# ATTACHMENT 3 PROPOSAL FORMS

Attachment 3

### NON-COLLUSION AFFIDAVIT

#### INSTRUCTIONS FOR NON-COLLUSION AFFIDAVIT

- 1. This Non-Collusion Affidavit is material to any contract pursuant to a proposal. According to the Pennsylvania Antibid-Rigging Act, 62 Pa. C.S.A § 4501, <u>et seq.</u>, governmental agencies may require Non-Collusion Affidavits to be submitted together with proposals, such as the Proposal submitted by the Proposer.
- 2. This Non-Collusion Affidavit must be executed by a member officer or employee of the Proposer who is authorized to legally bind the Proposer. In addition, a separate Non-Collusion Affidavit must be executed by a member, officer, or employee authorized to bind each Subconsultant listed in the Proposal.
- 3. Proposal rigging and other efforts to restrain competition, and the making of false sworn statements in connection with the submission of proposals are unlawful and may be subject to criminal prosecution. The person who signs the Non-Collusion Affidavit should carefully examine it before signing and assure himself or herself that each statement is true and accurate, making diligent inquiry, as necessary, of all other persons employed by or associated with the Proposer with responsibilities for the preparation, approval or submission of the Proposal.
- 4. If the Proposal is submitted by a joint venture, each party to the venture must be identified in the Proposal documents, and a Non-Collusion Affidavit must be submitted separately on behalf of each party.
- 5. The term "complementary proposal" as used in the Non-Collusion Affidavit has the meaning commonly associated with that term in the RFP process, and includes the knowing submission of a proposal higher than the proposal of another firm, any intentionally high or noncompetitive proposal, and any form of proposal submitted for the purpose of giving a false appearance of competition.
- 6. Failure to file a Non-Collusion Affidavit in compliance with these instructions may result in disqualification of the Proposal, as determined by the City of Reading in its sole discretion.

## NON-COLLUSION AFFIDAVIT

| State of   |        |                                       |
|------------|--------|---------------------------------------|
| County of  |        |                                       |
| says that: |        | , being first duly sworn, deposes and |
| (1)        | He/She | is                                    |
| of         |        | ("Proposer"), the                     |

Proposer has submitted the attached Proposal;

(2) He/She is fully informed respecting the preparation and contents of the attached Proposal and of all pertinent circumstances respecting such Proposal;

(3) Such Proposal is genuine and is not a collusive or sham Proposal;

(4) Neither the said Proposer nor any of its officers, partners, owners, agents, representatives, employees or parties in interest, including this affiant, has in any way colluded, conspired, connived or agreed, directly or indirectly, with any other Proposer, firm or person to submit a collusive or sham proposal or complementary proposal in connection with the Contract for which the attached Proposal is submitted or to refrain from submitting in connection with such Contract, or has in any manner, directly or indirectly, sought by agreement or collusion or communication of conference with any other Proposer, firm or person to fix the price or prices in the attached Proposal or of any other Proposer, or to fix any overhead, profit or cost element of the prices in the Proposal or the price of any other Proposer, or to secure through any collusion, conspiracy, connivance or unlawful agreement any advantage against the City of Reading or any person interested in the proposed Contract;

(5) The price or prices quoted in the attached Proposal are fair and proper and are not tainted by any collusion, conspiracy, connivance or unlawful agreement on the part of the Proposer or any of its agents, representatives, owners, employees, or parties in interest, including this affiant; and,

(6) Neither the said Proposer nor any of its officers, partners, owners, agents or parties in interest, have any interest, present or prospective, that can be reasonably construed to result in a conflict of interest between them and the City of Reading.

Attachment 3

(Name of Proposer)

and acknowledges that the above representations are material and important, and will be relied on by the City of Reading in awarding the Contract(s) for which the Proposal is submitted. I understand and the Proposer understands that any misstatement in this Non-Collusion Affidavit is and shall be treated as fraudulent concealment from the City of Reading of the true facts relating to the submission of proposals for this Contract.

|   | Name   | :                           |
|---|--------|-----------------------------|
|   | By:    | Authorized Signatory        |
|   | Title: | President or Vice President |
| SWORN TO AND SUBSCRIBED<br>BEFORE ME THIS DAY<br>OF, 20 |        |                             |
|   |        | My Commission Expires:      |

Notary Public

#### CERTIFICATION OF NON-INDEBTEDNESS TO THE CITY OF READING

Proposer hereby certifies and represents that Proposer and Proposer's parent company(ies) and subsidiary(ies) are not currently indebted to the City of Reading (the "City"), and will not at any time during the term of this Contract (including any extensions or renewals thereof) be indebted to the City, for or on account of any delinquent taxes, liens, judgments, fees or other debts for which no written agreement or payment plan satisfactory to the City has been established. In addition to any other rights or remedies available to the City at law or in equity, Proposer acknowledges that any breach or failure to conform to this certification may, at the option of the City, result in the withholding of payments otherwise due to Proposer and, if such breach or failure is not resolved to the City's satisfaction within a reasonable time frame specified by the City in writing, may result in the offset of any such indebtedness against said payments and/or the termination of this Contract for default (in which case Proposer shall be liable for all excess costs and other damages resulting from the termination).

| Propos  | er:                         |
|---------|-----------------------------|
| Name:   |                             |
|         |                             |
| By:     | Authorized Signatory        |
| Title:  | President or Vice President |
| Attest: |                             |

### NON DISCRIMINATION STATEMENT

The undersigned hereby certifies that it shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, handicap, familial status, or national origin. The undersigned shall take affirmative action to insure that applicants for employment are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, handicap, familial status, or national origin.

| Propose | er:                         |
|---------|-----------------------------|
| Name:   |                             |
| By:     | Authorized Signatory        |
| Title:  | President or Vice President |
| Attest: |                             |

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