

Request for Applications (RFA)

Jamaica EFA Youth Challenge Grant *An EQUIP3 project funded by USAID and led by EDC*

The Education Development Center, Inc. (EDC), with the support of USAID/Jamaica-Caribbean, is requesting applications from Jamaican NGOs to implement a youth program in Jamaica. This Request for Applications (RFA) is open to all legally registered NGOs in Jamaica and will follow an open competition selection process. The selected NGO will receive funding in the form of a subgrant from EDC, the lead agency of the USAID funded EQUIP3 project. The selected NGO will be primarily responsible for the administration, management, and implementation of a USAID-funded program that aims to provide out-of-school boys (ages 15-24) in two different sites in Jamaica with positive youth development opportunities to prepare and engage them in positive roles in the world of work, family and community life, as more fully defined in subsequent sections of this document.

Applications should include (i) a clear narrative description of the project that responds to youth needs given the context described in this document (including proposed implementation sites); (ii) a comprehensive implementation strategy that directly addresses the goals and objectives described in this document (including activities, project timeline, and descriptions of collaboration and partnerships with existing initiatives and youth institutions); (iii) a detailed budget and a narrative description of what the resources will be used for (including identification of any other funding sources); (iv) a Monitoring & Evaluation Plan that helps monitor progress; and (v) other documentation as explained in section IV.

Applications should be submitted electronically to EDC to the attention of Alejandra Bonifaz (see contact information in Section IV) **no later than February 17th 2006**. The project's period of performance should be 14 months, beginning April 1st 2006. Cost proposals should not exceed **US \$210,000** and it is encouraged, although not mandatory, to propose a cost-share strategy and mechanism. Questions should be addressed to Alejandra Bonifaz. More information about EDC and EQUIP3 can be found at www.edc.org and http://www.equip123.net/equip3/index_new.html, respectively.

Criteria for eligibility include (i) an innovative, systematic, and realistic approach; (ii) a demonstrated capacity to engage and prepare unattached young people (especially young men) in basic education and livelihood preparation; (iii) previous experience in implementing and managing youth programs in Jamaica; (iv) a solid capacity and a commitment to learning from partnerships (benefiting from and contributing to a learning network); (v) and a commitment to developing program models, tools and methodologies that can be widely shared with other stakeholders in the government and non government youth serving sectors in Jamaica and elsewhere in the region and globe.

I. BACKGROUND

The Education For All (EFA) Youth Challenge Grant Program

In August 2005, the Jamaica-Caribbean USAID Mission was awarded one of three Education For All (EFA) Youth Challenge Grant awards given to USAID Missions via the EQUIP3 Leader with Associates Award, a USAID/EGAT-funded mechanism that is led by Education Development Center, Inc. (EDC). The other two awards went to USAID Missions in Uganda and South Africa.

The EFA Youth Challenge Grant initiative is designed to achieve 3 important goals within USAID's global efforts to address the development needs and aspirations of vulnerable populations of young men and women. These goals are to:

- 1) **Facilitate** increased USAID Mission capacity to implement innovative, cross-sectoral youth basic education and livelihood programming.
- 2) **Support** innovative, collaborative and diverse approaches to youth basic education and livelihood programming; highlight effective elements of these; and articulate their potential for going to scale.
- 3) **Contribute** lessons learned and promising practices that result from the program to the emerging international youth development field.

The EFA Youth Challenge Grant program benchmarks its impact with indicators that track its ability to promote and strengthen cross-sectoral programming for youth; that capture its contribution to the design and delivery of innovative approaches to basic education and livelihood interventions; and, that track the shared implementation of monitoring and evaluation mechanisms that build the evidence base, and articulate the menu of proven programming models, required to mobilize new funding streams and engender long term sustainability of positive youth development programming.

The key to success within the EFA Youth Challenge Grant Program is the development of an effective and dynamic partnership among the winning USAID mission team, the Education Development Center's (EDC) EFA Technical Assistance team, and lead staff from local implementing partners -- along with the ongoing engagement of other key local stakeholders in government, the private sector and the broader local youth serving community.

Partnership building takes place via a number of practical mechanisms (including site visits and technical assistance missions), and through a shared commitment to establishing the kind of communication and knowledge management protocols that support a vibrant "learning partnership". A first step in such partnership building is often a joint appraisal and engagement mission, which looks to identify existing strengths and assets, to articulate key areas for shared innovation and exploration, and to finalize planning regarding award parameters and M&E priorities.

II. PROGRAM DESCRIPTION

A. *Context to address the Needs and Aspirations of Jamaican Unattached Youth*

According to the latest government estimates, there are currently in excess of 145,000 unattached youth in Jamaica.¹ These 15-24 year olds are predominantly young men, and they live in both urban and rural communities across the island. They have become unattached from traditional vehicles for **positive youth development**² such as schools, technical/vocational training schemes, entry level jobs or service learning opportunities; and because of their association with street level violence and crime, growing drug

¹ Estimate of 145,000 unattached youth provided by MoECY during meetings Nov 4, 2005 at NCYD

²See *Broadening the Bounds of Youth Development*, by the Ford Foundation.

use and the spread of HIV/AIDS, they are a group of rising concern for a broad range of government and civil society actors alike.

Very limited comprehensive research is available that fully delineates the process by which these young people become unattached from positive youth development opportunities, but an emerging consensus within the government and NGO sectors sees a combination of specific **push and pull factors** at play, along with the impact of a number of **broader sociological trends** within Jamaica.

Some of the “push” factors postulated are: (i) **inadequate educational opportunities** for young male students within a formal school system still marked by “chalk and talk” pedagogies, high stakes testing, large class sizes, a lack of pre-vocational education streams, and a continued emphasis on authoritarian discipline in the classroom; (ii) **readiness and access barriers** faced by youth completing schooling with neither the test scores/ academic skills to qualify them for continuing education, nor their being the right age to enter HEART Trust vocational schemes or the National Youth Service program -- as low achieving, socially promoted, students often finish school at age 15 and cannot access Heart Trust programs or the National Youth Service program until age 17 or 18.

Some of the “pull” factors in evidence in Jamaica are (i) an emerging **youth culture** that questions many traditional family and community norms and conventions; and (ii) the presence of **community based factional groups / criminal gangs** in many urban neighborhoods that actively recruit young people and offer them a sense of identity, safety, economic opportunity, and belonging -- though which also involve them in violent street clashes and escalating waves of crime against community residents and their property.

Finally, the broader sociological trends associated with growing numbers of unattached youth, are seen to include: (i) **breakdown in family structures** and related unstable home environments, along with decreased support to poor families through cuts in government social spending; (ii) **economic stagnation** and lackluster employment generation in the private sector, which affects youth unemployment rates disproportionately; (iii) **ongoing under-investment** in schools and technical training programs, along with apprenticeship or school to work transition schemes; (iv) a lack of **accessible reproductive health programs** or mental health counseling/guidance services; (v) high level of **exposure to violence** (in the community and in the family); (vi) a broad sense of **powerlessness and despair** in inner city communities and marginal rural settlements whose residents feel left out of public life and local or national governance.

In response to growing concerns about the phenomenon of unattached youth -- and interwoven challenges in the areas of public health, education, democracy and governance, and economic growth; and in concert with the Jamaican Government’s ongoing development of a National Youth Policy and the Ministry of Education’s expressed desire to better serve unattached youth; the J-CAR USAID Mission has identified an opportunity to take on a **catalytic role** in the piloting of new community-based vehicles for delivering positive youth development opportunities to unattached youth.

Building on the successful **Uplifting Adolescents Project (UAP)** -- which has served the needs of younger out-of-school-youth (targeting 10-18 year olds) and provided them with flexible re-entry³ routes into the formal education system -- the J-CAR Mission’s Education team has generated support for a

³ Uplifting Adolescents has in fact piloted *recruitment, retention, re-entry and re-routing* options for in and out of school youth – as defined by the attached EQUIP 3 Out of School Youth programming framework – though it has focused on re-entry programming for youth trying to reach JAMAL level 4 and/or achieve their GNAT (or 5% Equivalency High School Entrance Test) and then enter secondary school or technical training programs

multi-sectoral (cross SO) undertaking that is rooted in a common commitment to positive youth development, and a cross-cutting interest in re-engaging unattached youth before they become a destabilizing force that undermines the core activities of USAID's "Sustainable Development Strategy for Jamaica—2005-09". Each of the 4 SO teams involved in this activity bring to the table important experience in work with marginalized youth (via youth friendly reproductive health services, community based economic development initiatives in poor inner city communities, non formal basic education programming, and civil society strengthening / conflict prevention activities), and all share a commitment to engaging other actors from government, the donor community, the private sector, community based organizations, neighborhood groups, parents and extended families, in a common effort to connect unattached youth with flexible, dynamic, scalable and sustainable positive youth development opportunities.

The J-CAR Mission's education team is especially interested in the Ministry of Education's expressed desire in collaborating with the proposed two pilot sites; most importantly the MoEYC's expressed interest in (i) supporting the selection of appropriate communities for the pilot sites, (ii) in linking this work with its new program of community- based Youth Empowerment Officers, and Youth Information Centers, (iii) in sharing capacity building opportunities between J-CAR and MoEYC activities, and (iv) in building the evidence base required to take this programming to scale as a means of addressing the Education Minister's expressed goal of "achieving significant impact" on the full cohort of 145,000 unattached youth in Jamaica.

B. *Key Factors To Guide the Jamaica EFA Challenge Grant Program*

Applicants are expected to design a project and implementation strategy building upon key factors that contribute to the success of a youth project. The list below summarizes the factors articulated in an Appraisal Mission carried out by EDC and USAID in Jamaica in November 2005. These factors draw on the experience and guidance of key stakeholders in the youth serving community and the Ministry of Education, Youth and Culture (MoEYC) and were developed in close collaboration with various teams from USAID Jamaica. Applicants are asked to draw on these and other factors that they consider essential for their project design and implementation strategy.

- (a) Respectful and Supportive Attitude:** The foundation for successful adult-youth partnerships in basic education and livelihood programs is a **respectful and supportive attitude** on the part of the adults involved (teachers, social workers, vocational trainers and administrators alike) to the young people participating. This requires the hiring of staff who wants to work with youth, who are willing to appreciate and engage with youth culture, and who appreciate the diverse assets⁴ and strengths youth bring to the learning partnership. Work with unattached youth is very demanding and needs to be paced (including days on site each week without youth present for planning/de-briefing/ skills development and self care) so as to prevent staff burn out.
- (b) Shared Program Leadership With Youth:** Successful programs for unattached youth will need to be developed with their input and adults will need to **share program leadership** wherever possible with young participants. Consistent feedback was that the project would need to attract young people by offering programming that youth perceived to be relevant and interesting. Examples included using sport, cultural and/or practical livelihood development activities to engage young people and begin to build positive relationships -- and then gradually blend basic

⁴ See the work of the Search Institute in the area of an Asset Approach to youth development (www.search-institute.org)

education, counseling and life skill development components into the budding new adult youth partnerships.

- (c) **Preparation For Employment / Self Employment:** There will need to be a central orientation in this new project to **prepare for employment / self employment** and progress will need to be tracked re: the development of a range of sustainable livelihood assets, capabilities and activities.⁵ It was emphasized that this livelihood development programming needs to be hands on, practical and comprehensive (for example linking youth to micro-finance services and/or apprenticeships/placements as part of vocational skills training, versus providing only workshop style experiences). It was also generally agreed that basic education programming will still need to be a central offering, though presented in a more low key way and drawing on young peoples' strengths vs. emphasizing their gaps in literacy / numeracy fundamentals.⁶
- (d) **Role of Youth As Positive Change Agents In The Community:** Successful programs for unattached youth will recognize their potential to act as **positive agents of change** in the community and will seek ways to support them to address community needs (and provide visibility/ recognition as they do so). There was broad consensus that programming should not further marginalize participating youth, and that where possible more mainstream youth should be integrated into activities so as to build new positive peer communities.
- (e) **Building Positive Relationships with Community Actors:** A successful pilot program will need to develop the capacity of young participants to **build more positive relationships** with a range of community actors (from police officers to faith leaders, to parents and potential employers), and to enhance the capacity of these same adult actors to relate empathetically to the needs and aspirations of unattached youth.
- (f) **Harnessing the Power of Media and Popular Culture:** A successful program for unattached youth will need to understand the **power of media and popular culture** in the socialization of young people, and should consider the integration of a well developed communication strategy as part of its overall programming.

C. *Goal*

The project under this grant should target unattached young men (ages 15-24) in troubled communities with the main goal of providing them with positive youth development opportunities which will support their acquisition of the core livelihood assets (human, social and financial) and key livelihood capabilities (innovation, positive risk taking, decision making, and leadership) required to develop sustainable livelihood pathways that will prepare and engage them for positive roles in the world of work, family and community life.

D. *Objectives*

To reach the goal, three main objectives have been identified. They are:

⁵ See the concept of Accompanied Youth Livelihood Development (AYLD) in recent ILO publications for a better articulation of the assets, capabilities and activities in question

⁶ See the Youth Cultural Competence model for basic education programming with older youth for an example of effective practice in this domain.

(i) *Improving the quality of life, and livelihood readiness, of these youth through access to basic education, personal development and civic engagement opportunities – including their completion of JAMAL or HISEP qualifications/pre-qualifications;*

(ii) *Providing economic opportunities for these youth through exposure to vocational skills training (including those oriented to the HEART Trust standards), engagement in apprenticeship/hands on learning programs, and/or access to employment/self employment supports (micro-finance services – including savings, credit and business development products—along with livelihood coaching/mentoring); and*

(iii) *Developing an overall approach, methodology and culture (in line with the six key factors listed in a later section) that can be widely shared with the government and non-government youth serving community in Jamaica, neighboring countries and around the globe.*

Prospective applicants are expected to present comprehensive implementation strategies, and are encouraged to be both innovative and systematic in their program design. Applicants are also asked to address how their program design will interface with, and benefit, other government and non government youth development projects taking place in the same communities in order to maximize its impact and sustainability (refer to section titled *Collaboration and Synergies*).

Illustrative activities could include the integration of basic literacy and numeracy skills, life skills training, healthy lifestyle and behavior counseling, targeted psycho-social interventions specific to behavioral disorders, trauma counseling etc., and/or personal and family life development and career counseling.

E. *Outcomes*

Applicants are asked to identify specific project outcomes and to propose a Monitoring and Evaluation Plan, including indicators and benchmarks that will help monitor and measure the progress of the project. The plan should also include quarterly and annual report benchmarks.

The following expected outcomes have been identified for the project:

- Increased literacy and numeracy levels (e.g. using JAMAL levels)
- Increased participants’ understanding of their rights as citizens;
- Increased participants’ self esteem;
- Greater economic opportunities available to marginalized and at-risk youth; and
- Increased participants’ positive attitudes, healthy lifestyle, and behavior.

F. *Target population*

The project will specifically focus on unattached male youth, given the significant problems of under-achieving boys in traditional school programs and the high incidence of serious crimes committed by young men in the 15-24 age groups. The program will target three hundred (300) youth in total (divided between two sites) who will come from two (2) marginalized communities in which the proposed demonstration sites will be located.

G. *Site selection*

The project will take place in two urban communities. These communities will be determined by USAID with multi-stakeholder participation so that the activity will have the greatest possible replication by the Government of Jamaica. Selected communities will have high populations of unattached youth, have the potential to develop a foundation for sustainable impact, and will offer geographic diversity.

H. *Collaboration and Synergies*

Underpinning the implementation of this project, is the requirement for strong emphasis on collaboration, co-ordination and liaison between USAID, communities, policing activity and selected NGO's involved in the overall activity implementation. This will be necessary to facilitate the linkages that allow for smooth flow of information, timely implementation of interventions, and the development of synergies. Applications should describe how the applicant NGO plans to foster collaboration among various stakeholders, link with existing youth initiatives and institutions, and contribute to a learning experience among all EFA / Youth Challenge Grant recipients (including South Africa and Uganda) and respective USAID missions.

For example, applications may describe how the proposed project will link with existing youth initiatives such as the Jamaica Movement for Adult Literacy (JAMAL) to channel participants into existing exit opportunities such as the High School Equivalency Program (HISEP), the Human Employment and Resource Training (HEART TRUST/National Training Agency), and/or entities that support youth micro and small businesses, businesses within communities.

III. ROLES AND RESPONSIBILITIES

Once the local NGO is selected and the sub-grant is awarded, EDC and USAID Jamaica will work closely with the NGO throughout the implementation of the project in accordance with USAID's Standard Provisions (a copy of the Standard Provisions can be found at www.usaid.gov/policy/ads/300/303maa.pdf).

Involvement of USAID and EDC

As part of the EFA Youth Challenge Grant program the USAID Jamaica mission will collaborate with EDC to ensure that this project contributes to the knowledge base and program models available to USAID/Jamaica-Caribbean Mission and other missions.

The USAID/Jamaica-Caribbean Mission has issued an Associate Award to EDC, who will provide the sub-grant to the implementing Jamaican NGO. The selected organization will report directly to EDC. It will be the responsibility of the selected NGO to ensure timely execution of the project, collating and refining reports in order to provide EDC and USAID/Jamaica/Caribbean with quarterly progress reports as well as all the tasks listed above. Throughout the project, EDC will continue to support the NGO's capacity by developing and implementing a training/institutional strengthening program with the view towards sustainability. EDC will also look for ways to link the M&E and knowledge management activities of the subgrantee(s) to the overall learning agenda of the EFA Youth Challenge Grant initiative.

The main activities that EDC/USAID will be substantially involved in are:

- a) Review and approve the Annual Work Plan, Implementation Plans and Project Monitoring Plan;
- b) Approve specified key personnel/changes and short term technical assistance;
- c) Ensure Agency and Recipient collaboration or joint participation on the Coordinating Committee;

- d) Provide proper technical assistance to the selected organization and support any other essential programmatic or technical areas identified throughout the implementation of this program; and
- e) Facilitate a mechanism for learning and sharing among project staff within the selected NGO, the other two EFA grantees in Uganda and South Africa, and the USAID missions in the respective countries.

IV. APPLICATION INSTRUCTIONS & ADDITIONAL DOCUMENTATION

Applications to this grant should follow the guidelines below and should include all the following materials.

A. Application Cover Sheet

Including the name of the project, legal name of the organization, Project Director (the contact person who will receive routine correspondence regarding the contents and status of the project during the application and implementation process), and Authorized Representative (the person who is legally responsible for receiving grant funds should a grant be made).

B. Table of Contents

C. Executive Summary (max. 1 page)

D. Organizational Capacity (max. 4 pages)

- Capacity statement of the organization including a description of the organization and the specific characteristics that would contribute to the successful implementation of this project.
- Organizational capacity statements should address managerial oversight and financial capacity and organizational financial controls, as well as technical experience
- Description of previous and/or current work with youth as it relates to this project.
- Description of previous and/or current USAID-funded projects.

E. Narrative Description of the Project (max. 20 pages)

It should contain at least the following items and any others that the applicant sees relevant:

- Project goal and objectives.
- Approach and methodology (including implementing strategy and proposed activities).
- Plan for collaboration and synergies (including a brief description of institutions or organizations that are expected to collaborate with and/or participate in the implementation of this project as well as the specific roles that each one will play).
- Program Management and staffing plan: A list of key personnel and a description of their roles.
- Work plan from April 1 2006- June 30, 2007
- Monitoring and Evaluation plan.

F. Project Budget (no page limit)

- Detailed budget including major line items that the applicant is requesting to fund with this grant, other sources of funding (if applicable) for relevant line items.
- A narrative description of what the resources will be used for.
- If cost-share is proposed, it should be reflected in relevant line items in the detailed budget and described in the budget narrative. [Applicants are encouraged, to include cost-share, which

may involve other sources of funding and/or in-kind contributions for the implementation of this project.]

- A completed and signed copy of SF-424 Form (see attachment or download it from http://www.usaid.gov/procurement_bus_opp/procurement/forms/SF-424/sf424_complete.pdf).

G. Annexes (no page limit)

- Most recent institutional audit report or audited financial statements.
- 1-2 letters from key collaborating and/or partnering organizations that speak for the type of collaboration of the applicant organization based on previous work.
- Curriculum Vitae of key project staff (2 page maximum) involved in the project and a description of relevant qualifications.
- A completed and signed copy of the attached certifications. Copies can be scanned and submitted electronically along with the application or they can be submitted by fax separately to the following fax number: + 1 – 617 – 332 6405.
 - Certification regarding Lobbying
 - Certification Regarding Terrorist Financing - Implementation of Executive Order 13224
 - Prohibition on Assistance to Drug Traffickers for Covered Countries and Individuals
 - Certification Regarding Debarment, Suspension, and Other Responsibility Matters – Lower Tier Covered Transaction
 - Certification of Compliance with Standard Provisions regarding Restriction on Organization’s Promoting, Supporting or Advocating Prostitution

Submission instructions

Applications should be submitted **electronically** to EDC to the attention of Alejandra Bonifaz.

(Note: Only copies of the certifications could be submitted by fax)

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V. EVALUATION CRITERIA

The criteria presented below have been tailored to the requirements of this RFA and will guide the selection process. The relative importance of each criterion is indicated by approximate weight by points. A total of 100 points is possible. Applicants should note that these criteria serve to identify the significance of elements, which Applicants should address in their applications, and establish the standards against which all applications will be evaluated. However, it should be noted that EDC and USAID/Jamaica-Caribbean reserve the right to fund any or none of the applications submitted.

The technical applications will be evaluated in accordance with the technical evaluation criteria set forth below. Cost has not been assigned a numerical weight, but the cost submission of the application of all Applicants submitting a technically acceptable application will be reviewed and costs will be evaluated for general reasonableness, allowability, and allocability. To the extent that they are necessary,

negotiations may be conducted with the Applicant(s) whose application has a reasonable chance of being selected for award.

An award will be made to the responsible Applicant whose application offers the best value to the U.S. Government, considering both technical and cost factors.

A. Program Description (Total of 50 points):

1. Clarity, balance, and responsiveness to the RFA:
2. Merit of proposed fourteen (14) months plan for program implementation.
3. Merit of the proposed strategy for working with other governmental and non-governmental organizations, agencies and other organizations working with unattached youth to assure on-going coordination of field implementation efforts to achieve the proposed results.
4. Merit of proposed strategy for institutionalizing the proposed program within the implementing organization for sustained implementation of similar activities following completion of this RFA
5. Strength of proposed monitoring and evaluation plan including clearly articulated benchmarks, activity level indicators and mechanisms for measuring concrete results, and demonstrated understanding of the linkage between the field interventions, project outcomes and impact.

B. Proposed Staff (30 points):

1. Merit of proposed staffing plan.
2. Merit of proposed candidates for key and other staff, and consultants, in terms of:
 - a. demonstrated experience and competency in relevant project goals and activities;
 - b. high level experience in technical fields appropriate to proposed positions and roles;
 - c. experience in coordinating diverse activities that produced documented results;
 - d. experience in working with USAID-supported cooperating agencies, donors, USAID missions, and/or international counterpart organizations;
 - e. Relevant experience working with NGOs that work with the Jamaican youth.

C. Institutional Capacity and Management Plan (20 points):

1. Demonstrated institutional capability and experience in relevant project activities.
2. Strength and clarity of proposed in-country management plan and organizational structure, including specific accounting and operational controls aimed at ensuring the timely and efficient disbursement of operational funds required for the implementation of reconstruction and other projected field activities.
3. Applicants' past performance will be evaluated based on the following areas: a) quality of product or services, b) cost control or effectiveness, c) timeliness of performance, d) business relationships with client, e) satisfaction of end users of services or products, and f) managerial and technical competency of key personnel.

ANNEXES

REQUIRED CERTIFICATIONS, ASSURANCES, AND OTHER STATEMENTS OF APPLICANT

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, United States Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that: If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signed: _____
(Typed Name and Title) Date
(Name of Organization)

CERTIFICATION REGARDING TERRORIST FINANCING – IMPLEMENTING E.O 13224

Certification Regarding Terrorist Financing Implementing E.O. 13224

By signing and submitting this application, the prospective recipient provides the certification set out below:

1. The Recipient, to the best of its current knowledge, did not provide, within the previous ten years, and will take all reasonable steps to ensure that it does not and will not knowingly provide, material support or resources to any individual or entity that commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated, or participated in terrorist acts, as that term is defined in paragraph 3.

2. The following steps may enable the Recipient to comply with its obligations under paragraph 1:

a. Before providing any material support or resources to an individual or entity, the Recipient will verify that the individual or entity does not (i) appear on the master list of Specially Designated Nationals and Blocked Persons, which list is maintained by the U.S. Treasury's Office of Foreign Assets Control (OFAC) and is available online at OFAC's website :

<http://www.treas.gov/offices/eotffc/ofac/sdn/t11sdn.pdf>, or (ii) is not included in any supplementary information concerning prohibited individuals or entities that may be provided by USAID to the Recipient.

b. Before providing any material support or resources to an individual or entity, the Recipient also will verify that the individual or entity has not been designated by the United Nations Security (UNSC) sanctions committee established under UNSC Resolution 1267 (1999) (the "1267 Committee") [individuals and entities linked to the Taliban, Usama bin Laden, or the Al Qaida Organization]. To determine whether there has been a published designation of an individual or entity by the 1267 Committee, the Recipient should refer to the consolidated list available online at the Committee's website: <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>.

c. Before providing any material support or resources to an individual or entity, the Recipient will consider all information about that individual or entity of which it is aware and all public information that is reasonably available to it or of which it should be aware.

d. The Recipient also will implement reasonable monitoring and oversight procedures to safeguard against assistance being diverted to support terrorist activity.

3. For purposes of this Certification-

a. "Material support and resources" means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safehouses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials."

b. "Terrorist act" means-

(i) an act prohibited pursuant to one of the 12 United Nations Conventions and Protocols related to terrorism (see UN terrorism conventions Internet site: <http://untreaty.un.org/English/Terrorism.asp>); or

(ii) an act of premeditated, politically motivated violence perpetrated against noncombatant targets by subnational groups or clandestine agents; or (iii) any other act intended to cause death or serious bodily injury to a civilian, or to any other person not taking an active part in hostilities in a situation of armed

conflict, when the purpose of such act, by its nature or context, is to intimidate a population, or to compel a government or an international organization to do or to abstain from doing any act.

c. "Entity" means a partnership, association, corporation, or other organization, group or subgroup.

d. References in this Certification to the provision of material support and resources shall not be deemed to include the furnishing of USAID funds or USAID-financed commodities to the ultimate beneficiaries of USAID assistance, such as recipients of food, medical care, micro-enterprise loans, shelter, etc., unless the Recipient has reason to believe that one or more of these beneficiaries commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated or participated in terrorist acts.

e. The Recipient's obligations under paragraph 1 are not applicable to the procurement of goods and/or services by the Recipient that are acquired in the ordinary course of business through contract or purchase, e.g., utilities, rents, office supplies, gasoline, etc., unless the Recipient has reason to believe that a vendor or supplier of such goods and services commits, attempts to commit, advocates, facilitates, or participates in terrorist acts, or has committed, attempted to commit, facilitated or participated in terrorist acts.

This Certification is an express term and condition of any agreement issued as a result of this application, and any violation of it shall be grounds for unilateral termination of the agreement by USAID prior to the end of its term.

Signed: _____
(Typed Name and Title) Date
(Name of Organization)

**PROHIBITION ON ASSISTANCE TO DRUG TRAFFICERS FOR COVERED COUNTRIES AND INDIVIDUALS
(ADS 206)**

USAID reserves the right to terminate this [Agreement/Contract], to demand a refund or take other appropriate measures if the [Grantee/ Contractor] is found to have been convicted of a narcotics offense or to have been engaged in drug trafficking as defined in 22 CFR Part 140. The undersigned shall review USAID ADS 206 to determine if any certification are required for Key Individuals or Covered Participants.

If there are COVERED PARTICIPANTS: USAID reserves the right to terminate assistance to, or take or take other appropriate measures with respect to, any participant approved by USAID who is found to have been convicted of a narcotics offense or to have been engaged in drug trafficking as defined in 22 CFR Part 140.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY
EXCLUSION LOWER TIER COVERED TRANSACTIONS**

(a) Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," ineligible, "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, has the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. 1/ You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier covered Transaction," 2/ without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Non procurement List.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

(b) Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Name of Applicant/Subgrantee _____

Name and Title _____

Signature _____

1/ See ADS Chapter 303, 22 CFR 208.

2/ For USAID, this clause is entitled "Debarment, Suspension, Ineligibility, and Voluntary Exclusion (March 1989)" and is set forth in the USAID grant standard provision for U.S. nongovernmental organizations entitled "Debarment, Suspension, and Related Matters" (see ADS Chapter 303), or in the USAID grant standard provision for non-U.S. nongovernmental organizations entitled "Debarment, Suspension, and Other Responsibility Matters" (see ADS Chapter 303).

CERTIFICATION OF COMPLIANCE WITH STANDARD PROVISIONS ENTITLED "CONDOMS" AND "PROHIBITION ON THE PROMOTION OR ADVOCACY OF THE LEGALIZATION OR PRACTICE OF PROSTITUTION OR SEX TRAFFICKING"

[Name of Organization] certifies compliance as applicable with the standard provisions entitled "Condoms" and "Prohibition on the Promotion or Advocacy of the Legalization or Practice of Prostitution or Sex Trafficking."

By _____
(Signature)

(Date)

Type/Printed Name _____

1. ORGANIZATIONS ELIGIBLE FOR ASSISTANCE (ASSISTANCE) (JUNE 2005)

An organization that is otherwise eligible to receive funds under this agreement to prevent, treat, or monitor HIV/AIDS shall not be required to endorse or utilize a multisectoral approach to combating HIV/AIDS, or to endorse, utilize, or participate in a prevention method or treatment program to which the organization has a religious or moral objection.

2. CONDOMS (ASSISTANCE) (JUNE 2005)

Information provided about the use of condoms as part of projects or activities that are funded under this agreement shall be medically accurate and shall include the public health benefits and failure rates of such use and shall be consistent with USAID's fact sheet entitled, "USAID: HIV/STI Prevention and Condoms. This fact sheet may be accessed at: http://www.usaid.gov/our_work/global_health/aids/TechAreas/prevention/condomfactsheet.html

3. PROHIBITION ON THE PROMOTION OR ADVOCACY OF THE LEGALIZATION OR PRACTICE OF PROSTITUTION OR SEX TRAFFICKING (ASSISTANCE) (JUNE 2005)

(a) The U.S. Government is opposed to prostitution and related activities, which are inherently harmful and dehumanizing, and contribute to the phenomenon of trafficking in persons. None of the funds made available under this agreement may be used to promote or advocate the legalization or practice of prostitution or sex trafficking. Nothing in the preceding sentence shall be construed to preclude the provision to individuals of palliative care, treatment, or post-exposure pharmaceutical prophylaxis, and necessary pharmaceuticals and commodities, including test kits, condoms, and, when proven effective, microbicides.

(b) Except as noted in the second sentence of this paragraph, as a condition of entering into this agreement or any subagreement, a non-governmental organization or public international organization recipient/subrecipient must have a policy explicitly opposing prostitution and sex trafficking. The following organizations are exempt from this paragraph: the Global Fund to Fight AIDS, Tuberculosis and Malaria; the World Health Organization; the International AIDS Vaccine Initiative; and any United Nations agency.

(c) The following definition applies for purposes of this provision: Sex trafficking means the recruitment, harboring, transportation, provision, or obtaining of a person for the purpose of a commercial sex act. 22 U.S.C. 7102(9).

(d) The recipient shall insert this provision, which is a standard provision, in all subagreements.

(e) This provision includes express terms and conditions of the agreement and any violation of it shall be grounds for unilateral termination of the agreement by USAID prior to the end of its term.