

College of Audiologists and Speech-Language Pathologists of Ontario

Ordre des Audiologistes et des Orthophonistes de l'Ontario

# INITIAL PRACTICE PERIOD FORMS

5060-3080 Yonge Street, Box 71 Toronto, Ontario M4N 3N1 416-975-5347 1-800-993-9459 www.caslpo.com

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# MENTORSHIP GUIDANCE CONTRACT

SECTION 1 PRACTICE INFORMATION				
INITIAL PRACTICE REGISTRANT (IPR)				
Please <u>DO NOT</u> submit a mentorship guidance contract to the College <u>BEFORE</u> a certificate of registration has been issued to you.				
First Name		Last Name		
Bus. Telephone		CASLPO Reg. No.		
	BUSIN	ESS ADDRESS		
Organization:				
Department:				
Street:	Street:			
City/Province:		Postal Code:		
Country		Phone:		
MENTOR		·		
Mentors must be in good standing with the College and hold a General certificate of registration in the profession (audiology or speech-language pathology) in which the mentorship is being provided, with a minimum of four years of professional experience or meet the competencies specified (See page 10 of IPP Guidelines).				
Mentoring responsi	Mentoring responsibilities may be shared between co-mentors.			
MENTOR 1				
First Name		Last Name		
Business Telephone		CASLPO Reg. No.		
MENTOR 2 (IF APPLICABLE)				
First Name Last Name				
Business Telephone	2	CASLPO Reg. No.		
MENTOR 3 (IF APPLICABLE)				
First Name Last Name				

Business Telephone	CASLPO Reg. No.

SECTION 2 section as requi	<b>PROPOSED INI</b> ire, if multiple me	<b>TIAL PRACTICE</b> ntors)	PERIOD	(Сору	this
Contract Start Date:	Contract End Date:	Duration of Contract:			
/// DDMMYY	// DDMMYY	24 weeks			
		Other (please specify)			

SECTION 3 TIME ALLOCATION			
Although it is preferable that two hours per week are spent in guided practice, it is also possible to accumulate the hours over one full day per month. Please indicate if guidance will be provided weekly or monthly.			
Guidance will be provided:			
Weekly OR Monthly			
The IPR will be mentoredhours per week/month			
HOURS OF EMPLOYMENT			
Please indicate the number of hours <b>per week</b> that the IPR works at this employment site:			
The IPR works hours per week			

\_\_\_\_\_

SECTION 4 METHOD OF GUIDAN	ICE		
Observation of the IPR interacting clinically with patients/clients either directly or via video is mandatory and must include discussion regarding the direct interaction.			
Guidance is to be provided by: (Check all that apply)         Observation of Initial practice registrant with clients         Video conferencing         Reviewing video or audiotapes of clinical sessions         Directing clinical management discussions	<ul> <li>Reviewing written reports</li> <li>Leading administrative management discussions</li> <li>Guiding telephone conferences</li> </ul>		
A minimum of 48 hours of guidance must be provided by mentors.			

SECTION 5	METHOD OF FEEDBACK (Check all that apply)	
Face to Face Meetings	Telephone or Video Conferences	Email

#### SECTION 6 GUIDANCE PLAN

#### **Professional Practice Standard 1 – Management Practices**

Audiologists and Speech-Language Pathologists manage their practice in an accountable manner

- 1.1 The Initial Practice Registrant (IPR) has the criteria to begin and end intervention
- 1.2 The IPR maintains records, which accurately reflect the services provided.
- 1.3 The IPR performs controlled acts according to preferred practice guidelines and position statements
- 1.4 The IPR is accountable for unregulated personnel providing intervention under his/her direction
- 1.5 The IPR ensures that all materials and equipment used in his/her practice is in proper working order and calibrated as required
- 1.6 The IPR follows health and safety procedures and practices.

#### **Professional Practice Standard 2 - Clinical Practice**

Audiologists and Speech-Language Pathologists possess, continually acquire and use the knowledge and skills necessary to provide high quality clinical services within their scope of practice.

- 2.1 The IPR practices within the limits of his/her individual competence as determined by education, training and professional experience..
- 2.2 The IPR continually acquires knowledge and skills necessary to provide quality service
- 2.3 The IPR uses intervention procedures based on current knowledge in the field of audiology and/or speechlanguage pathology incorporating evidence-based research and advances in technology.
- 2.4 The IPR uses intervention procedures that are appropriate to the abilities of the patient/client.
- 2.5 The IPR uses intervention procedures that are appropriate to the cultural/linguistic background of the patient/client/Substitute Decision Maker (SDM).
- 2.6 The IPR monitors, evaluates and modifies his/her intervention procedures based on patient/client outcome.
- 2.7 The IPR seeks feedback from others in my profession regarding my clinical practice.

#### **Professional Practice Standard 3 - Patient/Client Centred Practice**

Audiologists and Speech-Language Pathologists ensure that his/her patients/clients are treated with respect and are provided with sufficient information and opportunities to make informed decisions regarding intervention. In making clinical decisions, the patient/client's interest should be primary.

- 3.1 The IPR obtains and documents consent for all intervention plans, courses of action and any significant changes thereafter.
- 3.2 The IPR Obtains and documents consent to collect, use, retain disclosure and discard personal health information
- 3.3 The IPR consults with a patient/client and/or SDM when establishing an intervention plans and/or courses of action.
- 3.4 The IPR sets intervention goals that describe realistic outcomes for the patient/client
- 3.5 The IPR respects each patient/client's and/or SDM's decision to decline intervention
- 3.6 The IPR maintains patient/client confidentiality at all times

#### **Professional Practice Standard 4 – Communication**

Audiologists and Speech-Language Pathologists communicate effectively and with sensitivity to the needs of his/her patients/clients.

- 4.1 The IPR uses language that is appropriate to the age and cognitive abilities of the patient/client to facilitate comprehension and participation.
- 4.2 The IPR communicates in a manner that is appropriate to the cultural and linguistic background of the patient/client.
- 4.3 The IPR communicates constructively, effectively and collaboratively with his/her peers/team/co-workers, including members of other professions.
- 4.4 The IPR accurately communicates his/her professional credentials to his/her patients/clients and others

#### Professional Practice Standard 5 - Professional Accountability

Audiologists and Speech-Language Pathologists are accountable and comply with legislation.

5.1 The IPR is aware of all of CASLPO documents and has reviewed those that apply to his/her practice in detail.

Professional Practice Standard	Refer to learning activity	Define the information to be learned	State the purpose	Related to practice
Management Practice				
Clinical Practice				
Patient/Client Centred Practice				
Communication				
Professional Accountability				

# SECTION 8 CONFLICT RESOLUTION My mentor and I have discussed conflict resolution.

My mentor and I have developed a plan to resolve conflicts that includes the following steps:

- The issue is identified and defined;
- Possible solutions are generated;
- o Best solution is implemented; and
- Follow-up with an evaluation of solution.

My mentor and I have designated a third party in the organization to assist if conflict between me and my mentor cannot be resolved.

Name of third party designated to assist in conflict resolution:

#### SECTION 9 INITIAL PRACTICE REGISTRANT'S DECLARATION

- 1. I understand that I will be assessed with respect to my compliance with the practice standards set out in the section 6 of the Mentorship Guidance Contract and will undertake to acquire the skills, knowledge, and behaviour to demonstrate my competence to practice.
- 2. I agree to comply with the regulations and policies associated with an Initial class certificate of registration.
- 3. I agree that I shall only practice under the mentorship of the person named in this contract.
- 4. I agree to obtain the approval of the College for any proposed changes to my mentorship guidance contract prior to the change occurring.
- 5. I agree to complete a Self-Evaluation Form midway through the Initial Practice Period and review the results with my mentor.
- 6. I agree to collect evidence of compliance for my mentor's review.

#### Signature of IPR

Date

SECTION 10	MENTOR'S DECLARATION
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- 1. I agree that I will review the practice standards with the Initial Practice Registrant and identify the skills, knowledge, and behaviour necessary to meet compliance with the practice standards.
- 2. I agree to assess the Initial Practice Registrant to determine if they have the required skill, knowledge, and behaviour to meet the practice standards and become a General member.
- 3. I agree to mentor the above named Initial Practice Registrant in accordance with the College regulations and policies regarding mentorship.
- 4. I agree to notify the College immediately if I am no longer able or willing to continue to fulfill my responsibilities as a mentor and to provide a complete report as required by the College regarding the IPR's progress to date.
- 5. I agree to review the Initial Practice Registrant's progress and complete an interim evaluation report midway through the Initial Practice Period.
- 6. I agree to review the Initial Practice Registrant's progress and complete a final evaluation report at the end of the Initial Practice Period.

Signature of the Mentor

Signature of the Mentor (if applicable)

Signature of the Mentor (if applicable)

#### SECTION 11 INITIAL PRACTICE REGISTRANT AND MENTOR AGREEMENT

We agree to the following process:

- 1. To review the practice standards set out in the section 6 of the Mentorship Guidance Contract and identify areas requiring specific attention.
- 2. To develop an action plan to ensure that the Initial Practice Registrant gets advice and guidance in the areas of need to acquire the necessary skills, knowledge and behaviours for compliance.

Signature of IPR	Date
Signature of the Mentor	Date
Signature of the Mentor (if applicable)	Date
Signature of the Mentor (if applicable)	Date

Date

Date

Date



# 1<sup>st</sup> SELF ASSESSMENT: IPR's INTERIM EVALUATION

This form is to be completed by the Initial Practice Registrant and reviewed between the IPR and mentor(s). Please do <u>not</u> submit this form to the College unless requested.

Initial Practice Registrant Name:	Reg. No.
Mentor Name:	Reg. No.
Mentor Name:	Reg. No.

2	1	NA
MEETS THE STANDARD	NEEDS WORK TO MEET THE	NON
	STANDARD	APPLICABLE

Professional Practice Standard 1 – Management Practices Audiologists and Speech-Language Pathologists manage their practice in an accountable manner	RATING
1.1 I have the criteria to begin and end intervention.	
1.2 I maintain records, which accurately reflect the services provided.	
1.3 I perform controlled acts according to preferred practice guidelines and position statements.	
1.4 I am accountable for unregulated personnel providing intervention under my direction.	
1.5 I ensure that all materials and equipment used in my practice is in proper working order and calibrated as required.	
1.6 I follow health and safety procedures and practices.	
IPR'S Comments regarding progress on goals for Management Practice	

Audio	essional Practice Standard 2 - Clinical Practice logists and Speech-Language Pathologists possess, continually acquire and use the ledge and skills necessary to provide high quality clinical services within their scope of ice.	RATING
2.1	I practise within the limits of my individual competence as determined by education, training and professional experience	
2.2	I continually acquire knowledge and skills necessary to provide quality service	

2.3 I use intervention procedures based on current knowledge in the field of audiology and/or speech-language pathology incorporating evidence-based research and advances in technology.

2.4 I use intervention procedures that are appropriate to the abilities of the patient/client.

2.5 I use intervention procedures that are appropriate to the cultural/linguistic background of the patient/client/Substitute Decision Maker (SDM).

2.6 I monitor, evaluate and modify my intervention procedures based on patient/client outcome.

2.7 I seek feedback from others in my profession regarding my clinical practice.

IPR'S Comments regarding progress on goals for Clinical Practice

Audi treat infor	<b>Tessional Practice Standard 3 - Patient/Client Centred Practice</b> ologists and Speech-Language Pathologists ensure that his/her patients/clients are ed with respect and are provided with sufficient information and opportunities to make med decisions regarding intervention. In making clinical decisions, the patient/client's est should be primary.	RATING
3.1	I obtain and documents consent for all intervention plans, courses of action and any significant changes thereafter.	
3.2	I obtain and document consent to collect, use, retain disclose and discard personal health information	
3.3	I consult with the patient/client and/or SDM when establishing an intervention plans and/or courses of action.	
3.4	I set intervention goals that describe realistic outcomes for the patient/client	
3.5	I respect each patient/client's and/or SDM's decision to decline intervention	
3.6	I maintain patient/client confidentiality at all times	
IPR'S	6 Comments regarding progress on goals for Patient/Client Centred Practice	

Audio	essional Practice Standard 4 - Communication ologists and Speech-Language Pathologists communicate effectively and with itivity to the needs of his/her patients/clients.	RATING
4.1	I use language that is appropriate to the age and cognitive abilities of the patient/client to facilitate comprehension and participation.	
4.2	I communicate in a manner that is appropriate to the cultural and linguistic background of the patient/client.	

4.3	I communicate constructively, effectively and collaboratively with my peers/team/co- workers, including members of other professions.	
4.4	I accurately communicate my professional credentials to my patients/clients and others	
IPR'S	S Comments regarding progress on goals for Communication	

RATING

Signature of IPR	Date
Signature of the Mentor	Date
Signature of the Mentor (if applicable)	Date
Signature of the Mentor (if applicable)	Date

LEARNING ACTIVITIES LOG (Copy this form as required)

Goal #	Standard Indicator # if applicable	Practice Standard	Learning Goal (Must meet Criteria in Guide)	Learning Activity Summary (Complete Detailed Log )	# of hours	Progress	Impact
		Management Practices					
		Clinical Practices					
		Patient/Client Centred					
		Practices					
		Communication					
		Professional Accountability					
				lotals	S		
LEARNING	LEARNING GOALS MUST:	ST:	LEARNING ACTIVITIES:	IES:		IMPACT:	
• Det	Define Information purpose of learning	Define Information to be learned and incorporate purpose of learning	nd incorporate	en or Given	SS	No impact	t

purpose or learning

- Include Sufficient Detail •
  - **Relate to Practice** •
- Refer to a learning activity •

**Contributions to the Profession** Self Study Clinical Guidance Activities

Practice Management

•

- Moderate
- progress Great progress

•

Significant impact Little impact • •

### **LEARNING ACTIVITIES LOG** (Copy this form as required)

DATE	MATERIALS STUDIED OR COURSES TAKEN	GOAL	# OF
	(Include title of course and presenter or title, author and/or journal reference of reading material)	#	HOURS
		-	
		+	
		+	
		+	
		1	
		1	



# MENTOR'S INTERIM EVALUATION

Initial Practice Registrant Name:	Reg. No.
Mentor Name:	Reg. No.
Mentor Name:	Reg. No.

2	1	NA
MEETS THE STANDARD	NEEDS WORK TO MEET THE	NON
	STANDARD	APPLICABLE

Professional Practice Standard 1 – Management Practices Audiologists and Speech-Language Pathologists manage their practice in an accountable manner	RATING
1.1 The Initial Practice Registrant (IPR) has the criteria to begin and end intervention.	
1.2 The IPR maintains records, which accurately reflect the services provided.	
1.3 The IPR performs controlled acts according to preferred practice guidelines and position statements.	
1.4 The IPR is accountable for unregulated personnel providing intervention under his/her direction.	
1.5 The IPR ensures that all materials and equipment used in his/her practice is in proper working order and calibrated as required.	
1.6 The IPR follows health and safety procedures and practices.	
Mentor's Comments regarding IPR's progress on goals for Management Practice	

Audio	essional Practice Standard 2 - Clinical Practice blogists and Speech-Language Pathologists possess, continually acquire and use the ledge and skills necessary to provide high quality clinical services within their scope of ice.	RATING
2.1	The IPR practices within the limits of his/her individual competence as determined by education, training and professional experience	
2.2	The IPR continually acquires knowledge and skills necessary to provide quality service	
2.3	The IPR uses intervention procedures based on current knowledge in the field of audiology and/or speech-language pathology incorporating evidence-based research and advances in technology.	
2.4 patie	The IPR uses intervention procedures that are appropriate to the abilities of the nt/client.	

2.5 The IPR uses intervention procedures that are appropriate to the cultural/linguistic background of the patient/client/Substitute Decision Maker (SDM).	
2.6 The IPR monitors, evaluates and modifies his/her intervention procedures based on patient/client outcome.	
2.7 The IPR seeks feedback from others in my profession regarding her/his clinical	
practice.	
Mentor's Comments regarding IPR's progress on goals for Clinical Practice	

	•	RATING
3.1 The IPR obtains and documents conser and any significant changes thereafter	nt for all intervention plans, courses of action r.	
3.2 The IPR Obtains and documents conser personal health information	t to collect, use, retain disclosure and discard	
3.3 The IPR consults with a patient/client a plans and/or courses of action.	nd/or SDM when establishing an intervention	
3.4 The IPR sets intervention goals that des	scribe realistic outcomes for the patient/client	
	and/or SDM's decision to decline intervention	
3.6 The IPR maintains patient/client confid	entiality at all times	
Mentor's Comments regarding IPR's progress	on goals for Patient/Client Centred Practice	

Audio	essional Practice Standard 4 - Communication plogists and Speech-Language Pathologists communicate effectively and with itivity to the needs of his/her patients/clients.	RATING
4.1	The IPR uses language that is appropriate to the age and cognitive abilities of the patient/client to facilitate comprehension and participation.	
4.2	The IPR communicates in a manner that is appropriate to the cultural and linguistic background of the patient/client.	
4.3	The IPR communicates constructively, effectively and collaboratively with his/her peers/team/co-workers, including members of other professions.	
4.4	The IPR accurately communicates his/her professional credentials to his/her patients/clients and others	
Ment	or's Comments regarding IPR's progress on goals for Communication	

<b>Professional Practice Standard 5 - Professional Accountability</b> Audiologists and Speech-Language Pathologists are accountable and comply with legislation.	RATING
5.1 The IPR is aware of all of CASLPO documents and has reviewed in detail those that apply to her/his practice.	
Mentor's Comments regarding IPR's progress on goals for Professional Accountability	

Please indicate yes or no for the following questions	yes	no
Does the IPR understand the professional practice standards set out in the Interim		
Evaluation Report?		
Has the guidance schedule been maintained?		
Has the IPR demonstrated any behavior(s) that may interfere with successful completion of		
the IPP?		

MENTOR'S RECOMMENDATIONS	

Mentor's Signature	date
Initial Practice Registrant's Signature	date



# 2<sup>nd</sup> SELF ASSESSMENT: IPR's FINAL EVALUATION

This form is to be completed by the Initial Practice Registrant and reviewed between the IPR and mentor(s). Please do <u>not</u> submit this form to the College unless requested.

Initial Practice Registrant Name:	Reg. No.
Mentor Name:	Reg. No.
Mentor Name:	Reg. No.

2	1	NA
MEETS THE STANDARD	NEEDS WORK TO MEET THE	NON
	STANDARD	APPLICABLE

<b>Professional Practice Standard 1 – Management Practices</b> Audiologists and Speech-Language Pathologists manage their practice in an accountable manner	RATING
1.1 I have the criteria to begin and end intervention.	
1.2 I maintain records, which accurately reflect the services provided.	
1.3 I perform controlled acts according to preferred practice guidelines and position statements.	
1.4 I am accountable for unregulated personnel providing intervention under my direction.	
1.5 I ensure that all materials and equipment used in my practice is in proper working order and calibrated as required.	
1.6 I follow health and safety procedures and practices.	
IPR'S Comments regarding progress on goals for Management Practice	

Audio	essional Practice Standard 2 - Clinical Practice logists and Speech-Language Pathologists possess, continually acquire and use the ledge and skills necessary to provide high quality clinical services within their scope of ice.	RATING
2.1	I practise within the limits of my individual competence as determined by education, training and professional experience	
2.2	I continually acquire knowledge and skills necessary to provide quality service	
2.3	I use intervention procedures based on current knowledge in the field of audiology and/or speech-language pathology incorporating evidence-based research and advances in technology.	
2.4 patier	I use intervention procedures that are appropriate to the abilities of the nt/client.	
2.5 backg	I use intervention procedures that are appropriate to the cultural/linguistic ground of the patient/client/Substitute Decision Maker (SDM).	
2.6	I monitor, evaluate and modify my intervention procedures based on patient/client outcome.	
2.7	I seek feedback from others in my profession regarding my clinical practice.	
IPR'S	Comments regarding progress on goals for Clinical Practice	

Audi treat infor	<b>Tessional Practice Standard 3 - Patient/Client Centred Practice</b> ologists and Speech-Language Pathologists ensure that his/her patients/clients are ted with respect and are provided with sufficient information and opportunities to make med decisions regarding intervention. In making clinical decisions, the patient/client's rest should be primary.	RATING
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IPR'S	S Comments regarding progress on goals for Patient/Client Centred Practice	

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4.2	I communicate in a manner that is appropriate to the cultural and linguistic background of the patient/client.	
4.3	I communicate constructively, effectively and collaboratively with my peers/team/co- workers, including members of other professions.	
4.4	I accurately communicate my professional credentials to my patients/clients and others	
IPR'S Comments regarding progress on goals for Communication		

<b>Professional Practice Standard 5 - Professional Accountability</b> Audiologists and Speech-Language Pathologists are accountable and comply with legislation.	RATING
5.1 I am aware of all of CASLPO documents and have reviewed in detail those that apply to my practice.	
IPR'S Comments regarding progress on goals for Professional Accountability	

Signature of IPR	Date
Signature of the Mentor	Date
Signature of the Mentor (if applicable)	Date
Signature of the Mentor (if applicable)	Date

Please refer back to the goals and learning activity log included in the 1<sup>st</sup> Self-Assessment Form and add information where appropriate.



## MENTOR'S FINAL EVALUATION REPORT

Initial Practice Registrant Name:	Reg. No.
Mentor Name:	Reg. No.
Mentor Name:	Reg. No.

2	1	NA
MEETS THE STANDARD	NEEDS WORK TO MEET THE	NON
	STANDARD	APPLICABLE

<b>Professional Practice Standard 1 – Management Practices</b> Audiologists and Speech-Language Pathologists manage their practice in an accountable manner	RATING
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his/her direction.	
1.5 The IPR ensures that all materials and equipment used in his/her practice is in proper	
working order and calibrated as required.	
1.6 The IPR follows health and safety procedures and practices.	
The IPR meets the professional practice standards for Management Practices.	

Audio	essional Practice Standard 2 - Clinical Practice blogists and Speech-Language Pathologists possess, continually acquire and use the redge and skills necessary to provide high quality clinical services within their scope of ice.	RATING
2.1	The IPR practices within the limits of his/her individual competence as determined by education, training and professional experience	
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2.4 patie	The IPR uses intervention procedures that are appropriate to the abilities of the nt/client.	
2.5 back	The IPR uses intervention procedures that are appropriate to the cultural/linguistic ground of the patient/client/Substitute Decision Maker (SDM).	

2.6	The IPR monitors, evaluates and modifies his/her intervention procedures based on	
	patient/client outcome.	
2.7	The IPR seeks feedback from others in my profession regarding my clinical practice.	
The IPR meets the professional practice standards for Clinical Practice.		

Audio treat infor	essional Practice Standard 3 - Patient/Client Centred Practice ologists and Speech-Language Pathologists ensure that his/her patients/clients are ed with respect and are provided with sufficient information and opportunities to make med decisions regarding intervention. In making clinical decisions, the patient/client's est should be primary.	RATING
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3.3	The IPR consults with a patient/client and/or SDM when establishing an intervention plans and/or courses of action.	
3.4	The IPR sets intervention goals that describe realistic outcomes for the patient/client	
3.5	The IPR respects each patient/client's and/or SDM's decision to decline intervention	
3.6	The IPR maintains patient/client confidentiality at all times	
The	IPR meets the professional practice standards for Patient/Client Practice.	

Audio	essional Practice Standard 4 - Communication blogists and Speech-Language Pathologists communicate effectively and with itivity to the needs of his/her patients/clients.	RATING
4.1	The IPR uses language that is appropriate to the age and cognitive abilities of the patient/client to facilitate comprehension and participation.	
4.2	The IPR communicates in a manner that is appropriate to the cultural and linguistic background of the patient/client.	
4.3	The IPR communicates constructively, effectively and collaboratively with his/her peers/team/co-workers, including members of other professions.	
4.4	The IPR accurately communicates his/her professional credentials to his/her patients/clients and others	
The	IPR meets the professional practice standards for Communication.	

<b>Professional Practice Standard 5 - Professional Accountability</b> Audiologists and Speech-Language Pathologists are accountable and comply with legislation.	RATING
5.1 The IPR is aware of all of CASLPO documents and have reviewed in detail those that apply to my practice.	
The IPR meets the professional practice standards for Professional Accountability (see below for the complete list of documents)	

Documents	Applies to Audiology	Applies to Speech Language Pathology	Check documents that the IPR has reviewed in detail
LEGISLATION			
Audiology and Speech-Language Pathology Act, (1991)	<b>√</b>		
Regulated Health Professions Act (1991)	<b>√</b>		
Health Care Consent Act (1996)			
Personal Health Information Protection Act (2004)	<b>√</b>		
REGULATIONS			
Registration Regulation 21/12 2012			
Quality Assurance Program Regulation 373/12 2012	<b>√</b>	<ul> <li>✓</li> </ul>	
Professional Misconduct Regulation 749/93 1993	<b>√</b>	<ul> <li>✓</li> </ul>	
Proposed Regulation for Advertising			
Proposed Regulation for Conflict of Interest	<b>√</b>	<ul> <li>✓</li> </ul>	
Proposed Regulation for Records			
BY-LAWS			
BY-LAW NO. 2011-5			
Relating generally to Certificates of Authorization for Professional Corporations			
BY-LAW NO. 2011-7			
Relating generally to Professional Liability Insurance			
BY-LAW NO. 2011-8			
providing for a <b>Code of Ethics</b> for the Members of the College			
POLICIES		1	

Documents	Applies to Audiology	Applies to Speech Language Pathology	Check documents that the IPR has reviewed in detail
Sexual Abuse Prevention Program 2013	<ul> <li>Image: A set of the set of the</li></ul>	<ul> <li>✓</li> </ul>	
PROFESSIONAL STANDARDS			
Preferred Practice Guideline for The Prescription of Hearing Aids to Adults, 2001	1		
Preferred Practice Guideline for the Prescription of Hearing Aids to Children 2002	1		
Preferred Practice Guideline for Cerumen Management, 2005	1		
Preferred Practice Guideline for Ear Impressions, 2005	1		
Practice Standards and Guidelines for Hearing Assessment of Adults. 2008	1		
Practice Standards and Guidelines for Hearing Assessment for Children. 2008	<b>√</b>		
Preferred Practice Guideline for Cognitive-Communication Disorders 2002		<ul> <li>✓</li> </ul>	
Practice Standards and Guidelines for Dysphagia 2007			
Practice Standards and Guidelines for Developmental 2013			
Practice Standards and Guidelines for the Assessment of Children. 2008		-	
Practice Standards and Guidelines for the Assessment of Adults. 2012		-	
National Infection Prevention and Control Guidelines (2010)	<b>√</b>	-	
P	DSITION STATE	MENTS	
Acceptance of Delegation of a Controlled Act	-		
Alternative Approaches to Intervention			
Changing Hearing Aid Prescription			

Documents	Applies to Audiology	Applies to Speech Language Pathology	Check documents that the IPR has reviewed in detail
Concurrent Intervention	<b>√</b>	<b>√</b>	
Delegation of the Controlled Act of Prescribing a Hearing Aid for a Hearing Impaired Person	1		
Consent to Provide Screening and Assessment Services	1	<b>√</b>	
Resolving Disagreements Between Service Providers	<b>√</b>	<b>√</b>	
Use of Supportive Personnel by Audiologists	<b>√</b>		
Use of Supportive Personnel for Speech Language Pathologists		<b>√</b>	
Professional Relations and Boundaries			
Supervision of Students			
Service Delivery to Culturally Diverse Populations	<b>√</b>	<b>√</b>	
Telepractice			
Use of Surveillance Material in Assessments	<b>√</b>	<b>√</b>	
Use of the title "Doctor"			
Equipment Servicing Requirements by Audiologists	-		
Disclosure of Test Materials & Data			
RESOURCE GUIDES			
Obtaining Consent for Services			
School Board Guide for SLPs (2010)			
Canadian Guidelines on Auditory Processing Disorder (2012)			

#### NUMBER OF MENTORED HOURS COMPLETED

Hours of **direct** guidance:

No

Hours of **indirect** Guidance:

Total mentored hours:

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Yes [

#### **MENTOR'S COMMENTS AND RECOMMENDATIONS**

The Initial Practice Registrant meets CASLPO's Professional Practice Standards and I recommend her/him for a General Certificate of registration:

INITI	AL PRACTICE REGISTRANT'S PATIENT CARE HOURS
	The IPR has provided a minimum of 500 hours of patient care in audiology or speech-language
	pathology during the initial practice period.
	The IPR does not meet the above (500 hour) requirement. Explain:

INITIAL PRACTICE REGISTRANT'S COMMENTS			

Mentor's Signature	date
Initial Practice Registrant's Signature	date



### CHECKLIST FOR CHART REVIEW

	 RECO	ORD RE	FEREN	ICE #
<b>The patient/client record must include the following</b> (From Section 6(1) of the Proposed Records Regulation, 2011):				
The patient's/client's name, address, telephone number and date of birth;				
The date and purpose of each professional contact with the patient/client and whether the contact was made in person, by telephone or electronically				
The name and address of any person who referred the patient/client to the member, if available				
The patient's/client's health history, including any educational, developmental or other relevant issues concerning the patient/client.				
The nature and, if known, the result of, each assessment relating to the patient, each clinical finding relating to the patient, any recommendation made by the member to the patient, each treatment performed, and any advice given to the patient, including any pre-treatment or post-treatment advice, and the identity of the person who gave the advice if that person was not the member				
The identity of the person who provided any service to the patient/client, if that person was not the member				
Every referral of the patient/client by the member to any other person				
Every written report received by the member relating to an assessment, test, consultation or treatment performed by any other person concerning the patient/client				
Every controlled act, within the meaning of subsection 27 (2) of the Regulated Health Professions Act, 1991, performed by the member on the patient/client				
If a controlled act has been delegated to the member by a member of a regulated health profession, the name of the other member, the nature of the controlled act and whether the delegated act was performed on the patient/client				
Every professional service that was commenced but not completed, including the reasons for non-completion				
Every cancellation of an appointment by the patient/client and, if available, the reason for the cancellation				
Every refusal of a treatment or procedure by the patient/client or by the patient's authorized representative				
A record of every consent provided by the patient/client or by the patient's/client's authorized representative				
A copy of or, if a copy is not available, the details about any report concerning the patient that was required to be made under the Act, the Regulated Health Professions Act, 1991 or any other law of Ontario or Canada				
A copy of or, if a copy is not available, the details about any legal requirement that compelled the member to disclose any information concerning the patient/client or the patient's/client's records, including the name of the person or official to whom the disclosure was made and the nature of the legal requirement				
A report of any adverse outcome relating to the provision of health care services to the patient/client by the member, including any injury to the patient/client, the member or any person assisting the member				



# CHECKLIST FOR FINANCIAL RECORD

		RE	CORE	REFL	REN	CE #	
<b>A financial record shall contain the following</b> (From Section 5(2) of the Proposed Records Regulation, 2011):							
The patient's/client's name							
The member's name							
If the person who provided the professional product or service was not the member, the name of that person							
Each professional product or service provided to the patient/client and the date it was provided							
The fee charged or received that relates to each professional product or service provided to the patient/client							
The total fee charged or received for all of the professional products or services							
A record of the receipt given by or on behalf of the member, if available							



**CHECKLIST FOR COMPILING EVIDENCE** 

STANDARD/INDICATOR	SOURCE OF EVIDENCE
1.1 I have the criteria to begin and end intervention.	
1.2 I maintain records, which accurately reflect the services provided.	
1.3 I perform controlled acts according to preferred practice guidelines an position statements.	nd
1.4 I am accountable for unregulated personnel providing intervention ur my direction.	nder
1.5 I ensure that all materials and equipment used in my practice is in pr working order and calibrated as required.	roper
1.6 I follow health and safety procedures and practices.	
2.1 I practise within the limits of my individual competence as determined ucation, training and professional experience	ned by
2.2 I continually acquire knowledge and skills necessary to provide qua service	lity
2.3 I use intervention procedures based on current knowledge in the field audiology and/or speech-language pathology incorporating evidence based research and advances in technology.	
2.4 I use intervention procedures that are appropriate to the abilities of t patient/client.	the
2.5 I use intervention procedures that are appropriate to the cultural/ling background of the patient/client/Substitute Decision Maker (SDM).	guistic
2.6 I monitor, evaluate and modify my intervention procedures based on patient/client outcome.	
2.7 I seek feedback from others in my profession regarding my clinical practice.	
3.1 I obtain and documents consent for all intervention plans, courses of action and any significant changes thereafter.	
3.2 I obtain and document consent to collect, use, retain disclose and dis personal health information	scard
3.3 I consult with the patient/client and/or SDM when establishing an intervention plans and/or courses of action.	

3.4	I set intervention goals that describe realistic outcomes for the patient/client	
3.5	I respect each patient/client's and/or SDM's decision to decline intervention	
3.6	I maintain patient/client confidentiality at all times	
4.1	I use language that is appropriate to the age and cognitive abilities of the patient/client to facilitate comprehension and participation.	
4.2	I communicate in a manner that is appropriate to the cultural and linguistic background of the patient/client.	
4.3	I communicate constructively, effectively and collaboratively with my peers/team/co-workers, including members of other professions.	
4.4	I accurately communicate my professional credentials to my patients/clients and others	