

Connecticut Department of Energy & Environmental Protection Bureau of Materials Management & Compliance Assurance

CPPU USE ONLY

Program: UST

App #:_____

Doc #:

Check #:___

Emergency Response & Spill Prevention Division

Notification for Underground Storage Tanks

Please complete this form, in accordance with the instructions (DEEP-UST-INST-001) to ensure the proper handling of your notification. Print or type unless otherwise noted.

Submit one notification form per site.

Part I: Notification and Fee Type

Check the appropriate box(es) identifying the notification type.

1.	LOCATION of UST(s)						
	Name of site:						
	Street Address or Location Description:						
	City/Town:	State: Zip Code:					
2.	Site ID Number:						
3.	This notification is for: choose i, ii, iii or iv	Fee (a)	No. of Fee exempt tanks (\$0) (b)	*No. of Tanks excluding (b) (c)	Total Fee = (a x c)		
	i) first time site notification [new](Complete entire application)	\$100.00/ tank [#1032]					
	 ii) annual notification [renewal] with NO modifications (Complete Parts I and VII only) 	\$100.00/ tank [#1032]					
	iii) annual notification [renewal] with modifications, (specify modifications under iv below)	\$100.00/ tank [#1032]					
	(Complete Parts I and VII and modifications only)						
	iv) a modification to an existing notification; check	any of the fo	llowing to spec	sify.			
	(Complete Parts I and VII and modifications	only)					
	adding new UST system (Part IV)	\$100.00/ tank [#1032]					

Part I: Notification and Fee Type (continued)

3. (modifications continued)	Fee (a)	No. of Fee exempt tanks (\$0) (b)	*No. of Tanks excluding (b) (c)	Total Fee = (a x c)			
adding an orphan UST system (newly discovered) (Part IV)	\$100.00/ tank [#1032]						
update/correction to Part II: owner/operator info/financial responsibility	\$0						
transfer of ownership (Part II)	\$0						
update/correction to Part III: record info	\$0						
update/correction to Part IV: UST system info	\$0						
Permanent Closure of an UST system (Part V)	\$0						
* Compartmentalized tanks are counted as one tank.							
* Manifolded or interconnected tanks count as sepa		*Total Fee					
*For municipalities, the 50% discount applies. The notification will not be processed without the fee. The fee shall be non-refundable and shall be paid by check or money order to the Department of Energy and Environmental Protection.							

Part II: Owner/Operator Information

- *If an Owner/Operator is a corporation, limited liability company, limited partnership, limited liability partnership, or a statutory trust, it must be registered with the Secretary of State. If applicable, the applicant's name shall be stated exactly as it is registered with the Secretary of State. Please note, for those entities registered with the Secretary of State, the registered name will be the name used by DEEP. This information can be accessed at the Secretary of State's database (CONCORD). (www.concord-sots.ct.gov/CONCORD/index.jsp)
- If an Owner/Operator is an individual, provide the legal name (include suffix) in the following format: First Name; Middle Initial; Last Name; Suffix (Jr, Sr., II, III, etc.).

1.	UST Owner Name:			
	Mailing Address:			
	City/Town:	State:	Zip Code:	
	Business Phone:	ext.:		
	Contact Person:	Phone:	ext.	
	*E-mail:			
	*By providing this e-mail address you are agreeing to receive offici electronic address, concerning the subject application. Please rem you can receive e-mails from "ct.gov" addresses. Also, please noti	ember to check yo	our security setting	s to be sure
a)	Business Type (check one):			
	 individual federal agency state a *business entity (*If a business entity complete i through 	o , <u> </u>	municipality	**tribal

Part II: Owner/Operator Information

	bility company 🔲 limited partnership trust 🛛 🗌 Other:
☐ limited liability partnership ☐ statutory	
 ii) provide Secretary of the State business ID #: the Secretary of State's database (CONCORD 	This information can be accessed at D. (www.concord-sots.ct.gov/CONCORD/index.jsp)
iii) 🔲 Check here if your business is NOT regist	ered with the Secretary of State's office.
**Notification or fee is NOT required for UST systems	located on tribal lands.
2. UST Operator, if different than UST owner	
Name:	
Mailing Address:	
City/Town:	State: Zip Code:
Business Phone:	ext.:
Contact Person:	Phone: ext.
*E-mail:	
a) Business Type (check one):	
 individual federal agency *business entity (*If a business entity complete check type: corporation limited liability partnership statutory 	bility company
 provide Secretary of the State business ID #: the Secretary of State's database (CONCORD) 	This information can be accessed at). (<u>www.concord-sots.ct.gov/CONCORD/index.jsp</u>)
iii) 🔲 Check here if your business is NOT regist	ered with the Secretary of State's office.
**Notification or fee is NOT required for UST systems	located on tribal lands.
Check if any co-owners/operators. If so, attach addition	al sheet(s) with the required information as requested above.
3. Billing contact, if different than UST owner	
Name:	
Mailing Address:	
City/Town:	State: Zip Code:
Business Phone:	ext.:
Contact Person:	Phone: ext.
E-mail:	
4. Primary contact, if different than UST owner	
Name:	
Mailing Address:	
City/Town:	State: Zip Code:
Business Phone:	ext.:
Contact Person:	Phone: ext.
*E-mail:	
electronic address, concerning the subject application. I	ceive official correspondence from the department, at this Please remember to check your security settings to be sure please notify the department if your e-mail address changes.

Part II: Owner/Operator Information (continued)

5.	Property Owner, if different than US	T owner		
	Name:			
	Mailing Address:			
	City/Town:		State:	Zip Code:
	Business Phone:		ext.:	
	Contact Person:		Phone:	ext.
	E-mail:			
6.	Class A Operator: must be the indiv	ridual who was train	ed.	
	Name:			
	Mailing Address:			
	City/Town:		State:	Zip Code:
	Business Phone:		ext.:	
	E-mail:			
	Company Name, if applicable:			
	Approved Training Course:			
	Training Date:	initial or biennia	al training	
		OR		
		retraining order	ed for non-co	mpliance
	Certification Expiration Date:			
	Class A Operator's Signature:			
7.	Class B Operator: must be the indiv	ridual who was train	ed.	
	Name:			
	Mailing Address:			
	City/Town:		State:	Zip Code:
	Business Phone:		ext.:	
	E-mail:			
	Company Name, if applicable:			
	Approved Training Course:			
	Training Date:	initial or biennia	al training	
		OR		
		retraining order	red for non-co	mpliance
	Certification Expiration Date:			
	Class B Operator's Signature:			

Part II: Owner/Operator Information (continued)

8. Financial Mechanism(s): Complete the table below identifying the financial assurance mechanism(s) used to demonstrate financial responsibility as specified in the Federal Register. Use the list of surety types below. If an 'other method' is chosen, please specify the method in the table.

- A Self Insurance
- E. Guarantee
- F. Surety Bond

- I. Trust Fund
 - J. *State Fund

C. Risk Retention Group

B. Commercial Insurance

- G. Letter of Credit
- K. Other Method (specify in

- D. Local Government Financial Test
- H. Bond Rating Test
- table below)

*Pursuant to section 262 of Public Act 12-1 of the June 12th Special Session, the state fund (UST Petroleum Clean-Up Program) will cease to serve as a financial responsibility mechanism on:

- October 1, 2012, for those who own or operate USTs on more than five separate sites; and
- October 1, 2013 for municipalities and for those who own or operate USTs on five or less separate sites.

Name of Insurer	Policy #	Surety Type (insert letter from list above or specify)	Amount of Coverage	Period of Coverage			
Owners/Operators shall complete the attached "Certification of Financial Responsibility Form" and maintain such completed form at the facility where the storage tank system(s) are located. THIS FORM DOES NOT NEED TO BE SUBMITTED but must be updated to reflect any changes.							

Part III: Record Information

Off- Site Storage of Records at a Centralized Location						
Does the owner/operator of more than 10 facilities with UST systems request to store certain records at a centralized location ?						
If yes, provide the central location address below.						
Name of Location:						
Address:						
City/Town:	State:	Zip Code:				
Such records must be immediately available for inspect designee at any such central location. Please refer to storage tank system records that may be kept at a cer	section 22a-449 q	CGS; for storage of underground				

Part IV: Underground Storage Tank Information

Complete for all tanks and piping at the subject location. Begin by labeling tanks (including compartments, if applicable). Label tanks as required by the instructions. If you have more than 5 tanks in one location, reproduce this section and complete for additional tanks. You must read the instructions (DEEP-UST-INST-001) in order to properly complete this Part.

Tank Identification Number (see instructions)	Tank No.:	Tank No.:	Tank No.:	Tank No.:	Tank No.:
Part of a compartmentalized tank					
Part of a manifolded or interconnected tank					
Complete items 1 through 5 for the entire tank- yo	ou do not have	to complete th	he columns lab	eled for compa	rtments.
1. Status of Tank Currently in Use					
Temporarily Closed					
Date Temporarily Closed					
Permanently Closed (check here and skip to Part V)					
2. Date of Installation of Tank (month/year)					
3. Life Expectancy of Tank (years)					
4. Material of Construction - Tank - check one per	tank				
Asphalt Coated or Bare Steel					
Coated and Cathodically Protected Steel (STI-P3)					
Composite (Steel clad with Fiberglass)					
Composite (Steel with Plastic Jacket)					
Composite (Steel with Urethane)					
Fiberglass Reinforced Plastic					
Other (e.g., concrete, etc.) (please specify)					
5. Construction Type – Tank – check all that apply	-	I	1	I	1
Lined Interior					
Excavation Liner					
Double Walled					
Tank Manufacturer					
Check box if tank has ever been repaired					
Complete the follo	wing for each	compartment o	or tank.		
6. Emergency Generator Use Only					
7. Estimated Total Capacity (gallons)					

Part IV: Underground Storage Tank Information (continued)

Tank Identification Number (see instructions)	Tank No.:	Tank No.:	Tank No.:	Tank No.:	Tank No.:
Part of a compartmentalized tank					
Part of a manifolded or interconnected tank					
8. Substance Currently Stored (or last stored in the check one per compartment/tank	ne case of clo	sed compartr	nents/tanks)		
Gasoline					
Diesel					
Kerosene					
Heating Oil (on-site consumption)					
Heating Oil (for resale)					
Used Oil					
Biodiesel					
E-85					
E-15					
E-10					
If Other, please specify here					
Hazardous Substance					
CERCLA name					
CAS Number					
9. Primary Release Detection - check one per com	npartment/tanl	ſ			
Annual Precision Tightness Testing					
Tank Tightness Test with Inventory Control					
Continuous (Electronic) Interstitial Monitoring					
ATG - CSLD – Continuous with Inventory Reconciliation/Control					
ATG - Static with Inventory Reconciliation/Control					
Monthly Groundwater/Vapor Monitoring					
Manual Tank Gauging					
Monthly Visual Interstitial Monitoring					
No release detection required (see instructions)					
If Other Method, please specify here					

Tank Identification Number	Tank No.:				
Part of a compartmentalized tank					
Part of a manifolded or interconnected tank					
Piping Construction					
10. Piping Installation Date					
11. Piping Material - check one per compartment/tan	k				
Bare Steel					
Galvanized Steel					
Epoxy Coated Steel					
Flexible Plastic					
No Piping associated with Tank or Above Ground Only					
Fiberglass Reinforced Plastic					
Semi-Rigid Plastic					
Copper					
12. Piping – Secondary Containment – check all that apply					
Containment Sumps at Dispensers					
Containment Sumps at Tanks					
13. Pipe Fitting - check one per compartment/tank					
Metallic Fitting Isolated from Soil and Water					
Metallic Fitting Cathodically Protected					
14. Construction Type-Piping – check all that apply					
Cathodically Protected					
Double Walled					
Metallic Piping Isolated form Soil and Water					
Unknown					
15. Piping Type - check one per compartment/tank	T	T	Γ	T	Γ
Pressure					
"U.S." Suction (valve at tank)					
Gravity Feed Only					
"Safe" Suction (no valve at tank)					
If Other, please specify here					
Check box if piping has ever been repaired					

Part IV: Underground Storage Tank Information (continued)

Tank Identification Number	Tank No.:	Tank No.:	Tank No.:	Tank No.:	Tank No.:
Part of a compartmentalized tank					
Part of a manifolded or interconnected tank					
16. Primary Release Detection - Piping - check one	per compartm	ent/tank			
Annual Precision Line Tightness Testing					
Precision Line Tightness Testing Every 3 years					
Continuous (Electronic) Interstitial Monitoring					
Monthly Visual Interstitial Monitoring					
Groundwater/Vapor Monitoring					
PLLD – Annual .1gph Leak Test					
PLLD – Monthly Elec. 0.2gph Leak Testing					
No release detection required (see instructions)					
If Other Method, please specify here					
17. If piping type is pressure- check one per compar	tment/tank				
Electronic Auto Line Leak Detectors					
Mechanical Auto Line Leak Detectors					
18. Spill and Overfill Protection – check all that appl	у				
Audible Alarm					
Ball Float Device					
Flapper Device					
None					
Spill Prevention Device Installed					

Part IV: Underground Storage Tank Information (continued)

Part V: Permanent Tank Closure

Tank Identification Number	Tank No.:				
Part of a compartmentalized tank					
Part of a manifolded or interconnected tank					
1. General Information of Closed Tank					
Date of Installation (month/year)					
Estimated Total Capacity (gallons)					
Estimated date tank closed (month/day/year)					
(check one per tank):					
Tank was removed from ground					
Tank was closed in ground					
Tank filled with inert material					
Describe the inert fill material here					

Tank Identification Number	Tank No.:	Tank No.:	Tank No.:	Tank No.:	Tank No.:
Part of a compartmentalized tank					
Part of a manifolded or interconnected tank					
2. Estimated date the UST was last used for storing regulated substances (month/day/year)					
3. Site Assessment Required Site Assessment Completed (If Yes, provide consultant/contractor information below)					
Consultant/Contractor Name(s)					
Consultant/Contractor Addresses(s)					
Consultant/Contractor Phone(s)					
Soil Samples Collected and Analyzed for one or more of the following: VOCs, SVOCs, Metals, ETPH					
Groundwater Encountered During Assessment					
Groundwater Samples Collected and Analyzed for one or more of the following: VOCs, SVOCs, Metals, ETPH					
*Soil Samples had Constituents of Concern above the following RSR Criteria: - check all that apply					
GA PMC GB PMC Res DEC I/C DEC					
* If any boxes were checked above, include a table summarizing the data and highlighting the exceedances (See R.C.S.A. Sections 22a- 133k-1 through 3 for definitions).					
*Groundwater Samples had Constituents of Concern abov	ve the following	RSR Criteria: -	- check all that a	apply	
GWPC SWPC Res GWVC I/C GWVC					
* If any boxes were checked above, include a table summarizing the data and highlighting the exceedances (See R.C.S.A. Sections 22a- 133k-1 through 3 for definitions).					
Remedial Actions Recommended by Environmental Consultant/Contractor If box is checked, a closure report must be submitted to the LUST Coordination Program for evaluation.					
Remedial Actions Completed					

Part V: Permanent Tank Closure (continued)

Part VI: Certification of Installation

Complete within 30 days of installing an UST or adding an UST system to an existing notification. If you have more than 5 tanks in one location, reproduce this part and complete for additional tanks.

Tank Identification Number	Tank No.:					
Part of a Compartmentalized Tank						
Part of a manifolded or interconnected tank						
Installer of tank and piping must check all that apply						
Installer certified by tank and piping manufacturers						
Installation inspected by a registered engineer						
Installation inspected and approved by implementing agency						
Manufacturer's installation checklists have been completed						
If Other Method, please specify here						
Provide signature of UST Installer to certify proper installation of subject UST System.						
Signature of UST Installer	Date	Date				
Name of UST Installer (print or type)		Title				
Company Name		E-mail				
Company Address		License	Туре			

Part VII: Owner/Operator Certification

The owner/operator *and* the individual(s) responsible for actually preparing the notification must sign this part. A notification will be considered incomplete unless all required signatures are provided.

"I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify that based on reasonable investigation, including my inquiry of the individuals responsible for obtaining the information, the submitted information is true, accurate and complete to the best of my knowledge and belief.				
I understand that a false statement in the submitted information may be punishable as a criminal offense, in accordance with section 22a-6 of the General Statutes, pursuant to section 53a-157b of the General Statutes, and in accordance with any other applicable statute.				
I certify that I have completed a <i>Certification of Financial Responsibility Form</i> and such completed form is maintained on-site.				
I also certify that this underground storage tank notification is on complete and accurate forms as prescribed by the commissioner without alteration of the text."				
Signature of Owner/Operator	Date			
Name of Owner/Operator (print or type)	Title (if applicable)			
Signature of Preparer (if different than above)	Date			
Name of Preparer (print or type)	Title (if applicable)			
Check here if additional signatures are required. If so, please reproduce this sheet and attach signed copies to this sheet.				

Note: Please submit a completed Underground Storage Tank Notification and all Supporting Documents to:

CENTRAL PERMIT PROCESSING UNIT DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION 79 ELM STREET HARTFORD, CT 06106-5127

A copy of all completed Notification Forms must be maintained on site and the most recent completed form must also be forwarded to the local fire marshal.

If you have any questions, please contact the UST Program at 860-424-3374 or by e-mail (<u>DEEP.USTFee@ct.gov</u>)



Connecticut Department of Energy & Environmental Protection

Bureau of Materials Management & Compliance Assurance Emergency Response & Spill Prevention Division

Certification of Financial Responsibility

Owners/operators of underground storage tank systems regulated under CGS section 22a-449(d) shall complete this form to demonstrate financial responsibility as required by R.C.S.A. section 22a-449(d)-109. This completed form shall be maintained at the facility where the storage tank system(s) is located. Records kept off site, in accordance with CGS section 22a-449q shall be made available immediately upon request.

1.	LOCATION of UST(s) Name of site: Street Address or Location Description:					
	City/Town:	•	State:	Zip Code:		
2.	Site ID Number:					
3.	Financial Mechanism(s): Complete the table below identifying the financial assurance mechanism(s) used to demonstrate financial responsibility as specified in the Federal Register. Use the list of surety types below. If an 'other method' is chosen, please specify the method in the table.					
	A Self Insurance	E. Guarantee	I.	Trust Fund		
	B. Commercial Insurance	F. Surety Bond	J.	*State Fund		
	C. Risk Retention Group	G. Letter of Credit	К.	Other Method (specify in table below)		
	D. Local Government Financial Test	H. Bond Rating Test				
 *Pursuant to section 262 of Public Act 12-1 of the June 12th Special Session, the state fund (UST Petroleum Clean-Up Program) will cease to serve as a financial responsibility mechanism on: October 1, 2012, for those who own or operate USTs on more than five separate sites; and October 1, 2013 for municipalities and for those who own or operate USTs on five or less separate sites. 						
	Name of Insurer	Policy #	Surety Type (insert letter from list above or specify)	Amount of Coverage	Period of Coverage	

Certification of Financial Responsibility (continued)

The Owner/Operator must sign this certification along with either a witness or notary.

"I certify that this facility is in compliance with the requirements of the federal financial responsibility rules as referenced in R.C.S.A. section 22a-449(d)-109. Compliance includes taking corrective action and compensating third parties for bodily injury and property damage caused by a discharge from the storage tank system(s) at this location.				
I have personally examined and am familiar with the information submitted in this document and all attachments thereto, and I certify that based upon a reasonable investigation, the submitted information is true and complete to the best of my knowledge and belief. I understand that a false statement in the submitted information may be punishable as a criminal offense under section 53a-157b of the General Statutes or any other applicable statute."				
Signature of Owner/Operator	Date			
Printed Name of Owner/Operator	Title			
Signature of Witness or Notary	Date			
Printed Name of Witness or Notary				

This certification form must be updated whenever the financial insurance mechanism(s) used to demonstrate financial responsibility changes.

If you have any questions, please contact the UST Program at 860-424-3374 or by e-mail (<u>DEEP.USTFee@ct.gov</u>)