<ol><li>For a driver driver subse</li></ol>	who successfully completed a SAP's rehabilitation referral and remained in your employ, did this equently have an alcohol test result of 0.04 or greater, a verified positive drug test, or refuse to be tested?  NO   Output  Description:
	e questions, include any required DOT drug or alcohol testing information obtained from prior previous revious 3 years prior to the application date shown on page 1.
Name:	
	Telephone:
	by (Signature): Date:
PART 4a:	TO BE COMPLETED BY PROSPECTIVE EMPLOYER
This form was (che	eck one)   Faxed to previous employer   Mailed   Emailed   Other
Ву:	Date:
PART 4b:	TO BE COMPLETED BY PROSPECTIVE EMPLOYER
Complete below w	hen information is obtained.
Information receive	ed from:
	Method: ☐ Fax ☐ Mail ☐ Email ☐ Telephone
Date:	□ Other
INSTR	UCTIONS TO COMPLETE THE SAFETY DEDECOMANCE HISTORY DECORDS DECLIEST

### PAGE 1 PART 1: Prospective Employee

- Complete the information required in this section
- Sign and date
- Submit to the Prospective Employer

### PAGE 2 PART 4a: Prospective Employer

- Complete the information
- Send to Previous Employer

### PAGE 1 PART 2: Previous Employer

- Complete the information required in this section
- Sign and date
- Turn form over to complete SIDE 2 SECTION 3

### PAGE 2 PART 3: Previous Employer

- Complete the information required in this section
- Sign and date
- Return to Prospective Employer

### PAGE 2 PART 4b: Prospective Employer

- Record receipt of the information
- Retain the form

### 7

# Medical Examination Report FOR COMMERCIAL DRIVER FITNESS DETERMINATION

649-F (6045)

Address    City, State, Zip Code   Work Tel: ( )   Driver License No.   License Class   State of Issue   Home Tel: ( )   Driver License No.   License Class   State of Issue   Any Illness or injury in the last 5 years?   HeadBrain injures, disorders or illnesses   Liver disease, dalysis   Liver disease, dalysis   Liver disease, dalysis   Liver disease   Digestive problems   Digestive p		Social Security No.	Birthdate	Age	Sex	Dana	Certification	Date of Exam
## Heart surgery (valve replacement/bypass, angioplasty, pacemaker)  ## Heart surgery (valve replacement/bypass, a			M/D/Y		☐ F			
Yes No  Any illness or injury in the last 5 years? Head/Brain injuries, disorders or illnesses Setzures, epilepsy Medication Eye disorders or impaired vision (except corrective lenses) Ear disorders, loss of hearing or balance Heart disease or heart attack; other cardiovascular condition Medication Heart surgery (valve replacement/bypass, angioplasty, pacemaker) High blood pressure Miscular disease Shortness of breath  Loss of, or altered consciousness  Yes No Lung disease, emphysema, asthma, chronic bronchitis Kidney disease, dialysis Liver disease, dialysis Liver disease, dialysis Liver disease, dialysis Liver disease, emphysema, asthma, chronic bronchitis Kidney disease, dialysis Liver dise		Home 7	Геl: ( )				☐ A ☐ C ☐ B ☐ D ☐ Other	State of Issue
Any illness or injury in the last 5 years?    Head/Brain injuries, disorders or illnesses   Seizures, epilepsy   Liver disease   Digestive problems   Diabetes or elevated blood sugar controlled by:   Stroke or paralysis   Missing or impaired hand, arm, foo finger, toe   Heart disease or heart attack; other cardiovascular condition   Mervous or psychiatric disorders, e.g., severe depression   Meloston   M	·	his section, but medical exam	iner is encouraged	to discus	s with o	driver.		
	Any illness or injury in the last 5 years? Head/Brain injuries, disorders or illnesses Seizures, epilepsy medication  Eye disorders or impaired vision (except corrective le Ear disorders, loss of hearing or balance Heart disease or heart attack; other cardiovascular commedication  Heart surgery (valve replacement/bypass, angioplasty pacemaker) High blood pressure Muscular disease Shortness of breath  any YES answer, indicate onset date, diagrams	Lung dise Kidney di Liver dise Digestive Diabetes diet pills insul Nervous o mec y, Loss of, co	sease, dialysis ease problems or elevated blood suga in or psychiatric disorders dication or altered consciousnes	r controlled I	by: e depress	sion	Fainting, dizz Sleep disorde while asleep snoring  Stroke or par Missing or im finger, toe Spinal injury  Chronic low t  Regular, freq Narcotic or ha	ers, pauses in breathing o, daytime sleepiness, loud alysis algorized hand, arm, foot, leg, or disease back pain uent alcohol use abit forming drug use
could, that the above information is complete and two. I understand that incorporate false or missing information may invalidate the eventuation and								
certify that the above information is complete and true. I understand that inaccurate, false or missing information may invalidate the examination and Medical Examiner's Certificate.		and true. I understand that in	naccurate, false or	missing in	formati	on ma	ay invalidate the e	xamination and my
Driver's Signature Date		gnature					Date	
Medical Examiner's Comments on Health History (The medical examiner must review and discuss with the driver any "yes" answers and potential had nedications, including over-the-counter medications, while driving. This discussion must be documented below.)	cal Examiner's Comments on Health His cations, including over-the-counter medica	story (The medical examiner tions, while driving. This disc	must review and dussion must be doo	liscuss wit	th the d below.	river a	any "yes" answers	and potential haza

## RECORD OF ROAD TEST

Driver's Name		Address		
License No State	• •	ment Driven: Truck	Tractor	_ Trailer
Checked From	To		Date	···
For those items that apply, place a checkmark if di unsatisfactory. Explain unsatisfactory items under		nce is satisfactory, mark wi	th an X if driver's	performance is
PART 1-PRE-TRIP INSPECTION AND EMERGENCY	EQUIPMENT	E. LIGHTS		
Checks general condition approaching unit		Knows lighting regulations		4.
Looks for leakage of coolants, fuel, lubricants		Uses proper headlight beam		
Checks under hood - oil water, general condition of		Dim lights when meeting or	following other traffic	·
engine compartment, steering		Adjusts speed to range of he	adlights	
Checks around unit - tires, lights, trailer hookup, brake and		Proper use of auxiliary lights		
light lines, body, doors, horn, windshield wipers		PART 3 - COUPLING A	ND UNCOUPLING	
Tests brake action, tractor protection valve, and parking		Lines up units		
(hand) brake		Hooks brake and light lines p	properly	
Knows use of jacks, tools, emergency warning devices, tire	chains,	Secures trailer against move	ment	
fire extinguisher, spare fuses and four-way flashers		Backs under slowly		
Checks instruments		Tests hookup with power		
Cleans windshield, windows mirrors, lights, reflectors		Checks hookup visually		
PART 2-PLACING VEHICLE IN MOTION AND USE	OF CONTROLS	Handles landing gear propert	у	
A. MOTOR		Proper hook-up of full trailer		
Starts motor without difficulty		Secures power unit against r	novement	
Allows proper warm-up		PART 4 - BACKING AND	PARKING	
Understands gauge on instrument panel		A. BACKING		
Maintains proper engine speed while driving		Gets out and checks before	backing	
Basic knowledge of motor - gas, diesel		Looks back as well as uses i	mirror	
Does not abuse motor		Gets out and rechecks condi-	tions on long back	
B. CLUTCH AND TRANSMISSION		Avoids backing from blind sid	de	
Starts loaded units smoothly		Signals when backing		
Uses clutch properly		Controls speed and direction	properly while backi	ng
Times gearshifts properly		B. PARKING (City)		
Shifts gears smoothly		Does not hit nearby vehicles	or stationary object	s
Uses proper gear sequence		Parks proper distance from o	curb	<del></del>
C. BRAKES		Sets parking brake, puts in g	ear, chocks wheels,	
Understands operating principles or air brakes		Shuts off motor		
Knows proper use of tractor protection valve		Checks traffic conditions and	l signals when pullin	9
Understands low air warning		out from parked position	n	•
Tests brakes before starting trip		Parks in legal and safe locati	ion	
D. STEERING		C. PARKING (Road)		
Controls steering wheel		Parks off pavement		<del></del>
Good driving posture and good grip on wheel	·	Avoids parking on soft shoul	der	
		Uses emergency warning sig	nals when required	
		Secures unit properly		

### RECORD OF ROAD TEST (CONCLUDED)

PART 5 · SLOWING AND STOPPING		F. SPEED(CONCLUDED)	
Uses gears property ascending		Speed consistent with basic ability	
Gears down properly descending	<del></del>	Adjusts speed properly to road, weather, traffic conditions, legal limits	
Stops and restarts without rolling back		Slows down for rough roads	
Tests brakes at top of hills		Slows down in advance of curves, intersections, etc.	
Uses brakes properly on grades		Maintains consistent speed	
Uses mirrors to check traffic to rear		G. COURTESY AND SAFETY	
Signals following traffic		Uses defensive driving techniques	
Avoids sudden stops		Yields right-of-way for safety	
Stops smoothly without excess fanning		Goes ahead when given right-of-way by others  Does not crowd other drivers or force way through traffic	
Stops before crossing sidewalk when coming out of driveway or alley		Allows faster traffic to pass	-
Stops clear of pedestrian crosswalks		Keeps right and in own lane	
PART 6 - OPERATING IN TRAFFIC PASSING AND T	URNING	Uses horn only when necessary	
A. TURNING	<u> </u>	Generally courteous and uses proper conduct	
Gets in proper lane well in advance		PART 7 - MISCELLANEOUS	
Signals well in advance		A. GENERAL DRIVING ABILITY AND HABITS	
Checks traffic conditions and turns only when way is clear		Consistently alert and attentive	
Does not swing wide or cut short while turning		Adjusts driving to meet changing conditions	
B. TRAFFIC SIGNS AND SIGNALS		Performs routing functions without taking eyes from road	
Approaches signal prepared to stop if necessary		Checks instruments regularly while driving	
Obeys traffic signal		Willing to take instructions and suggestions	
Uses good judgment on yellow light		Adequate self-confidence in driving	
Starts smoothly on green		Is not easily angered	
Notices and heeds traffic signs		Positive attitude	
Obeys "Stop" signs		Good personal appearance, manner, cleantiness	****
C. INTERSECTIONS		Good physical stamina	
Adjusts speed to permit stopping if necessary  Checks for cross traffic regardless of traffic controls		B. HANDLING OF FREIGHT Checks freight properly	
Yields right-of-way for safety		Handles and loads freight properly	
D. GRADE CROSSINGS		Handles bills properly	
Adjusts speed to conditions		Breaks down load as required	
Makes sale stop, if required		C. RULES AND REGULATIONS	
Selects proper gear		Knowledge of company rules	
E. PASSING		Kknowledge of regulations: federal, state, local	<u> </u>
Passes with sufficient clear space ahead		Knowledge of special truck routes	
Does not pass in unsafe location: hill, curve, intersection		D. USE OF SPECIAL EQUIPMENT (Specify)	
Signals change of lanes			
Warns driver being passed			
Pulls out and back with certainty		REMARKS:	
Does not tailgate			
Does not block traffic with slow pass			
Allows enough room when returning to right lane F. SPEED			
Speed consistent with basic ability			
Adjusts speed property to road, weather, traffic			
conditions, legal limits			
		Ja Taribina	
GENERAL PERFORMANCE: Satisfactory	Net	eds Training Unsatisfactory Unsatisfactory	
QUALIFIED FOR: Truck Tractor-S	emitrailer	; Other	
	Signature	of Examiner	
CERTIFICATION OF ROAD TEST			
INSTRUCTIONS TO CARRIER. If the road test is successful	illy completed	, the person who gave it must complete the following certification in duplica	de The
		f Road Test shall be retained in the driver qualification file of the person	
		on 391.31(e)(f)(g)(1)(2) of the Federal Motor Carrier Safety Regulations	
Driver's Name		Type of Power Unit	
Social Security Number		Type of Trailer(s)	
Operator's or Chauffeur's License Number		If Passenger Carrier, Type of Bus	
		my supervision on19, consisting of approximately	/
miles of driving. It is my considered opinion that this drive	r possesses s	sufficient driving skill to operate safely the type of vehicle listed above.	
Signature of examiner		Organization	
•		Address of examiner	
Title		, 100 (000 01 000 111 101 <u></u>	

# **CARRIERS DRIVER STATEMENT OF ON-DUTY HOURS**(For Newly - Hired or Intermittent Drivers)

**INSTRUCTIONS:** Motor carriers when using a driver for the first time shall obtain from the driver a signed statement giving the total on-duty time during the immediately preceding seven days and time at which such driver was last relieved from duty prior to beginning work for such carrier. Rule 395.8(j)(2) Federal Motor Carrier Safety Regulations.

At this I here with	is time do	you interpretation yethat the any, if I leading of the distribution of the control of the contro	e informati begin worl	ion giver k for any nployme	n above is t	rue and I u employer( (395.2 (8)	Il employed by this company? (Yes) (Nunderstand that once I become employed (s) for compensation that I must inform (s) and (9))			
At this I here with	is time do	you interpretation yethat the any, if I leading of the distribution of the control of the contro	e informati begin work of such en	ion giver k for any nployme	n above is to additional nt activity.	rue and I u employer( (395.2 (8)	inderstand that once I become employed (s) for compensation that I must inform			
At this I here with	is time do	you inte y that the any, if I l	e informati begin worl	ion giver k for any	n above is to additional	rue and I u employer(	inderstand that once I become employed (s) for compensation that I must inform			
•		•	end to wor	k for and	other person	n while stil	ll employed by this company? (Yes) (1			
Are y	ou currer	ntly work								
			ing for an	other en	nployer?	(Yes)	(No)			
	TIME	1	DAY	(1 <b>1.</b> 1111) (.	1 •1•1•)	011	MONTH YEA			
			from work				he best of my knowledge and belief, and			
T.1	1				1 .		Total Hours			
							TALIF			
1	2	3	4	5	6	7				
Com	pensated	work ti	me (prior	7 days)						
Type of License <u>Issuing</u>							State			
Motor Vehicle Operator's License Number										
Social Security Number										
Socia	ıl Security		Driver Name (Print)							
Drive	er Name (	Print) _			this form.					

# DRIVER QUALIFICATION FILE FOR MULTIPLE - EMPLOYER DRIVER

**Instructions:** If a motor carrier employs a person who is not regularly-employed (as defined in 390.5) to drive a motor vehicle for a single trip or on a casual or occasional basis or a multiple-employer driver, the motor carrier shall comply with all requirements of Part 391, except the carrier need not:

- (1) Require the person to furnish an application for employment (390.21);
- (2) Make an inquiry into the person's driving record during the preceding three years to the appropriate state agency(s), and an investigation of the person's employment record during the preceding three years (391.23);
- (3) Perform annual review of the person's driving record (391.35); or
- (4) Require the person to furnish a record of violation or a certificate (391.27).

Driver qualification form must be completed and signed by driver's qualifying carrier

QUALIFICATION 1	FILE CHECK L	IST
	Social S	Security Number
		Date
d carrier and is fully on the medical examiner'	ualified under Pa s certificate expir	rt 391, Federal Motor Carrier res on:
(Address)	(State)	(Zip Code)
(**		(Date)
	(Address)	c, as defined in (390.5) is regularly drived carrier and is fully qualified under Part medical examiner's certificate expiration (Date not later than expiration date of me

### MOTOR VEHICLE DRIVER'S CERTIFICATION OF VIOLATIONS AND ANNUAL REVIEW OF DRIVING RECORD

**MOTOR CARRIER INSTRUCTIONS:** Each motor carrier shall at least once every twelve months, require each driver it employs to prepare and furnish it with a list of all violations of motor vehicle traffic laws and ordinances (other than violations involving only parking) of which the driver has been convicted, or on account of which he/she has forfeited bond or collateral during the preceding twelve months (Section 391.27). Drivers who have provided information required by Section 383.31 need not repeat that information on this form.

**DRIVER REQUIREMENTS:** Each driver shall furnish the list as required by the motor carrier above. If the driver has not been convicted of, or forfeited bond or collateral on account of any violation which must be listed, he/she shall so certify (Section 391.27).

COMPLETED BY DRIVER - CERTIFICATION OF VIOLATIONS						
Name of Driver: (Print)						
Social Security Number Date of Employment						
Home Terminal (City an						
Driver's License Number		State	Expiration Date			
	s) for which I have been of		required to be listed (other than those I have ond or collateral during the past one year. (If you			
DATE	OFFENSE	LOCATION	TYPE OF VEHICLE OPERATED			
			or forfeited bond or collateral on account of any e listed during the past twelve months.			
Date Of Certification		Driver's Signature				
		<u> </u>				
COMPLETEI	BY MOTOR CAP	RRIER - ANNUAL	REVIEW OF DRIVING RECORD			
			olations listed above and other information ions. Complete the information request below.			
I hereby reviewed the driv	ing record of the above-nan	ned driver in accordance w	ith Section 391.25 and find that he/she (check one):			
☐ Meets minimum requir	☐ Meets minimum requirements for safe driving ☐ Is disqualified to drive a motor vehicle pursuant to Section 391.15					
Does not adequately me	eet satisfactory safe-driving	performance				
Action taken with driver:						
Reviewed by:	Signature		Date			
	Printed Name		Title			
Motor Carrier Name Motor Carrier Address						

MAINTAIN THIS DOCUMENT IN THE DRIVER'S QUALIFICATION FILE. THIS DOCUMENT MAY BE PURGED AFTER THREE YEARS FROM THE DATE OF EXECUTION.

# Entry-level driver training requirements

### Title 49 Part 380.501 Applicability:

All entry-level drivers who drive in interstate commerce and are subject to the CDL requirements must comply with the rules of this subpart, except drivers who are subject to the jurisdiction of the Federal Transit Administration or who are otherwise exempt under 390.3(f) of this subchapter.

### Title 49 Part 380.503 Entry-level driver-training requirements:

Entry-level driver training must include instruction addressing the following four areas:

- (a) *Driver qualification requirements*. The Federal rules on medical certification, medical examination procedures, general qualifications, responsibilities, and disqualifications based on various offenses, orders, and loss of driving privileges (part 391, subparts B and E of this subchapter).
- (b) *Hours of service of drivers*. The limitations on driving hours, the requirement to be off-duty for certain periods of time, record of duty status preparation, and exceptions (part 395 of this subchapter). Fatigue countermeasures as a means to avoid crashes.
- (c) *Driver wellness*. Basic health maintenance including diet and exercise. The importance of avoiding excessive use of alcohol.
- (d) Whistleblower protection. The right of an employee to question the safety practices of an employer without the employee's risk of losing a job or being subject to reprisals simply for stating a safety concern (29 CFR part 1978).

Certificate of Training for Entry Level Commercial Drivers				
Driver's: First Name, Middle Initial, & Last Name				
I certify that the above named driver has completed the training requirements set forth in the Federal Motor Carrier Safety Regulations for Entry Level Driver Training in accordance with Title 49 CFR 380.503				
• Driver qualification requirements (49 CFR 391)				
<ul> <li>Hours of Service of Drivers (49 CFR 395)</li> <li>Driver Wellness</li> </ul>				
<ul> <li>Whistleblower Protection (29 CFR 1978)</li> </ul>				
Name of Safety Director Date Certificate issued				
(Insert your: Company Name & Company Address)				

### PART 395 – Hours of Service Regulations for (Property) Carrier Drivers

- **395.3**(a) Except as otherwise provided in §395.1, no motor carrier shall permit or require any driver used by it to drive a property-carrying commercial motor vehicle, nor shall any such driver drive a property-carrying commercial motor vehicle, regardless of the number of motor carriers using the driver's services, unless the driver complies with the following requirements:
  - (1) Start of work shift. A driver may not drive without first taking 10 consecutive hours off duty;
  - (2) 14-hour period. A driver may drive only during a period of 14 consecutive hours after coming on duty following 10 consecutive hours off duty. The driver may not drive after the end of the 14-consecutive-hour period without first taking 10 consecutive hours off duty.
  - (3) Driving time and rest breaks.
    - (i) Driving time. A driver may drive a total of 11 hours during the 14-hour period specified in paragraph (a)(2) of this section.
    - (ii) Rest breaks. Except for drivers who qualify for either of the short-haul exceptions in § 395.1(e)(1) or (2), driving is not permitted if more than 8 hours have passed since the end of the driver's last off-duty or sleeper-berth period of at least 30 minutes.
- (b) No motor carrier shall permit or require a driver of a property-carrying commercial motor vehicle to drive, nor shall any driver drive a property-carrying commercial motor vehicle, regardless of the number of motor carriers using the driver's services, for any period after—
  - (1) Having been on duty 60 hours in any period of 7 consecutive days if the employing motor carrier does not operate commercial motor vehicles every day of the week; or
  - (2) Having been on duty 70 hours in any period of 8 consecutive days if the employing motor carrier operates commercial motor vehicles every day of the week.
- (c) Any period of 7/8 consecutive days may end with the beginning of an off-duty period of 34 or more consecutive hours

It is recommended that motor carriers and drivers keep a summary (recap) of drivers' hours worked and hours available. This will prevent hours of service violations.

Every motor carrier must require every driver to make a record of duty status (log), in duplicate, for each 24-hour period;

Motor carriers and drivers who do not complete and keep records of duty status (logs) or who make false records of duty status (logs) can be prosecuted;

The driver must give or send by mail the original of the driver's record of duty status (log) to his/her motor carrier within 13 days after completing the record. The motor carrier must then keep the record of duty status (log) for 6 months;

**Local Driver Provision:** Drivers may extend the 14-hour on-duty period by 2 additional hours if they:

- (1) Are released from duty at the normal work reporting location for the previous 5 duty tours, and
- (2) Return to the normal work reporting location and are released from duty within 16 hours, and
- (3) Have not used this exception in the previous 7 days, except following a 34-hour restart of a 7/8 day period

**Sleeper Berth Guidelines:** No longer allows drivers to split sleeper berth unless using option #3 **Option #1**: Drivers must have at least 10 consecutive and uninterrupted hours off duty;

**Option #2:** Drivers must have at least 10 consecutive and uninterrupted hours in the sleeper berth;

Option #3: CMV drivers using the sleeper berth provision must take at least 8 consecutive hours in the sleeper berth, plus 2 consecutive hours either in the sleeper berth, off duty, or any combination of the two;

**Option #4:** Drivers must have at least 10 consecutive hours sleeper berth and off duty time combined and uninterrupted;

Drivers using option #3 have to count the two hour period of sleeper berth or off-duty toward their 14 hour day. Drivers must understand, when using option #3, the driving time immediately before and after each 8 hour rest period, when added together shall not include any driving time after the 11th hour

**Non CDL Short-Haul Provision Guidelines:** A driver does not have to make a record of duty status (log book) if they can comply with the following short-haul requirements:

- Allows drivers of property carrying CMV's "Non CDL" to operate within a 150 air-mile (172.6 statute miles) radius of their normal work reporting location;
- Drivers may drive up to 11 hours after coming on duty following 10 or more consecutive hours off duty;
- Drivers are not required to keep Log Books, but must maintain time records;
- Drivers shall not drive after the 14 hour after coming on duty 5 days a week or after the 16 hour after coming on duty 2 days a week
- Employers must maintain and retain time records for a period of 6 months showing the time the duty period began, ended, and total hours on duty each day in place of RODS;

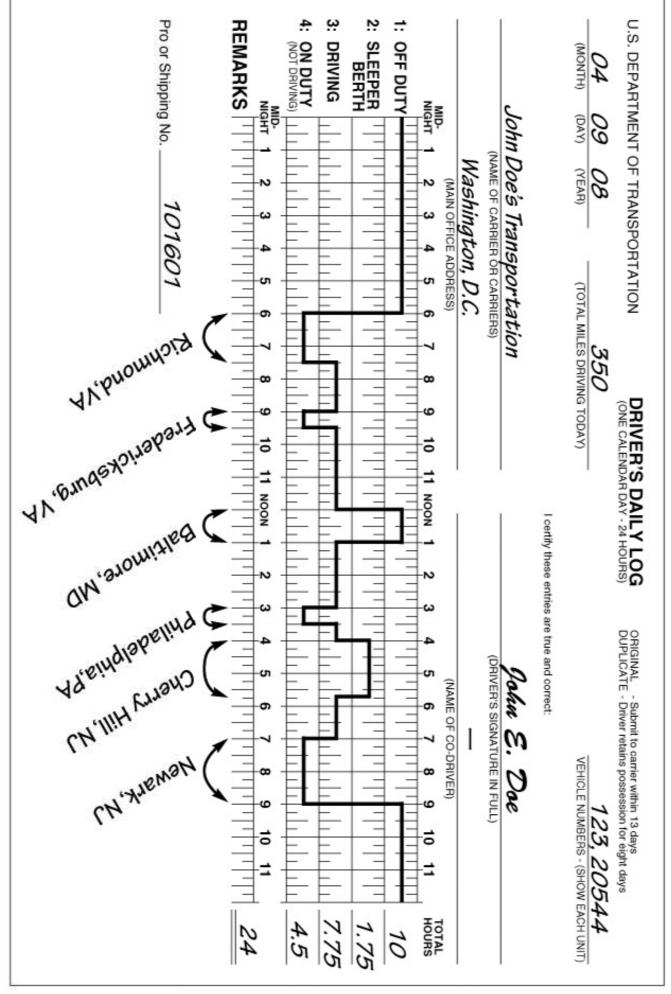
**CDL Short-Haul Guidelines:** A driver does not have to make a record of duty status (log book) if they can comply with the following requirements:

- (1) The driver operates within a 100 air-mile (115.08 statute miles) radius of the normal work reporting location;
- (2) The driver returns to the work-reporting station and is released from work within 12 consecutive hours;
- (3) At least 10 consecutive hours off duty separate each 12 consecutive hours on duty;
- (4) The driver does not exceed 11 hours maximum driving time following 10 consecutive hours off duty; and
- (5) The motor carrier maintains and retains for 6 months accurate and true time records showing the following:
  - (i) The time the driver reports for duty each day;
  - (ii) The total number of hours the driver is on duty each day;
  - (iii) The time the driver is released from duty each day; and
  - (iv) The total time for the preceding 7 days for drivers used the first time or multiple-employer drivers.

### PART 395 – Hours of Service Regulations for (Passenger) Carrier Drivers

- A motor carrier cannot allow or require any driver to drive:
- (1) More than 10 hours following 8 consecutive hours off duty; or
- (2) After being on duty 15 hours; or
- (3) After being on duty more than 60 hours in any 7 consecutive days
- A motor carrier operating vehicles every day of the week cannot allow or require any driver to drive after being on duty more than 70 hours in any 8 consecutive days
- It is recommended that motor carriers and drivers keep a summary (recap) of drivers' hours worked and hours available. This will prevent hours of service violations
- Every motor carrier must require every driver to make a record of duty status (log), in duplicate, for each 24-hour period
- Motor carriers and drivers who do not complete and keep records of duty status (logs) or who make false records of duty status (logs) can be prosecuted
- The driver must give or send by mail the original of the driver's record of duty status (log) to his/her motor carrier within 13 days after completing the record. The motor carrier must then keep the record of duty status (log) for 6 months
- A driver does not have to make a record of duty status (log) if the following apply:
- (1) The driver operates within a 100 air-mile radius of the normal work reporting location;
- (2) The driver returns to the work-reporting station and is released from work within 12 consecutive hours;
- (3) At least 8 consecutive hours off duty separate each 12 consecutive hours on duty;
- (4) The driver does not exceed 10 hours maximum driving time following 8 consecutive hours off duty; and
- (5) The motor carrier maintains and retains for 6 months accurate and true time records showing the following:
  - (i) The time the driver reports for duty each day
  - (ii) The total number of hours the driver is on duty each day
  - (iii) The time the driver is released from duty each day
  - (iv) The total time for the preceding 7 days for drivers used the first time or multipleemployer drivers

# A Completed Log



### **DRIVER'S TIME RECORD (150 air-mile radius)**

Driver's Name (print)	_Employee No MonthYear
DRIVERS MAY PREPARE THIS REPORT INSTEAD OF "DRIVERS DAILY LOG" IF THE FOLLOWING APPLIES:	INTERMITTENT DRIVERS
*For Drivers NOT required to have a CDL.  *Operates within 150 air-mile radius of the normal work reporting location.  *Returns to normal work reporting location and is released from work within 14 consecutive hours.  *At least 10 consecutive hours off duty separates each 14 hours on duty.	Shall complete this form for 7 days preceding any day driving is performed. This includes the preceding month.

Date	Start Time	End Time	Total Hours	Truck Number	Trip Information TO - FROM
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
26					
27					
28					
29					
30					
31					

To be prepared monthly by each DOT certified driver unless time record is exclusively kept on Driver's Daily Log. Indicate "days off." Check box if no driving is performed during this month and the first 7 days of the following month. Mail this report to your Division Manager of Administration.

### **DRIVER'S TIME RECORD (100 air-mile radius)**

Driver's Name (print)	_Employee No MonthYear
DRIVERS MAY PREPARE THIS REPORT INSTEAD OF "DRIVERS DAILY LOG" IF THE FOLLOWING APPLIES:  *Operates within 100 air-mile radius of the normal work reporting location.  *Returns to normal work reporting location and is released from work within 12 consecutive hours.  *At least 10 consecutive hours off duty separate each 12 hours on duty.	INTERMITTENT DRIVERS  Shall complete this form for 7 days preceding any day driving is performed. This includes the preceding month.

Date	Start Time	End Time	Total Hours	Truck Number	Trip Information TO - FROM
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
26					
27					
28					
29					
30					
31					

To be prepared monthly by each DOT certified driver unless time record is exclusively kept on Driver's Daily Log. Indicate "days off." Check box if no driving is performed during this month and the first 7 days of the following month. Mail this report to your Division Manager of Administration.

### OFF-DUTY AUTHORIZATION LETTER

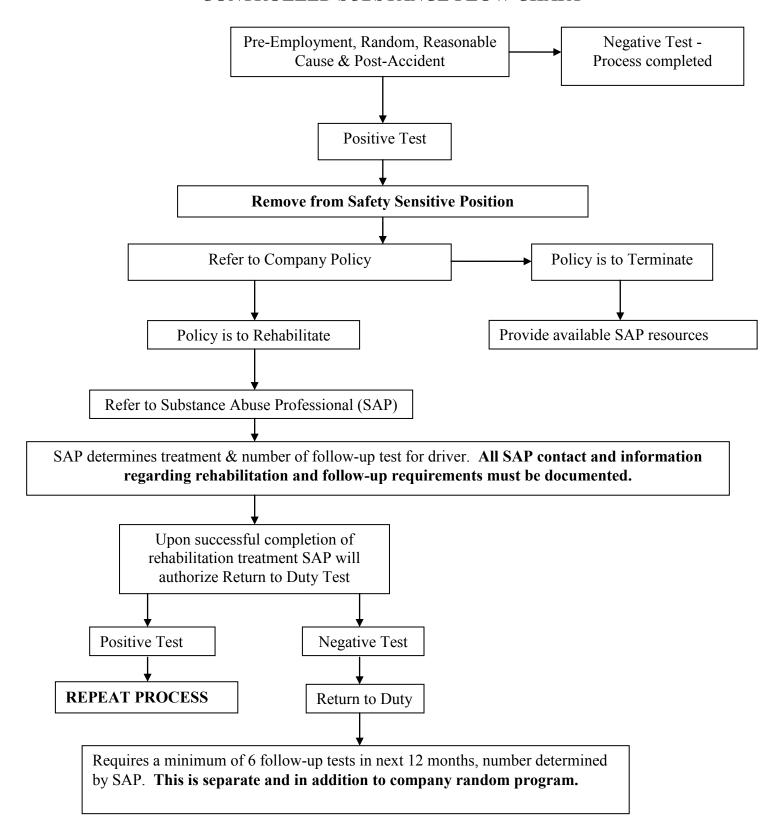
COMI	PANY NAME:
	etter authorizes our driver
to be C	Off-duty during meal and other routine stops.
(Part 3 howev	urpose of the Federal Motor Carrier Safety Regulations Hours of Service Regulations 95) is to keep tired drivers from operating vehicles. Under certain circumstances, er, it appears that en route stops for meals or other routine purposes may serve to lessen a s fatigue.
logs as	fore, this letter is authorization for you to record your meal or other routine stops on your stops. Off-Duty, rather than On-Duty Not Driving as would normally be the case. However, any be done only under all of the following circumstances.
1.	Your vehicle must be parked in a safe and secure manner so as to prevent obstruction of traffic and theft or damage to the vehicle and cargo.
2.	The off-duty period must be no less than 30 minutes and no longer than 60 minutes.
3.	During the off-duty period, you are relieved from responsibility from your vehicle and cargo.
4.	During the off-duty period, you are free to leave the premises on which your vehicle is parked and to pursue activities of your choosing, as long as your ability to safely operate your vehicle is not impaired as required by Part 392, "Driving of Vehicles," of the Federal Motor Carrier Safety Regulations.
Dri	iver Supervisor Date

# BREATH ALCOHOL AND CONTROLLED SUBSTANCE TESTING CONSORTIA

(Note: The list of private vendors below is not intended to be all-inclusive and is not endorsed as to the quality of the vendors. Any carrier may wish to conduct its own investigation as to sources.)

<b>Business and Industry Health Group</b>	ProMed Physician Service			
Kansas City, MO 816.523.7770	753 N West St			
Kansas City, MO 816.842.1146	Wichita, KS 316.648.3818			
Kansas City, MO 816.842.1146 Kansas City, MO 816.231.3611	316.648.3062			
Lenexa, KS 913.894.6600	310.010.3002			
Family Health Center	Southwest Medical Center			
Derby, KS 316.788.3741	Liberal, KS 620.624.1651			
Industrial Med Center	Utilities Consultants			
Kansas City, MO 816.241.4477	Wichita, KS 316.262.2658			
Med Express/National Lab Center	Drug Testing Network			
Memphis, TN 901.795.1515	Vista, CA 800.989.1206			
800.526.6339	VISIA, CA 800.389.1200			
800.326.6339 <b>The Consortium Inc.</b> 785.232.1196	WDCI			
	WPCI			
Topeka, KS 800.888.0112	Scottsbluff, NE 800.682.5176			
Mt. Carmel Medical Center	Foley Services			
Pittsburg, KS 620.232.0275	Hartford, CT 800.253.5506x0991			
	860.815.0991			
Global Med Technologies	Hire Right			
Denver, CO 303.238.2000	Tulsa, Oklahoma 866.205.6129			
Occupational Health Services	Technology Two			
St. Joseph, MO 816.233.7702	Dodge City, KS 800.204.2980			
Kansas City, KS 913.596.2774	Garden City, KS 620.276.1706			
Shawnee Mission, KS 913.495.9905				
Occupation Medicine Associates	S&G Associates			
Kansas City, KS 913.321.7557	Wichita, KS 316.945.5535			
Olathe, KS 913.829.4444				
<b>Pipeline Testing Consortium</b>	Kansas Drug Testing Inc			
Hutchinson, KS 620.669.8800	Topeka, KS 785.266.5311			
800.294.8758				
<b>DOT Medical Examiners of Kansas</b>	HMA MedWorks LLC			
843 S. Poplar 316-613-3683	Wichita, KS 316.686.0400			
Wichita, KS	,			
<b>Assured Occupational Solutions Inc</b>	Assured Occupational Solutions Inc			
	•			
308 W Central 316.321.3313	400 W. 4th 620.504.6614			
308 W Central 316.321.3313 El Dorado, KS 316.321.3288	400 W. 4th 620.504.6614 McPherson, KS 620.386.0825			
El Dorado, KS 316.321.3288	McPherson, KS 620.386.0825			

### CONTROLLED SUBSTANCE FLOW CHART



Cannot use driver until a NEGATIVE RETURN TO DUTY TEST has been received!!

# ALCOHOL AND CONTROLLED SUBSTANCE TEST INFORMATION RELEASE

From:	Company Individual Street
required by DOT Regulation 382.413 a prospective alcohol and controlled substance test results, within driver's previous employer. Please detach the wais	ment and listed your company as a past employer. As
<ol> <li>Number of positive controlled substance</li> <li>Number of refusals to be tested</li> </ol>	tration result of 0.04 or greaterce test results
By:(Signature of person supplying information)	Date:
W A	A I V E R
(Former Employer)	(Date)
in connection with the application for employment	oncerning my alcohol and controlled substance test athorized agents) which may request such information with said company. I hereby release you from any and above-mentioned information to the above-mentioned
(Signature of Applicant)	(Signature of Witness)

# PART 396-INSPECTION, REPAIR AND MAINTENANCE

Every motor carrier must see that all its vehicles are regularly inspected, repaired and maintained.

All vehicle parts and accessories must be in a safe and proper working order at all times.

Pushout windows, emergency doors and emergency door-marking lights in buses must be inspected at least every 90 days.

Generally, motor carriers must see that the following maintenance records are kept on each commercial motor vehicle:

- (1) An identification of the vehicle including company number (if so marked), make, serial number, year and tire size. Also, if the carrier does not own the vehicle, the records must show the name of the person providing the vehicle.
- (2) A way to show the type and due date of the various inspections and maintenance operations to be performed.
- (3) A record of inspection, repairs and maintenance showing their date and type.
- (4) A lubrication record.
- (5) A record of tests conducted on pushout windows, emergency doors, and emergency door-marking lights on buses.

Maintenance records must be kept where the vehicle is either housed or maintained for a period of 1 year and for 6 months after the vehicle leaves the motor carrier's control.

A vehicle must not be driven if it is likely to break down or cause an accident.

Every motor carrier must require its drivers to complete a vehicle inspection report at the end of each day. The report must identify the commercial motor vehicle and list anything wrong that could affect its safe operation.

Before the vehicle is driven again, the motor carrier must repair any safety defects listed and sign the vehicle inspection report.

A copy of the last vehicle inspection report must be available to the driver. Every motor carrier must keep the original vehicle report for at least 3 months.

(New Federal Rule effective 12/18/2014, drivers operating in INTERstate commerce a property carrying commercial motor vehicle are not required to prepare or submit a report if no defect or deficiency is discovered by or reported to the driver.)

Before driving a commercial motor vehicle the driver must do the following:

- (1) Be satisfied that it is in safe operating condition;
- (2) Review the last inspection report; and
- (3) Sign the report if defects were listed and the report has been signed to show that repairs were made.

NOTE: Examples of several maintenance forms are in this folder.

# THIS PAGE IS TO BE COMPLETED ON COMPANY LETTERHEAD

Ι,		, hereby certify that I am knowledgeable in the
requirement components annual vehice	s for performing an annual in compliance with the reg	vehicle inspection and I can identify defective gulations of the U. S. Department of Transportation for 149 CFR 396, Appendix G. I hereby agree to comply
A qualified in applicable.	inspector must meet <b>one</b> or	more of the following requirements. Please check those
	One-year experience as equipment maintenance	a mechanic or inspector in a motor carrier or intermodal program.
		a mechanic or inspector in commercial motor vehicle ercial garage, fleet-leasing company or similar facility.
_	•	d/or experience in truck manufacturer or similar draining designed to train in truck maintenance.
		a state or federal sponsored training program which as a commercial vehicle safety inspector.
	— One-year experience as federal government.	a commercial vehicle inspector for a state, provincial or
		Signature of Mechanic/Inspector
I,		hereby certify that
Meets the re compliance	equirements for a qualified	inspector to perform the annual vehicle inspection in U. S. Department of Transportation for qualified
Dated this	dav of	. 20

# **BRAKE INSPECTOR**

Ι,		_, hereby certify that I under	stand the brake service or inspection
my training o	or experience in	compliance with the regulat	ke service or inspections by virtue of ions or the United States Department of
-		pections contained in 49 CFF verning brake service or insp	R Part 396.25. I hereby agree to comply ections.
I am qualifie	d to perform the	e following brake duties assig	gned by the motor carrier:
	-	Adjust and inspect	brakes
	-	Replacement and re	epair of brake system components
By reason of	one or more of	the following requirements:	(Please check those that apply)
	• •	perience performing brake ma ermodal equipment brake ser	aintenance and/or inspection in a motor vice program.
	•	p or training program, which	anadian, or Labor Union sponsored qualifies me to perform brake service
	manufacture	•	experience in brake or vehicle ing program designed to train in brake
	•	1 .	maintenance and/or inspection similar e, fleet-leasing company, or similar
Ι,		, hereby certify that	has erform the assigned brake maintenance
task in comp	liance with the	ualified brake inspector to per regulations of the U. S. Depa in 49 CFR Part 396.25.	erform the assigned brake maintenance artment of Transportation for qualified
Dated this	day of	. 20	

	Allitual Periodic	venicle inspection	пкероп				
Name and Address of Inspecting Company	or Agency						
Registered Owner's Name		Date		Time			
Street		Certified Inspector's Nar	ne (Print or Type)				
City, State, Zip Code			The technician meets and exceeds all requirements of 49 CFR				
Motor Corrier Operating Vahiala (If different	(from Oursell		§396.19 and compatible state regulations and can perform the inspection according to the appendix G criteria and that the				
Motor Carrier Operating Vehicle (If different	Tirom Owner)						
Street		technician has the necessary tools, and is skilled in completion					
Sicci		of the annual ins	of the annual inspection, as listed in 49 CFR §396.19.				
City, State, Zip Code							
, , , , , , , , , , , , , , , , , , , ,		Technician's Signature					
License Plate Number/State	Vehicle Identification Number	Vehicle Make	Vehicle Model	Model Year			
	•		•	-			

# **Vehicle Components Inspected**

		venicle components inspected									
OK	Need Repair	Repair Date	Item	OK	Need Repair	Repair Date	Item	OK	Need Repair	Repair Date	Item
			1. BRAKE SYSTEM				5. FUEL SYSTEM				10. SUSPENSION
			Adjustment				Visible Leaks				Springs (cracked/broken/shifted)
			Drums or Rotors				Fill Caps in place/intact				U-bolts. Hangers, etc.
			Hoses and/or Tubing				Tank(s) securely attached				Torque, Radius, Tracking Arms
			Lining				6. LIGHTING DEVICES				11. FRAME
			Warning (Low Pressure)				Headlamps				Frame Members
			Tractor Protection Valve				Front Turn Signals				Tire & Wheel Clearance
			Air Compressor				Front ID/Clearance Lamps				Sliding Subframe (adj. axle)
			Service Brakes				Side Marker Lamps – Left				12. TIRES
			Parking Brakes				Side Marker Lamps -Right				Steering Axle Tires -Condition
			Electric Brakes				Rear Turn Signals				Steering Tires - over 4/32" tread
			Hydraulic Brakes				Stop Lamps				Other Tires – Condition
			Vacuum Brakes				Tail Lamps				Other Tires – over 2/32" tread
			Warning (Sys Failure)				Rear ID/Clearance Lamps				13. WHEELS & RIMS
			2. STEERING SYSTEM				Reflectors / Ref Tape				Lock/Slide Ring
			Free Play (Lash)				7. COUPLING DEVICES				Fasteners
			Steering Column				5 <sup>TH</sup> Wheel				Disk/Spoke Condition
			Front Axle Beam				Pintle Hooks				Welds
			Steering Gear Box				Drawbar Eye		ı	ı	List any other condition
			Pittman Arm				Drawbar Tongue				which may affect safe
			Ball & Socket Joints				Safety Devices				vehicle operation
			Tie Rods & Drag Links				8. EXHAUST SYSTEM				
			Nuts, Bolts, Fasteners				Leaks				
			Power Steering Fluid				Placement				
			3. WINDSHIELDS				9. SAFE LOADING				
			4. WIPERS	ĺ			Securement Devices				
MAR	K COL	UMNS	AS FOLLOWS: x = OI	K; o =	Needs	repai	r; <b>NA</b> = Does not apply;	Fill in	Repair	date a	s appropriate

I CERTIFY THE ANNUAL VEHICLE INSPECTION HAS BEEN DONE ACCURATELY AND COMPLETELY. I FURTHER CERTIFY THAT THIS INSPECTION COMPLIES WITH THE REQUIREMENTS OF 49 CFR §396.21.

This information must be available on board the vehicle, either as CFR $\S396.17(c)(2)$ . This report must be kept a minimum of fourte	
Certified Inspector's Signature:	Date:

INSPECTION AND MAINTENANCE RECORD - POWER UNIT								
Make		Y	ear	Model Serial Number				
Tire Si	ze	P1	y Rating		Company Number			
If Leas	ed, Na	me of I	Leaser					
Month	Day	Year	Mileage		Lub	Oil	Repair and Other Service	Cost

INSPECTION AND MAINTENANCE RECORD - SEMI-TRAILER									
Make	Year		Mo	Model Serial Number					
Tire S	ize	P	ly Rating_		Company	/ Number_			
If Lea	Leased, Name of Leaser								
Month	Day	Year	Light and Reflectors	Wheels and Tires	Running Gear Undercarriage	Brake System	Hose, Tubing	Body Etc.	Cost

INSPECTION AND MAINTENANCE SCHEDULE					
Date	Next Inspection and Maintenance Schedule				

# DRIVER'S VEHICLE INSPECTION REPORT

Check any defective item and gi	ve details under "Re	marks."					
Date:							
Truck/Tractor Number:							
Service brakes including to connections	ailer brake	Windshield wipers					
Parking (hand) brake		Rear vision mirrors					
Steering mechanism		Coupling devices					
Lighting devices and reflec	ctors	Wheels and rims					
Tires		Emergency equipment					
Horn							
Trailer(s) Number(s):							
Brake connections		Landing gear					
Brakes		Lights - All					
Coupling chains		Springs					
Coupling (King) pin		Tarpaulin					
Doors		Tires					
Hitch		Wheels					
Remarks:							
Remarks.							
Condition of the shove y	ahiala is satisfaatawa						
Condition of the above v	Condition of the above vehicle is satisfactory  Driver's Signature						
Above defects corrected							
Above defects need not b	be corrected for safe	operation of vehicle					
Mechanic's Signature		Driver's Signature	Date				

# ACCIDENT REGISTER

Date of Accident	City, town, or other location of accident	Name of Driver	Number Injured	Number of Fatalities	Was Haz Matl released? (Fuel spilled from tank not included)	Copies of accident reports other than purchased state reports.

# ENDORSEMENT FOR MOTOR CARRIER POLICIES OF INSURANCE FOR PUBLIC LIABILITY UNDER SECTIONS 29 AND 30 OF THE MOTOR CARRIER ACT OF 1980

Issued to			of				
Dated at		this	day of	20			
Amending	g Policy Number		Effective Date —	20			
Name of Insurance Company Telephone Number ( _ ) Countersigned by Authorized Company Representative							
· · · ·				Authorized Company Representative			
The policy shown:	y to which this endorsen	nent is attached provides	s primary or excess insurance	e, as indicated by the "X" inside the box, for the limits			
	This insurance is prima	ary and the company sha	all not be liable for amounts i	in excess of \$for each accident.			
			ot be liable for amounts in exc for each accident.	cess of \$for each accident in excess of			
policy and	d all its endorsements. T	The company also agrees	s, upon telephone request by	e company agrees to furnish the FMCSA a duplicate of said an authorized representative of the FMCSA, to verify that			
Cancellati	ion of this endorsement	may be effected by the c	company or the insured by gi	ving thirty-five days notice in writing to the other party shall be sufficient proof of notice.)			
		DEFINITIO	ONS AS USED IN THIS END	OORSEMENT			
	NT includes continuous insured neither expecte		conditions which results in b	oodily injury, property damage, or environmental damage			
		vehicle, machine, truck , or any combination the		er propelled or drawn by mechanical power and used on a			
BODILY	INJURY means injury t	o the body, sickness, or	disease to any person, includ	ding death resulting from any of these.			
ENVIORNMENTAL RESTORATION means restitution for the loss, damage, or destruction of natural resources arising out of the accidental discharge, dispersal, release or escape into or upon the land, atmosphere, watercourse, or body of water, of any commodity transported by a motor carrier. This shall include the cost of necessary measures taken to minimize or mitigate damage to human health, the natural environment, fish, shellfish, and wildlife.							
PROPER	ΓΥ DAMAGE means da	amage to or loss of use of	of tangible property.				
PUBLIC I	LIABILITY means liabi	lity for bodily injury, pr	roperty damage, and environr	nental restoration.			
The insura	ance policy to which thi	s endorsement is attache	ed provides automobile liabili	ity insurance and is amended to assure compliance by the			

The insurance policy to which this endorsement is attached provides automobile liability insurance and is amended to assure compliance by the insured, within the limits stated herein, as a motor carrier of property, with Sections 29 and 30 of the Motor Carrier Act of 1980 and the rules and regulations of the Federal Motor Carrier Safety Administration.

In consideration of the premium stated in the policy to which this endorsement is attached, the insurer (the company) agrees to pay, within the limits of liability described herein, any final judgment recovered against the insured for public liability resulting from negligence in the operation, maintenance or use of motor vehicles subject to the financial responsibility requirements of Sections 29 and 30 of the Motor Carrier Act of 1980 regardless of whether or not each motor vehicle is specifically described in the policy and whether or not such negligence occurs on any route or in any territory authorized to be served by the insured or elsewhere. Such insurance as is afforded, for public liability, does not apply to injury to or death of the insured's employees while engaged in the course of their employment, or property transported by the insured, designated as cargo. It is understood and agreed that not condition, provision, stipulation, or limitation contained in the policy, this endorsement, or any other endorsement thereon, or violation thereof, shall relieve the company from liability or from the payment of any final judgment, with the limits of liability herein described, irrespective of the financial condition, insolvency or bankruptcy of the insured. However, all terms, conditions and limitations in the policy to which the endorsement is attached shall remain in full force and effect as binding between the insured and the company. The insured agrees to reimburse the company for any payment made by the company on account of any accident, claim, or suit involving a breach of the terms of the policy, and for any payment that the company would not have been obligated to make under the provisions of the policy except for the agreement contained in this endorsement.

It is further understood and agreed that, upon failure of the company to pay any final judgment recovered against the insured as provided herein, the judgment creditor may maintain an action in any court of competent jurisdiction against the company to compel such payment.

The limits of the company's liability for the amounts prescribed in this endorsement apply separately, to each accident, and any payment under the policy because of any one accident shall not operate to reduce the liability of the company for the payment of final judgments resulting from any other accident.

The Motor Carrier Act of 1980 requires limits for financial responsibility according to the type of carriage and commodity transported by the motor carrier. It is the motor carrier's obligation to obtain the required limits of financial responsibility.

Form MCS-90

# APPLYING KCC ECONOMIC REGULATIONS

(K.S.A. 66-1,109 & 82-4-30a(d) (UCR)) 4/9/2015

#### **NOTE: EFFECTIVE APRIL 9, 2015**

Private motor carriers **domiciled** in Kansas operating commercial motor vehicles (CMVs) with a GVW, GVWR, GCW, or GCWR of **10,001 to 26,000 pounds** and registered pursuant to K.S.A. 8-126 et seq. and amendments thereto are exempt from KCC authority.

**DOMICILE** shall mean the principal place of business of a motor carrier or a permanent location in Kansas for a vehicle or vehicles annually registered in Kansas.

For complete details see K.S.A. 66-1,109(x)

IS THE MOTOR VEHICLE OPERATED BY A <u>COMMON</u> MOTOR CARRIER (K.S.A. 66-1,108 & 66-1,110) operating a CMV with a GVW, GVWR, GCW, or GCWR of 10,001 or more pounds?

Any person who holds himself out to the public as willing to undertake for hire to transport by <u>CMV</u>, from place to place, the property of others who may choose to employ him.

#### IF YES, CONTINUE

OR

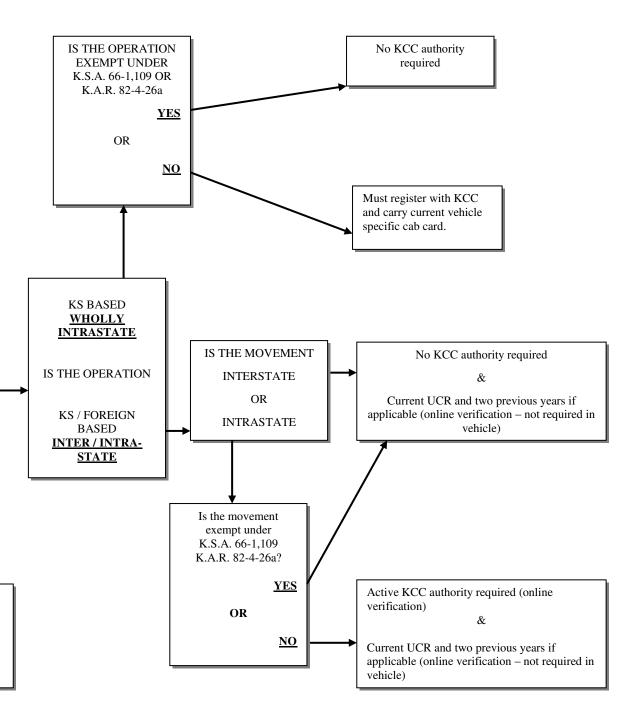
IS THE MOTOR VEHICLE OPERATED BY A <u>PRIVATE</u> MOTOR CARRIER (K.S.A. 66-1,108) operating a CMV with a GVW, GVWR, GCW, or GCWR of 26,001 or more pounds?

Any person who provides transportation of property or passengers, by  $\underline{CMV}$  and is not a  ${\bf COMMON}$  (for hire) motor carrier.

IF YES, CONTINUE

#### \*K.A.R. 82-4-26a

A private motor carrier engaged in the occasional transportation of personal property that is not for compensation and is not in the furtherance of a commercial enterprise shall not be required KCC authority.



# KCC ECONOMIC EXEMPTIONS - K.S.A. 66-1,109, (4/9/2015 updates in BOLD Italics)

- (a) Transportation by motor carriers wholly within the corporate limits of a city or village in this state or in this and another state, or between contiguous cities or villages in this state or in this and another state, or between a city or village in this or another state and the suburban territory in this state within three miles of the corporate limits thereof, or between cities and villages in this state and cities and villages in another state which are within territory designated as a commercial zone by the relevant federal authority, except that none of the exemptions specified in this subsection (a) shall apply to wrecker carriers;
- (b) A private motor carrier who operates within a radius of 25 miles beyond the corporate limits of its city or village of domicile, or who operates between cities and villages in this state and cities and villages in another state which are within territory designated as a commercial zone by the relevant federal authority. For the purpose of this subsection, "domicile" shall mean the principal place of business of a motor carrier;
- (c) The owner of livestock or producer of farm products transporting livestock of such owner or farm products of such producer to market in a motor vehicle of such owner or producer, or the motor vehicle of a neighbor on the basis of barter or exchange for service or employment, or to such owner or producer transporting supplies for the use of such owner or producer in a motor vehicle of such owner or producer, or in the motor vehicle of a neighbor on the basis of barter or exchange for service or employment;
- (d) (1) The transportation of children to and from school, (2) to motor vehicles owned by schools, colleges, and universities, religious or charitable organizations and institutions, or governmental agencies, when used to convey students, inmates, employees, athletic teams, orchestras, bands or other similar activities, or (3) motor vehicles owned by non-profit organizations meeting the qualification requirements of Section 501 (c) of the Internal Revenue Code of 1986, and amendments thereto, when transporting property or materials belonging to the owner of the vehicle;
- (e) A new vehicle dealer as defined by K.S.A 8-2401, and amendments there-to, when transporting property to or from the place of business such dealer;
- (f) Motor vehicles carrying tools, property or material belonging to the owner of the vehicle and used in repair, building or construction work, not having been sold or being transported for the purpose of sale;
- (g) Persons operating motor vehicles which have an ad valorem tax situs in and are registered in the state of Kansas, and used only to transport grain from the producer to an elevator or other place for storage or sale for a distance of not to exceed 50 miles; (the vehicle can only be used to transport grain 365 days a year)
- (h) The operation of hearses, funeral coaches, funeral cars or ambulances by motor carriers;
- (i) Motor vehicles owned and operated by United States and the District of Columbia, any state, any municipality or any other political subdivision of this state, including vehicles used exclusively for handling U. S. mail; and the operation of motor vehicles used exclusively by organizations operating public transportation systems pursuant to 49 U.S.C. §§ 5307, 5310, & 5311:
- (j) Any motor vehicle with a normal seating capacity of not more than the driver and 15 passengers while used for vanpooling or otherwise not for profit in transporting persons who, as a joint undertaking, bear or agree to bear all the costs such operations, or motor vehicle with a normal seating capacity of not more than the driver and 15 passengers for not-for-profit transportation by one or more employers of employees to and from the factories, plants, offices, institutions, construction sites or other places of like nature where such persons are employed or accustomed to work;
- (k) Motor vehicle used to transport water for domestic purposes, as defined by K.S.A. 82a-701(c), and amendments thereto, or livestock consumption;
- (I) Transportation of sand, gravel, slag stone, limestone, crushed stone, cinders, calcium chloride, bituminous or concrete mixtures, blacktop, dirt or fill material to a construction site, highway maintenance or construction project or other storage facility and the operation of ready-mix concrete trucks in transportation of ready-mix concrete;
- (m) The operation of a vehicle used exclusively for the transportation of solid waste, as the same is defined by K.S.A 65-3402, and amendments thereto, to any solid waste processing facility or solid waste disposal area, as the same is defined by K.S.A. 65-3402 and amendments thereto;
- (n) The transporting of vehicles used solely in the custom combining business when being transported by persons engaged in such business;
- (o) The operation of vehicles used for servicing, repairing or transporting of implements of husbandry, as defined in K.S.A. 8-1427, and amendments thereto, by a person actively engaged in the business of buying, selling or exchanging of implements of husbandry, if such operation is within 100 miles of such person's established place of business in this state;
- (p) Transportation by taxi or bus companies operated exclusively within any city or within 25 miles of the point of its domicile in a city. For the purpose of this subsection, "domicile" shall mean the principal place of business of a motor carrier;
- (q) A vehicle being operated with a dealer license plate issued under K.S.A. 8-2406, and amendments thereto, and in compliance with K.S.A. 8-136, and amendments thereto, and vehicles being operated with a full-privilege license plate issued under K.S.A. 8-2425 and amendments thereto;
- (r) The operation of vehicles used for transporting materials used in the servicing or repairing of refractory linings of industrial boilers;
- (s) Transportation of newspapers published at least one time each week:
- (t) Transportation of animal dung to be used for fertilizer;
- (u) The operation of ground water well drilling rigs;
- (v) The transportation of cotton modules from the field to the gin:
- (w) Custom Harvested silage, including but not limited to; corn, wheat and milo; and
- (x) (Effective 4/9/2015) Private motor carriers domiciled in Kansas operating commercial motor vehicles (CMV) with a gross vehicle weight (GVW), gross vehicle weight rating (GVWR), gross combination weight (GCW), or gross combination weight rating (GCWR) of 10,001 to 26,000 pounds and registered pursuant to K.S.A. 8-126 et seq., and amendments thereto. This exception does not apply to commercial motor vehicles, regardless of weight, which are designed or used to transport 16 or more passengers, including the driver, or intrastate public (for hire) motor carriers of property or passengers, or any motor vehicles which are used in the transportation of hazardous materials and required to be placarded pursuant to 49 C.F.R. part 172, subpart F. For the purpose of this subsection, "domicile" shall mean the principal place of business of a motor carrier or a permanent location in Kansas for a vehicle or vehicles annually registered in Kansas.

# APPLYING KCC SAFETY REGULATIONS

4/9/2015

#### Is the vehicle a <u>Commercial Motor</u> <u>Vehicle (CMV)?</u>

#### CMV Definition K.A.R.82-4-1(c)

Is the GVW, GVWR, GCW or GCWR 10,001 or more pounds?

#### IF YES, CONTINUE

#### OR

Is it designed to transport more than 15 passengers, including the driver and is not used to transport passengers for compensation?

#### IF YES, CONTINUE

#### <u>OR</u>

Is it designed to transport more than 8 passengers, including the driver for compensation?

#### IF YES, CONTINUE

#### OR

Is it used in transporting an amount of Hazardous Material requiring Placarding?

#### **IF YES, CONTINUE**

Is the motor vehicle operated by a **COMMON** (**for hire**) motor carrier (K.S.A. 66-1,108 & 66-1,110) operating a CMV with a GVW, GVWR, GCW, or GCWR of **10,001 or more pounds?** 

(Any person who holds himself out to the public as willing to undertake for hire to transport by CMV, from place to place, the property of others who may choose to employ him.)

#### IF YES, CONTINUE

#### OR

Is the motor vehicle operated by a **PRIVATE** motor carrier, (**K.S.A 66-1,108**) operating a CMV with a GVW, GVWR, GCW, or GCWR of **26,001 or more pounds?** 

(Any person who provides transportation of property or passengers, by CMV and is not a **COMMON** (for hire) motor carrier.)

#### IF YES, CONTINUE

# Is the operation exempt KCC safety rules and under: regulations apply and must display USDOT K.S.A. 66-1.129(c). number. K.A.R. 82-4-3(a), or K.A.R. 82-4-26a? IF NO, CONTINUE **NOTE** Is the operation Check K.A.R. 82-4-3a(a)(2)(B) & (c) for possible HOS **INTRASTATE** exceptions OR **INTERSTATE?** Is the operation exempt KCC safety rules and under: regulations apply and must display USDOT K.A.R. 82-4-3(a) or K.A.R. 82-4-26a? number. IF NO, CONTINUE **NOTE** Check 49 CFR 395.1(k) for first move Ag. HOS exceptions

### \*K.A.R. 82-4-26a

A private motor carrier engaged in the occasional transportation of personal property that is not for compensation and is not in the furtherance of a commercial enterprise shall not be required KCC authority.

# KCC SAFETY Regulations - K.S.A. 66-1,129, (4/9/2015 updates in BOLD Italics)

**66-1,129. Motor carriers; safety rules and regulations adopted by commission; exceptions.** (a) The commission shall adopt rules and regulations necessary to carry out the provisions of this act. No public motor carrier of property, household goods or passengers or private motor carrier of property shall operate or allow the operation of any motor vehicle on any public highway in this state except within the provisions of the rules and regulations adopted by the commission. Rules and regulations adopted by the commission shall include:

- (1) Every vehicle unit shall be maintained in a safe and sanitary condition at all times.
- (2) Every driver of a public or private motor carrier, except the driver of a farm vehicle, operating as a carrier of intrastate commerce within this state, shall be at least 18 years of age.

  All such drivers shall be competent to operate the motor vehicle under such driver's charge.
- (3) Minimum age requirements for every driver of a motor carrier, operating as a carrier of interstate commerce, shall be consistent with federal motor carrier regulations.
- (4) Hours of service for operators of all motor carriers to which this act applies shall be fixed by the commission.
- (5) Accidents arising from or in connection with the operation of motor carriers shall be reported to the commission within the time, in the detail and in the manner as the commission requires.
- (6) Every motor carrier shall have attached to each unit or vehicle distinctive marking adopted by the commission.
- (7) Motor carrier transportation requirements that are consistent with continuation of the federal motor carrier safety assistance program and other federal requirements concerning transportation of hazardous materials.
- (b) No rules and regulations adopted by the commission pursuant to this section shall require the operator of any motor vehicle having a gross vehicle weight rating or gross combination weight rating of not more than 10,000 pounds to submit to a physical examination, unless required by federal laws or regulations.
- (c) The provisions of 49 C.F.R. parts 390-399 adopted by reference in the rules and regulations of the commission shall not apply to the following, while engaged in the carriage of intrastate commerce:
- 1) The owner of livestock or producer of farm products transporting livestock of such owner or farm products of such producer to market in a motor vehicle of such owner or producer, or the motor vehicle of a neighbor on the basis of barter or exchange for service or employment, or to such owner or producer transporting supplies for the use of such owner or producer, or in the motor vehicle of a neighbor on the basis of barter or exchange for service or employment.
- 2) The transportation of children to and from school, or to motor vehicles owned by schools, colleges, and universities, religious or charitable organizations and institutions, or governmental agencies, when used to convey students, inmates, employees, athletic teams, orchestras, bands or other similar activities.
- 3) (Effective 4/9/2015) Private motor carriers domiciled in Kansas operating commercial motor vehicles (CMV) with a gross vehicle weight (GVW), gross vehicle weight rating (GVWR), gross combination weight (GCW), or gross combination weight rating (GCWR) of 10,001 to 26,000 pounds and registered pursuant to K.S.A. 8-126 et seq., and amendments thereto. Such carriers shall comply with 49 C.F.R. part 393, subpart I (load securement) and subpart F (coupling devices), as adopted by K.A.R. 82-4-3j; and 49 C.F.R. part 396.17 (annual inspection), as adopted by K.A.R. 82-4-3j. Any deficiencies related to the above regulations discovered roadside or any defects identified at the time of the annual inspection shall be corrected prior to returning the commercial motor vehicle to operational status. This exception does not apply to commercial motor vehicles, regardless of weight, which are designed or used to transport 16 or more passengers, including the driver, or intrastate public (for hire) motor carriers of property or passengers, or any motor vehicles which are used in the transportation of hazardous materials and required to be placarded pursuant to 49 C.F.R. part 172, subpart F. For the purpose of this subsection "domicile" shall mean the principal place of business of a motor carrier or a permanent location in Kansas for a vehicle or vehicles annually registered in Kansas.

- 4) Persons operating motor vehicles which have an ad valorem tax situs in and are registered in the state of Kansas, and used only to transport grain from the producer to an elevator or other place for storage or sale for a distance of not to exceed 50 miles.
- 5) The operation of hearses, funeral coaches, funeral cars or ambulances by motor carriers.
- 6) Motor vehicles owned and operated by the United States, the District of Columbia, any state, any municipality or any other political subdivisions of this state.
- 7) Any motor vehicle with a normal seating capacity of not more than the driver and 15 passengers while used for vanpooling or otherwise not for profit in transporting persons who, as a joint undertaking, bear or agree to bear all the cost of such operations, or motor vehicles with a normal seating capacity not more than the driver and 15 passengers for not-for-profit transportation by one or more employees to and from the factories, plants, offices, institutions, construction sites or other places of like nature where such persons are employed or accustomed to work.
- 8) Motor vehicles used to transport water for domestic purposes, as defined by subsection (c) of K.S.A. 82a-701, and amendments thereto, or livestock consumption.
- 9) The operation of vehicles used for servicing, repairing or transporting of implements of husbandry, as defined in K.S.A. 8-1427, and amendments thereto, by a person actively engaged in the business of buying, selling or exchanging implements of husbandry, if such operation is within 100 miles of such person's established place of business in this state, unless the implement of husbandry is transported on a CMV.

#### K.A.R. 82-4-3a(c)

(c) Any wrecker or tow truck, as defined by K.S.A. 66-1329 & amendments thereto, with a GVWR or GCWR of 26,000 or less, shall not be subject to <u>Log book regulations</u> while making an <u>INTRASTATE</u> movement.

#### Agriculture commodities exemption from HOS - K.A.R. 82-4-3a(a)(1)(J)

- (J) 49 C.F.R. 395.1(k) shall be deleted and replaced by the following:
  - "(k)(1) The provisions of this regulation shall not apply to any of the following, during planting and harvesting seasons, as defined in this regulation:
    - "(A) Drivers transporting agricultural commodities from the source of the agricultural commodities to a location within a 150-air-mile radius from the source:
    - "(B) Drivers transporting farm supplies from a wholesale or retail distribution point to a farm or other location where the farm supplies are intended to be used within a 150-air-mile radius from the distribution point; or
    - "(C) Drivers transporting farm supplies from a wholesale distribution point to a retail distribution point within a 150-air-mile radius from the wholesale distribution point.
    - "(2) 'Planting and harvesting seasons' means the time periods for planting, growing, and harvesting that occur between January 1 and December 31."

# 66-1,129a Chapter 66.--PUBLIC UTILITES Article 1.--POWERS OF THE STATE CORPORATION COMMISSION

**66-1,129a.** (a) The commission, at any time for good cause shown, may suspend the operation of any motor carrier subject to economic or safety rules and regulations adopted by the commission. Upon notice and an opportunity to be heard in accordance with the provisions of the Kansas administrative procedure act, the commission may revoke, amend, initiate sanctions or fine any motor carrier who has a certificate, license or permit issued by the commission or is subject to the safety rules and regulations adopted by the commission. Any motor carrier suspended prior to a hearing must be afforded the opportunity of a hearing on the matter. If such a hearing is requested, the hearing shall be held within 10 days of the request.

(b) The director of the commission's transportation division, at any time for a good cause shown, may request the Kansas highway patrol to impound a motor carrier's vehicle or vehicles when that motor carrier has:

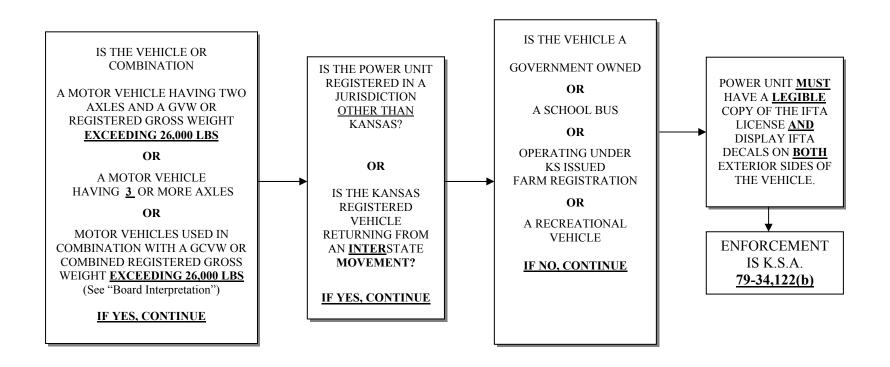
- (1) Failed to comply with an out-of-service order;
- (2) failed to comply with a cease or desist order;
- (3) failed to obtain commission authority to operate;
- (4) failed to pay a commission-assessed civil penalty; or
- (5) has otherwise failed to comply with a commission order. Any motor carrier whose vehicle is impounded prior to a hearing must be afforded the opportunity of a hearing on the matter. If such a hearing is requested, the hearing shall be held within 10 days of the request.
- (c) The commission is authorized to enter into any contracts or agreements necessary with the superintendent of the Kansas highway patrol, in order to provide facilities and personnel to accomplish the impounding of vehicles.
- (d) If the owner of a motor vehicle which has been impounded pursuant to this section refuses to pay any towing, impoundment, storage or other fess related to the impoundment of such vehicle or fails to take possession of such vehicle within 30 days following the date of the expiration of the impoundment period, such vehicle shall be deemed abandoned and the vehicle may be disposed of by the person having possession of such vehicle. If the person having possession of such vehicle is a public agency, disposition of such vehicle shall be in compliance with the procedures for notice and public auction provided by paragraph (2) of sub-section (a) of K.S.A. 8-1102, and amendments thereto. If the person having possession of such vehicle is not a public agency, disposition of such vehicle shall be in compliance with K.S.A. 8-1103 through 8-1108, and amendments thereto.

History: L. 2003, ch. 124 § July 1.

## **APPLYING IFTA**

# "International Fuel Tax Agreement"

10/2008

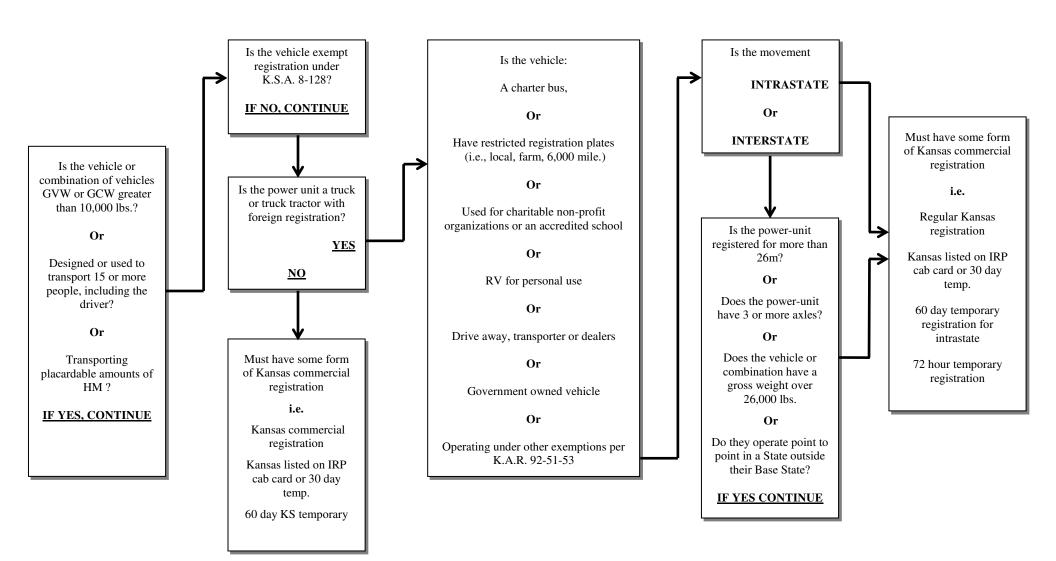


# **BOARD INTERPRETATION:**

The Consensus Board Interpretation is that the axles of a trailing unit have no bearing on this definition. The part of the definition alluding to the number of axles applies only to the axles on the power unit, irrespective of any trailing units. The Board agreed that a power unit with two axles, pulling a trailing unit, with a combined gross or registered weight of 26,000 pounds or less is not a qualified motor vehicle. The first and second definitions of a qualified motor vehicle refer only to the power unit. The third definition refers to the combination of the power unit and the trailing unit.

# APPLYING KANSAS REGISTRATION

Commercial Vehicle Registration and/or IRP "a.k.a. Apportioned Registration" (11/2014)



#### K.S.A. 8-128. Registration of vehicles, exceptions.

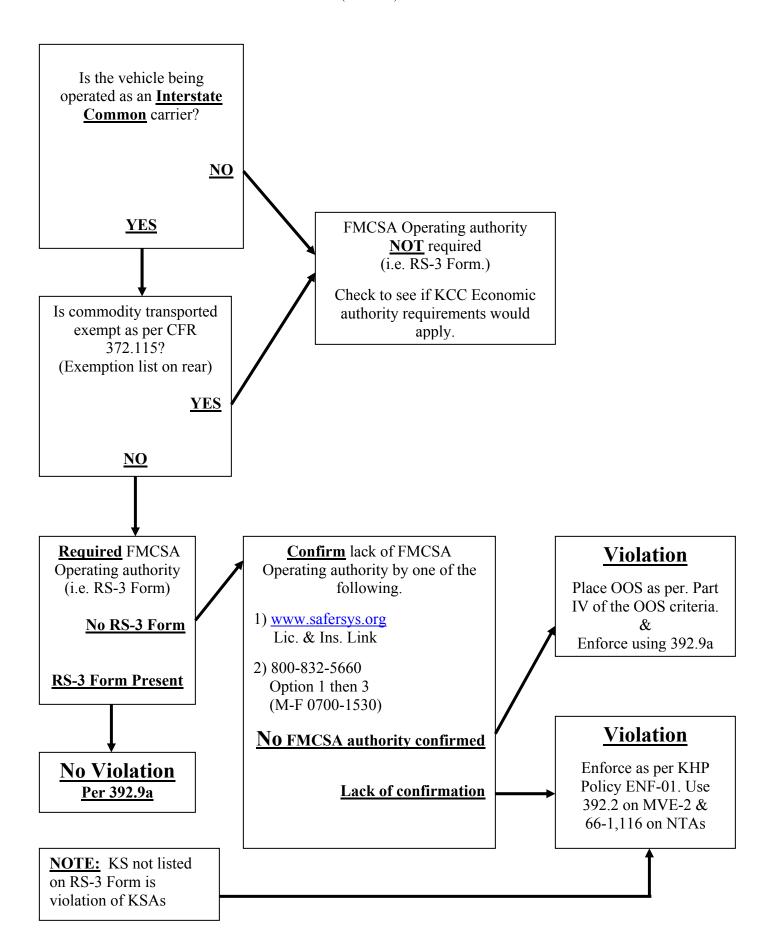
- a) The following need not be registered under this act, any
  - (1) Implement of husbandry;
  - (2) All-terrain vehicle;
  - (3) Micro utility truck;
  - (4) Golf cart;
  - (5) Work-site utility vehicle;
  - (6) Road roller or road machinery temporarily operated or moved upon the highways;
  - (7) Municipally owned fire truck;
  - (8) Privately owned fire truck subject to a mutual aid agreement with a municipality;
  - (9) School bus owned and operated by a school district or a nonpublic school which have the name of the municipality, school district or nonpublic school painted plainly thereon;
  - (10) Farm trailer used in carrying not more than 6,000 lbs. owned by a person engaged in farming, which trailer is used exclusively by the owner to transport agricultural products produced by such owner or commodities purchased by the owner for use on the farm owned or rented by the owner of such trailer
  - (11) Farm trailer used and designed for transporting hay or forage from a field to a storage area or from a storage area to a feedlot, which is only incidentally moved or operated upon the highways, except that this paragraph shall not apply to a farm semi trailer.
- (b) Self-propelled cranes where the crane operator on a job site operates the controls of such crane from a permanent housing or module on the crane and the crane is not used for the transportation of property, except the property that is required for the operation of the crane itself and earth moving equipment which are equipped with pneumatic tires may be moved on the highways of this state from one job location to another, or to or from places of storage, delivery or repair, without complying with the provisions of the law relating to registration and display of license plates but shall comply with all the other requirements of the law relating to motor vehicles.
- (c) Oil well servicing, oil well clean-out or oil well drilling machinery or equipment need not be registered under this act but shall comply with all the other requirements of the law relating to motor vehicles.
- (d) A truck permanently mounted with a hydraulic concrete pump and placing boom may be moved on the highways of this state from one job location to another, or to or from places of storage delivery or repair, without being registered under this act, but shall comply with all the other requirements of the law relating to motor vehicles.

  The provisions of this subsection shall not apply to ready-mix concrete trucks.

### K.A.R. 92-51-53 vehicles exempt from apportioned registration

- (a) Vehicles that are based in a state with which Kansas has an agreement for apportioned registration and that are owned by an individual engaged in farming and used by the owner to transport agricultural products produced by the owner or commodities purchased by the owner for farm use shall be exempt from apportioned registration.
- (b) Motor vehicles based in Missouri that bear "local" Missouri registration shall be exempt from apportioned registration in Kansas if the vehicles are operated not more than 25 miles from the vehicle's base point in Missouri. Kansas 72-hour truck registration, 30-day truck registration, local registration, and regular registration shall not be applicable for the operation of the vehicle beyond the 25-mile radius. If the owner of the Missouri based locally registered vehicle desires to operate beyond the 25 mile radius of the vehicle's base point, Missouri "beyond local," which is also known as commercial, registration shall be secured.
- (c) A commercial motor vehicle based in Missouri that bears Missouri "beyond local," which is also known as commercial, registration shall be exempt from apportioned registration if the vehicle operation is restricted to any of the following:
- (1) The corporate limits and a radius of four miles beyond the corporate limits of Elwood, Kansas, and to and from St. Joseph, Missouri to the St. Joseph municipal airport on U.S. highway 36, with the return trip to Missouri over the same highway;
- (2) The corporate limits of Atchison, Kansas on U.S. highway 59, with the return trip to Missouri over the same highway;
- (3) the corporate limits of Leavenworth, Kansas, Fort Leavenworth, Kansas, and the federal penitentiary by entry on Kansas highway 92 and U.S. highway 73, with the return trip to Missouri over the same highways; and
- (4) The commercial zone of greater Kansas City as defined by the federal highway administration.

# FMCSA OPERATING AUTHORITY (10/07/2005)



#### **EXEMPT FMCSA OPERATING AUTHORITY**

#### 49 U.S.C. 13506. Miscellaneous motor carrier transportation exemptions

- (a) In General.--Neither the Secretary nor the Board has jurisdiction under this part over--
  - (1) A motor vehicle transporting only school children and teachers to or from school;
  - (2) A motor vehicle providing taxicab service;
  - (3) A motor vehicle owned or operated by or for a hotel and only transporting hotel patrons between the hotel and the local station Of a carrier;
  - (4) A motor vehicle controlled and operated by a farmer and transporting--
    - (A) The farmer's agricultural or horticultural commodities and products; or
    - (B) Supplies to the farm of the farmer;
  - (5) A motor vehicle controlled and operated by a cooperative association (as defined by section 15(a) of the Agricultural Marketing Act (12 U.S.C. 1141j(a))) or by a federation of cooperative associations if the federation has no greater power or purposes than a cooperative association, except that if the cooperative association or federation provides transportation for compensation between a place in a State and a place in another State, or between a place in a State and another place in the same State through another State--
    - (A) For a nonmember that is not a farmer, cooperative association, federation, or the United States Government, the transportation (except for transportation otherwise exempt under this subchapter)--
      - (i) Shall be limited to transportation incidental to the primary transportation operation of the cooperative association or federation and necessary for its effective performance; and
      - (ii) May not exceed in each fiscal year 25 percent of the total transportation of the cooperative association or federation between those places, measured by tonnage; and
    - (B) The transportation for all nonmembers may not exceed in each fiscal year, measured by tonnage, the total transportation between those places for the cooperative association or federation and its members during that fiscal year;
  - (6) Transportation by motor vehicle of--
    - (A) Ordinary livestock;
    - (B) Agricultural or horticultural commodities (other than manufactured products thereof);
    - (C) Commodities listed as exempt in the Commodity List incorporated in ruling numbered 107, March 19, 1958, Bureau of Motor Carriers, Interstate Commerce Commission, other than frozen fruits, frozen berries, frozen vegetables, cocoa beans, coffee beans, tea, bananas, or hemp, or wool imported from a foreign country, wool tops and noils, or wool waste (carded, spun, woven, or knitted):
    - (D) Cooked or uncooked fish, whether breaded or not, or frozen or fresh shellfish, or byproducts thereof not intended for human consumption, other than fish or shellfish that have been treated for preserving, such as canned, smoked, pickled, spiced, corned, or kippered products; and
    - (E) Livestock and poultry feed and agricultural seeds and plants, if such products (excluding products otherwise exempt under this paragraph) are transported to a site of agricultural production or to a business enterprise engaged in the sale to agricultural producers of goods used in agricultural production;
  - (7) A motor vehicle used only to distribute newspapers;
  - (8)(A) Transportation of passengers by motor vehicle incidental to transportation by aircraft;
    - (B) Transportation of property (including baggage) by motor vehicle as part of a continuous movement which, prior or subsequent to such part of the continuous movement, has been or will be transported by an air carrier or (to the extent so agreed by the United States and approved by the Secretary) by a foreign air carrier; or
    - (C) Transportation of property by motor vehicle in lieu of transportation by aircraft because of adverse weather conditions or mechanical failure of the aircraft or other causes due to circumstances beyond the control of the carrier or shipper;
  - (9) The operation of a motor vehicle in a national park or national monument;
  - (10) A motor vehicle carrying not more than 15 individuals in a single, daily roundtrip to commute to and from work;
  - (11) Transportation of used pallets and used empty shipping containers (including intermodal cargo containers), and other used shipping devices (other than containers or devices used in the transportation of motor vehicles or parts of motor vehicles);
  - (12) Transportation of natural, crushed, vesicular rock to be used for decorative purposes;
  - (13) Transportation of wood chips;
  - (14) Brokers for motor carriers of passengers, except as provided in section 13904(d); or
  - (15) Transportation of broken, crushed, or powdered glass.
- (b) Exempt Unless Otherwise Necessary.--Except to the extent the Secretary or Board, as applicable, finds it necessary to exercise jurisdiction to carry out the transportation policy of section 13101, neither the Secretary nor the Board has jurisdiction under this part over--
  - (1) Transportation provided entirely in a municipality, in contiguous municipalities, or in a zone that is adjacent to, and commercially a part of, the municipality or municipalities, except--
    - (A) When the transportation is under common control, management, or arrangement for a continuous carriage or shipment to or from a place outside the municipality, municipalities, or zone; or
    - (B) That in transporting passengers over a route between a place in a State and a place in another State, or between a place in a State and another place in the same State through another State, the transportation is exempt from jurisdiction under this part only if the motor carrier operating the motor vehicle also is lawfully providing intrastate transportation of passengers over the entire route under the laws of each State through which the route runs;
  - (2) Transportation by motor vehicle provided casually, occasionally, or reciprocally but not as a regular occupation or business, except when a broker or other person sells or offers for sale passenger transportation provided by a person authorized to transport passengers by motor vehicle under an application pending, or registration issued, under this part; or
  - (3) The emergency towing of an accidentally wrecked or disabled motor vehicle.