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**STATE OF COLORADO**  
**DEPARTMENT OF LAW**

**OFFICE OF THE ATTORNEY GENERAL**

**RALPH L. CARR COLORADO**  
**JUDICIAL CENTER**  
Consumer Protection Section  
Uniform Consumer Credit Code  
1300 Broadway, 6th Floor  
Denver, Colorado 80203  
Phone (720) 508-6012

December 30, 2014

**M E M O R A N D U M**

**TO:** Sales Finance Companies

**FROM:** Uniform Consumer Credit Code ("UCCC")  
Telephone: (720) 508-6012  
E-Mail: [uccc@state.co.us](mailto:uccc@state.co.us)

**RE:** **ANNUAL NOTIFICATION FEE**

Sales finance companies that regularly<sup>1</sup> collect consumer credit contracts must annually file and pay UCCC notification. [5-6-201, 5-6-202, & 5-6-203, C.R.S.]

A consumer credit sale or lease contract involves you and an individual person, rather than an organization; is primarily for personal, family, or household purpose; the debt is created by written agreement and includes a finance charge or interest or that has 5 or more installments; and with respect to a sale of goods or services, the amount does not exceed \$75,000. [5-1-301(11) & (14), C.R.S.]

You are required by law to file the enclosed UCCC notification form and pay a \$150.00 notification fee plus volume fees if you take assignment of, purchase, or service Colorado consumer credit sales or consumer leases and directly collect payments from or enforce rights against debtors arising from these consumer credit transactions. **If you are required to pay the fee and fail to do so, consumers have no legal obligation to pay any of the finance charges due under the credit transaction.** In addition, complete and return the "List of Assignors" on the back of the form and the enclosed "Creditor Contact Information" form.

**Your payment of \$150 plus volume fees is due January 31<sup>st</sup>.** Late payment fees of **\$5.00 per calendar day** are imposed if payment is not received by March 1<sup>st</sup> as authorized by law. This fee will continue to accrue until your notification is properly filed and all fees due are received.

**Please complete and return the forms to:**

Colorado Department of Law  
Consumer Protection Section, Uniform Consumer Credit Code  
Ralph L. Carr Colorado Judicial Center  
1300 Broadway, 6th Floor  
Denver, CO 80203

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<sup>1</sup> "Regularly" has the same meaning as in the federal Truth in Lending Act – more than 25 times if secured by other collateral or unsecured, more than 5 times if secured by a dwelling, or more than once for a loan subject to the federal Home Ownership & Equity Protection Act, in the current or prior calendar year.

OVER

Fees may be paid by paper check (made out to the Colorado Uniform Consumer Credit Code and enclosed with your completed notification form), electronic check or credit card. To pay by electronic check or credit card, please follow the instructions on our website at:

[www.coloradoattorneygeneral.gov/electronicfee](http://www.coloradoattorneygeneral.gov/electronicfee).

The notification form is not a license and you will not receive any certificate or acknowledgement when the notification fee is paid. Your cancelled check or electronic payment confirmation is your receipt.

Please note that if you make, service, or take assignment of supervised loans (direct loans in excess of 12% APR), you must obtain a supervised lender's license. Applications are available at

[www.coloradoattorneygeneral.gov/ucce](http://www.coloradoattorneygeneral.gov/ucce).

**Sole Proprietors and Individual Registrants** must also provide a photocopy of your driver's license, state identification card, or other photo identification.

Sales finance companies are subject to periodic compliance examinations pursuant to Section 5-6-203, C.R.S.

Statutory References: Sections 5-1-301(11), (14), (17) & (42) & 5-6-201 through 5-6-203, Colorado Revised Statutes.



# COLORADO UNIFORM CONSUMER CREDIT CODE SALES FINANCE (ASSIGNEE/SERVICER) NOTIFICATION FORM

WEBSITE: [COLORADOATTORNEYGENERAL.GOV/UCCC](http://COLORADOATTORNEYGENERAL.GOV/UCCC)

TELEPHONE: 720-508-6012

ACCOUNT # \_\_\_\_\_

YOU MUST COMPLETE AND RETURN BOTH  
SIDES OF THIS FORM WITH PAYMENT

**RETURN FORM BY JANUARY 31<sup>ST</sup> TO:**

COLORADO DEPARTMENT OF LAW  
CONSUMER PROTECTION SECTION  
UNIFORM CONSUMER CREDIT CODE  
RALPH L. CARR COLORADO JUDICIAL CENTER  
1300 BROADWAY, 6TH FLOOR  
DENVER, CO 80203

NAME AND MAILING ADDRESS:

## COMPLETE THE FOLLOWING AS APPLICABLE:

1. NAME OF PERSON OR COMPANY – IF DIFFERENT THAN ABOVE:
2. IDENTIFY ALL TRADE NAMES UNDER WHICH BUSINESS IS TRANSACTED – IF DIFFERENT THAN ABOVE:
3. PHYSICAL ADDRESS OF PRINCIPAL OFFICE (MAY BE OUTSIDE COLORADO) – IF DIFFERENT THAN ABOVE:
4. NAME & ADDRESS OF COLORADO REGISTERED AGENT UPON WHOM SERVICE OF PROCESS MAY BE MADE (CORPORATIONS ONLY):
5. SUPERVISED LOANS ARE DIRECT CONSUMER LOANS IN EXCESS OF 12% APR. DO YOU MAKE, SERVICE, OR TAKE ASSIGNMENT OF SUPERVISED LOANS? (CIRCLE ONE) YES OR NO IF YES, YOU MUST OBTAIN A SUPERVISED LENDER'S LICENSE.
6. ARE CONSUMER CREDIT SALES OR CONSUMER LEASES MADE OTHER THAN AT AN OFFICE OR RETAIL STORE? (CIRCLE ONE) YES OR NO IF YES, HOW? ☐ MAIL ☐ INTERNET ☐ OTHER \_\_\_\_\_
7. PROVIDE A LIST OF THE ADDRESSES OF ALL OFFICES AND PLACES OF BUSINESS IN COLORADO WHERE BUSINESS IS TRANSACTED.

## FEE SCHEDULE

1. NOTIFICATION FEE \$ 150.00
2. VOLUME FEE
  - A. TOTAL OF THE UNPAID BALANCE OF CONSUMER CREDIT SALES AND CONSUMER LEASES TAKEN BY ASSIGNMENT IN 2014 \$ \_\_\_\_\_
  - B. LESS FINANCE CHARGES IF INCLUDED IN #2(A) \$ \_\_\_\_\_
  - C. ORIGINAL UNPAID BALANCE [#2(A) MINUS #2(B)] \$ \_\_\_\_\_
  - D. VOLUME FEES DUE - \$30 FOR EACH \$100,000 OR PART THEREOF OF #2(C).  
FOR EXAMPLE, IF THE AMOUNT LISTED ON #2(C) IS \$1,525,000, THE  
VOLUME FEE IS \$480 [ $\$1,525,000 \div 100,000 = 15.25$  (ROUND TO 16)  $\times$   
\$30]. ALWAYS ROUND UP. \$ \_\_\_\_\_
3. LATE FEE\* (IF APPLICABLE) \$ \_\_\_\_\_
4. TOTAL FEES PAYABLE [#1 PLUS #2(D) PLUS #3] \$ \_\_\_\_\_  
PAID BY (CHECK ONE): CHECK \_\_\_\_\_ ELECTRONIC CHECK \_\_\_\_\_ CREDIT CARD \_\_\_\_\_

**\*WARNING: NOTIFICATION FORMS FILED AFTER MARCH 1<sup>ST</sup> MUST INCLUDE A STATUTORY LATE FEE OF \$5.00 PER CALENDAR DAY**

THE UNDERSIGNED HEREBY FILES NOTIFICATION OF INTENT TO ENGAGE IN TAKING ASSIGNMENT OF, PURCHASING, OR SERVICING  
AND COLLECTING OR ENFORCING RIGHTS UNDER COLORADO CONSUMER CREDIT SALES AND CONSUMER LEASES  
I HEREBY VERIFY THAT THE INFORMATION STATED ABOVE AND THE AMOUNT PAID ARE TRUE AND CORRECT.

X \_\_\_\_\_  
SIGNATURE OF OWNER/OFFICER/PARTNER DATE

PRINTED NAME OF OWNER/OFFICER/PARTNER TELEPHONE NUMBER E-MAIL ADDRESS

**MANDATORY INFORMATION FOR SOLE PROPRIETORS AND INDIVIDUAL REGISTRANTS (NOT OPEN TO PUBLIC INSPECTION).** THIS INFORMATION IS REQUIRED  
BY §§ 14-14-113 AND 24-31-107, C.R.S. AND MAY BE USED TO REVOKE, SUSPEND, OR DENY LICENSES OR NOTIFICATIONS AS DETERMINED BY THE STATE CHILD  
SUPPORT ENFORCEMENT AGENCY FOR NONCOMPLIANCE WITH SUPPORT ORDERS OR SUBPOENAS/WARRANTS RELATING TO PATERNITY AND CHILD SUPPORT.  
**ALSO,** PLEASE INCLUDE A PHOTOCOPY OF YOUR DRIVER'S LICENSE, STATE IDENTIFICATION CARD, OR OTHER PHOTO IDENTIFICATION.

COMPLETE HOME ADDRESS: \_\_\_\_\_ SSN: \_\_\_\_\_

OVER

## LIST OF ASSIGNORS

IF YOU SELL OR ASSIGN CONSUMER CREDIT SALES AND LEASES TO FINANCE COMPANIES OR BANKS, YOU MUST COMPLETE THE FORM PROVIDED BELOW OR PROVIDE A SEPARATE LISTING THAT INCLUDES THE REQUESTED INFORMATION.

PLEASE LIST THE NAME AND COMPLETE MAILING ADDRESS OF EACH OF THE COMPANIES THAT PURCHASE OR TAKE ASSIGNMENT OF YOUR CONSUMER CREDIT SALES AND LEASES. ATTACH ADDITIONAL SHEETS IF NECESSARY.

NAME OF ASSIGNEE(S)/SERVICER(S)	MAILING ADDRESS(ES)		
	<hr/>		
	Street Address		
	<hr/>	<hr/>	<hr/>
	City	State	Zip Code
	<hr/>		
	Street Address		
	<hr/>	<hr/>	<hr/>
	City	State	Zip Code
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	Street Address		
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	City	State	Zip Code
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	Street Address		
	<hr/>	<hr/>	<hr/>
	City	State	Zip Code

# COLORADO UNIFORM CONSUMER CREDIT CODE

## Creditor Contact Information

Please provide the following information and return with your notification form.

Company Name: \_\_\_\_\_

Contact Person For Notification Questions and General Mailings:

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

Contact Person For Consumer Complaints:

Same as Above: \_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail Address: \_\_\_\_\_

Contact Person for Compliance Examinations:

Same as Above: \_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail Address: \_\_\_\_\_