Mutual Funds Daily List - Definitions & Data Fields
File Format with CUSIP data for fund name and symbol only (Restricted)

Secure FTP Directory Path/File Name
The actual IP Address is only provided when the requisite documents have been completed and the user ID/password has been assigned.

IPAddress\Trader\DailyListRV\MutualFunds\mfrvmmddyyyy

File Format:
Daily List Date | Effective Day | Fund Event | Fund Type | Fund Code | Fund Symbol | Company Name | Exchange Traded Symbol | Exchange | New Symbol | New Company Name | CUSIP | NOTES for each Entry | Notes for Day | Pricing Agent | Dep Date | Term Date | Dist Freq | Dist Type | Corporate Action Date | Fund Family | Newspaper Heading | Newspaper Symbol

File Format with CUSIP data for all entries (Non-Restricted)

Secure FTP Directory Path/File Name
The actual IP Address is only provided when the requisite documents have been completed and the user ID/password has been assigned.
IPAddress\Trader\DailyList\MutualFunds\mfmmddyyyy

File Format:
Daily List Date | Effective Day | Fund Event | Fund Type | Fund Code | Fund Symbol | Company Name | Exchange Traded Symbol | Exchange | New Symbol | New Company Name | CUSIP | NOTES for each Entry | Notes for Day | Pricing Agent | Dep Date | Term Date | Dist Freq | Dist Type | Corporate Action Date | Fund Family | Newspaper Heading | Newspaper Symbol

Definitions & Data Fields for Both Formats:

Data Field	Description
Daily List Date	The date and time the Daily List was distributed. All times are military time and Eastern Time (ET). The date format is MM/DD/YYYY. The time format is HH:MM:SS.
Effective Day	The date that the event is effective.
Fund Event	The category of the change to the affected security. Allowed Values: • Additions • Corrections - Additions/Deletions • Corrections - Name/Symbol Changes • Corrections - Newspaper Changes • Corrections - Transfers • Corrections - UIT Replacements • Deletions • Name/Symbol Change • Newspaper Change • Fund Family Change • Transfers • UIT Replacement

Data Field	Description
Fund Type	The type of fund that is affected. Allowed Values: • Mutual Funds • Mutual Funds Supplemental List • Money Market • Money Market Supplemental List • UIT Supplemental List • UIT News Media List • Structured Products • Annuities
Fund Code	Depicts if the subcategory of security. Allowed Values: For Mutual Funds: • Open End • Closed End For Unit Investment Trusts: • Equity • Fixed Income For Money Market Funds: • General Purpose • Government Securities • Tax Exempt Securities For Structured Products: • Access Transactions • Tax Driven Structure • Buffered Note • Principal Protected Note • Levered Note • Enhanced Income Note For Annuities: • Equity Indexed • Variable
Fund Symbol	The symbol of the fund that is being affected.
Company Name	The name of the issuing company for the fund.
Exchange Traded Symbol	The symbol that a closed end fund trades under on the listed exchange (See next field).
Exchange	The exchange that a closed end fund trades on. • American Stock Exchange • New York Stock Exchange • NASDAQ • US Regional Stock Exchange • Other Listed Market • Does not trade on an exchange
New Symbol	The new symbol of the fund experiencing a symbol change.
New Company Name	The new name of the fund experiencing a name change.

Data Field	Description
CUSIP	The CUSIP number of the Fund undergoing the Name/Symbol Change Only. CUSIP is a unique nine-character alphanumeric code appearing on the face of each stock or bond certificate that is assigned to a security by Standard & Poor's Corporation. CUSIP numbers are the property of the American Bankers Association (ABA) and are administered by Standard & Poor's. Both files will contain CUSIP data, however; the restricted file will only contain CUSIP data for name and symbol entries.
Notes for each Entry	Free form text allowing for entry of notes pertaining to the single entry.
Notes for Day	Free form text allowing for entry of notes pertaining to the overall list or entries on a previous day's list.
Pricing Agent	The firm responsible for the pricing of the fund.
Dep Date	Date the Unit Investment Trust terminates. The date format is MM/DD/YYYY.
Term Date	Date the Unit Investment Trust is deposited. The date format is MM/DD/YYYY.
Dist Frequency	The distribution frequency for the Unit Investment Trust. Allowed Values: • M-Monthly • Q-Quarterly • S-Semi-annually • A-Annually • O-Other
Dist Type	The type of distribution the Unit Investment Trust makes. Allowed Values: • C - Cash • R - Reinvest • W - Wrap • A - Wrap Cash • P - Wrap Reinvest
Corporate Action Date	The date on which the daily list event for the fund has reported to have actually taken place. The field is intended to provide the ability to determine when a corporate action took place and not simply when the action was reported to NASDAQ. The date format is MM/DD/YYYY. The corresponding event and the meaning of the Corporate Action date field are: • Addition: the inception date of the fund; • Deletion: date the fund was terminated, liquidated or merged; • Name/Symbol Change: the date the fund name change actually took place.
Fund Family	The name of the group or fund complex to which a particular fund belongs. For example, the Spartan 500 Index Fund belongs to the Fidelity Investments fund family. For unit investment trusts (UITs) the field will contain the name of the Sponsor firm.
Newspaper Heading	The title under which the fund will appear in print newspapers.
Newspaper Symbol	The set of characters that will be used to denote the fund in print Newspapers.