DBPR ABT-6002 – Division of Alcoholic Beverages and Tobacco Application for Transfer of Ownership of an Alcoholic Beverage License

STATE OF FLORIDA DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

DBPR Form ABT-6002 Revised 01/2013

If you have any questions or need assistance in completing this application, please contact the Division of Alcoholic Beverages & Tobacco's (AB&T) local district office. Please submit your completed application and required fee(s) to your local district office. This application may be submitted by mail, through appointment, or it can be dropped off. A District Office Address and Contact Information Sheet can be found on AB&T's web site at the link provided below:

http://www.myflorida.com/dbpr/abt/district_offices/licensing.html

SECTION 1 – LICENSE TRANSACTION(S)					
Retail Alcoholic Beverages	☐ Alcoholic Bev	erage Broker Sales Agent			
☐ Beer/Wine/Liquor Wholesaler	☐ Alcoholic Bev	verage Manufacturer			
☐ Alcoholic Beverage Importer	☐ Passenger W	aiting Lounge			
Seller's Business Name		License Number			
Transaction Type: Transfer of Ownership Change of Location Change of Business Name Change in Series Decrease in Series Increase in Series Change of Officer/Stockholder/Amended Co	nore of the below)	Do you wish to purchase a Temporary License? Yes No			
Child License Requested	Number of Child I	Licenses Requested			
Crinia License Requested	Number of Child I	Licenses Requested			
	ABT District Off	ice Received Date Stamp			

	SECTION 2 – LICENSE	INFOR	MATIC	NC			
If the applicant is a corporation or other legal entity, enter the name and the document number as registered							
	th the Florida Department of State Division of Corporations on the line below.						
FEIN Number	Business Telephone Nu	umber	E-M	lail Address	s (Optiona	(la	
Full Name of Applicant(s): (This is the	he name the license will	be issue	ed in)	Departme	ent of Stat	e Document #	
Business Name (D/B/A)							
Location Address (Street and Numb	oer)						
City		County	/	State FL	Э	Zip Code	
Mailing Address (Street or P.O. Box	()			<u>'</u>			
City				State		Zip Code	
Contact Person - Thi	s section is optional, se	ee appli	catior	n instruction	ons for de	etails	
Contact Person			Telep	hone Numb		ext.	
E-Mail Address							
Mailing Address (Street or P.O. Box	()						
City	_	Sta	ate		Zip C	Code	
If this application is for the transfer of Yes □ No	of this license, is the tran	sfer due	to rev	ocation pro	oceedings	;?	
If yes, is there any personal relation Yes No	ship to the transferor?						
If yes, explain the relationship:							
if yes, explain the relationship.							
in yes, explain the relationship.							

					RSONAL INFOR			
	s section must a current licens		for <u>each</u> pe	rson direct	ly connected v	vith the bus	iness, unless they	
1.	Business Nam	e (D/B/A)						
2.	. Full Name of Individual							
	Social Security	Number*		Home Tele	phone Number	Date of E	Birth	
	Race	Sex	Height	Weight	Eye Color	Hair Cold	or	
3.	Are you a U.S. Yes 1 If no, immigration		or passport r	number:				
4.	Home Address	(Street and Nun	nber)					
	City					State	Zip Code	
5.	5. Do you currently own or have an interest in any business selling alcoholic beverages, wholesale cigarette or tobacco products, or a bottle club? Yes No If yes, provide the information requested below. The location address should include the city and state. Business Name (D/B/A) License Number							
	Location Addre	ess						
6.	6. Have you had any type of <u>alcoholic beverage</u> , or bottle club license, or cigarette, or tobacco permit refused, revoked or suspended anywhere in the past 15 years? Yes No If yes, provide the information requested below. The location address should include the city and state. Business Name (D/B/A) Date							
	Location Addre	ess						
7.	 Have you been convicted of a <u>felony</u> within the past 15 years? Yes No If yes, provide the information requested below and provide a Copy of the Arrest Disposition, as requested in the Application Requirements checklist. Date Location 							
	Type of Offens	<u> </u>						
8.	Have you been	convicted of an	offense invo	olving alcoh	olic beverages	or tobacco	products anywhere	
3:	within the past If yes, provide	5 years?	′es ☐ No requested	below and p			est Disposition, as	
	Date	Loca		<u> </u>				
	Type of Offens	e						

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9.	Have you been arreste within the past 15 years	d or issued a notice to appear in any state of the United States or its territories ? Yes No
		mation requested below and a Copy of the Arrest Disposition.
	Date	Location
	Type of Offense	
10.	☐ Yes ☐ No	ards of the moral character rule?
11.	Are you an official with ☐ Yes ☐ No	State police powers granted by the Florida Legislature?
		NOTARIZATION STATEMENT
inter appl STA	rested in this business a	
		APPLICANT SIGNATURE
The	foregoing was () Swor	n to and Subscribed OR () Acknowledged Before me thisDay
of	, 20	, Bywho is () personally (print name of person making statement)
knov	wn to me OR () who pro	oducedas identification.
		Commission Expires:
	Notary Public	

(ATTACH ADDITIONAL COPIES AS NECESSARY)

*Social Security Number

Under the Federal Privacy Act, disclosure of Social Security numbers is voluntary unless a Federal statute specifically requires it or allows states to collect the number. In this instance, disclosure of social security numbers is mandatory pursuant to Title 42 United States Code, Sections 653 and 654; and sections 409.2577, 409.2598, and 559.79, Florida Statutes. Social Security numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security numbers must also be recorded on all professional and occupational license applications and are used for licensee identification pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L.193, Sec. 317. The State of Florida is authorized to collect the social security number of licensees pursuant to the Social Security Act, 42 U.S.C. 405(c)(2)(C)(I). This information is used to identify licensees for tax administration purposes. This information is used to identify licensees for tax administration purposes, and the division will redact the information from any public records request.

		SEC	TION 4 – DESCRIPTION OF PREMISES TO BE LICENSED TO BE COMPLETED BY THE APPLICANT
Busir	ness Name	e (D/B/A)	
1.	Yes □	No □	Is the proposed premises movable or able to be moved?
2.	Yes □	No □	Is there any access through the premises to any area over which you do not have dominion and control?
3.	Yes □	No □	Is the business located within a Specialty Center? If yes, check the applicable statute: ☐ 561.20(2)(b)1, F.S. or ☐ 561.20(2)(b)2, F.S.
4.	Yes □	No □	Are there any mobile vehicles used to sell or serve alcoholic beverages?
5.	Yes □	No □	Are there more than 3 separate rooms or enclosures with permanent bars or counters?
premis are pa	es, walls, d	oors, count mises soug	e premises in ink, including sidewalks and other outside areas which are contiguous to the ers, sales areas, storage areas, restrooms, bar locations and any other specific areas which ht to be licensed. A multi-story building where the entire building is to be licensed must.

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SECTION 5 – AI	PPLICATION APPROVALS				
Full Name of Applicant: (This is the name the lice					
	51106 Will DO 100404,				
Business Name (D/B/A)					
Street Address					
City	County	State Zip Code FL			
TO BE COMPLETED BY THE ZONING AU	ZONING THORITY GOVERNING YOUR I	BUSINESS LOCATION			
A. The location complies with zoning require application for a Series: Type B license. C. This approval includes outside areas which premises sought to be licensed and are in the complex of t	ch are contiguous to the premised dentified on the sketch?" \[\] Yeportant for license fee sharing	es which are to be part of the Yes			
\square Location is within the city limits or \square L	·	-			
Signed	Date				
Title	This approval is	s valid for days.			
SALES TAX TO BE COMPLETED BY THE DEPARTMENT OF REVENUE					
TO BE COMPLETED BY	THE DEPARTMENT OF REVE				
	THE DEPARTMENT OF REVER IN THE DEPARTMENT OF THE	returns and that all ending This verification does not if no transfer involved).			
TO BE COMPLETED BY The named applicant for a license/permit has con Sales and Use Tax. 1. This is to verify that the current owner as name outstanding billings and returns appear to hav or the liability has been acknowledged and agr constitute a certificate as contained in Section 2. Furthermore, the named applicant for an Alcoh concerning registration for Sales and Use Tax,	THE DEPARTMENT OF REVER IN THE DEPARTMENT OF THE DEPARTME	returns and that all ending This verification does not if no transfer involved). Diled with Florida Statutes sees due.			
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TO BE COMPLETED BY The named applicant for a license/permit has con Sales and Use Tax. 1. This is to verify that the current owner as name outstanding billings and returns appear to hav or the liability has been acknowledged and agr constitute a certificate as contained in Section 2. Furthermore, the named applicant for an Alcoh concerning registration for Sales and Use Tax, Signed Title This approval is valid for days. TO BE COMPLETED BY THE D OR COUNTY OR DEPARTMENT OF AGE	THE DEPARTMENT OF REVE Inplied with the Florida Statutes of the din this application has filed all the been paid through the period of the deep paid through the period of the deep paid by the applicant. 212.10 (1), F.S. (Not applicable in the included period of the period of the deep paid by the applicable in the included paid and applicable taxes. Date Department HEALTH IVISION OF HOTELS AND RES TY HEALTH AUTHORITY RIMENT OF HEALTH RICULTURE & CONSUMER SER	returns and that all ending This verification does not if no transfer involved). Diled with Florida Statutes are due.			
TO BE COMPLETED BY The named applicant for a license/permit has con Sales and Use Tax. 1. This is to verify that the current owner as name outstanding billings and returns appear to hav or the liability has been acknowledged and agr constitute a certificate as contained in Section 2. Furthermore, the named applicant for an Alcoh concerning registration for Sales and Use Tax, Signed Title This approval is valid for days. TO BE COMPLETED BY THE D OR COUNTY OR DEPAR	THE DEPARTMENT OF REVE Inplied with the Florida Statutes of the din this application has filed all the been paid through the period of the deep paid through the period of the deep paid by the applicant. 212.10 (1), F.S. (Not applicable in the included period of the period of the deep paid by the applicable in the included paid and applicable taxes. Date Department HEALTH IVISION OF HOTELS AND RES TY HEALTH AUTHORITY RIMENT OF HEALTH RICULTURE & CONSUMER SER	returns and that all ending This verification does not if no transfer involved). Diled with Florida Statutes are due.			
TO BE COMPLETED BY The named applicant for a license/permit has con Sales and Use Tax. 1. This is to verify that the current owner as name outstanding billings and returns appear to hav or the liability has been acknowledged and agr constitute a certificate as contained in Section 2. Furthermore, the named applicant for an Alcoh concerning registration for Sales and Use Tax, Signed Title This approval is valid for days. TO BE COMPLETED BY THE D OR COUNTY OR DEPARTMENT OF AGE	THE DEPARTMENT OF REVE Inplied with the Florida Statutes of the din this application has filed all the been paid through the period of the deed to be paid by the applicant. 212.10 (1), F.S. (Not applicable in the period of the did not be paid by the applicable in the period of the	returns and that all ending This verification does not if no transfer involved). Diled with Florida Statutes are due.			
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SECTION 6 – APPLICANT ENTITY FELONY CONVICTION
Business Name (D/B/A)
Has the applicant entity been convicted of a felony in this state, any other state, or by the United States in the last 15 years? Yes No
If the answer is "Yes," please list all details including the date of conviction, the crime for which the entity was convicted, and the city, county, state and court where the conviction took place.
(Attach additional sheets if necessary)
SECTION 7 – SPECIAL LICENSE REQUIREMENTS (DOES NOT APPLY TO BEER AND WINE LICENSES))
Business Name (D/B/A)
Please check the appropriate "Special Alcoholic Beverage License" box of the license for which you are applying. Fill in the corresponding requirements for each Special License type.
☐ Quota Alcoholic Beverage License ☐ Special Alcoholic Beverage License ☐ Club Alcoholic Beverage License
This license is issued pursuant to,Florida Statutes or Special Act, and as such we acknowledge the following requirements must be met and maintained:
Please initial and date:
Applicant's Initials Date

SECTION 8 – DISCLOSURE OF INTERESTED PARTIES						
Note: Failure to disclose an interest, direct or indirect, could result in denial, suspension and/or revocation of your license. You MUST list all persons and entities in the entire ownership structure. To determine which of those persons must submit fingerprints and a Related Party Personal Information, sheet, see the fingerprint section in the application instructions.						
Business Name (D/B/A)						
1. When applicable, complete the app	propriate section below. Attach extra sheets if necessary					
Title/Position	Name		Stock %			
CORPORATION- List all officers, directors,	and stockholders					
GENERAL PARTNERSHIP – List all ge	neral partners		<u> </u>			
Ĭ.	·					
LIMITED LIABILITY COMPANY List all ma	anagers (member 2 non member) directors, officers, and member	oro				
LIMITED LIABILITY COMPANY - LIST AII THA	anagers (member & non-member), directors, officers, and member	318				
LIMITED PARTNERSHIP – List all gene	eral and limited partners.					
LIMITED LIABILITY PARTNERSHIP – I	ist all partners					
	-iot dir partitoro					
Bar Manager (Fraternal Organizations o	. ,					
These questions must be answ	OTHER INTERESTS vered about this business for every person or entity listed as	s the applica	nt			
	disclosed who have loaned money to the business?	☐ Yes	☐ No			
	disclosed that derive revenue from the license solely h the licensee, the substance of which is not related to the ages, or is exempt by statute or rule?	☐ Yes	☐ No			
3. Are there any persons or entities not disclosed that have the right to receive revenue based on a contractual relationship related to the control of the sale of alcoholic beverages?						
4. Are there any persons or entities not disclosed who have a right to a percentage payment from the proceeds of the business pursuant to the lease?						
5. Are there any persons or entities not disclosed who have guaranteed the lease or loan?						
6. Are there any persons or entities not disclosed who have co-signed the lease or loan?						
7. Is there a management contract, frar with this business?	nchise agreement, or concession agreement in connection	☐ Yes	☐ No			
8. Have you or anyone listed on this ap value in connection with this busines: Florida Administrative Code?	plication, accepted money, equipment or anything of s from any industry member as described in 61A-1.010,	☐ Yes	☐ No			
	ove questions, a copy of the agreement must be submi nent may require the interested persons or parties relat rty personal information sheet.					

SECTION 9 - AFFIDAVIT OF APPLICANT NOTARIZATION REQUIRED

Business Name (D/B/A)

"I, the undersigned individually, or on behalf of a legal entity, hereby swear or affirm that I am duly authorized to make the above and foregoing application and, as such, I hereby swear or affirm that the attached sketch is a true and correct representation of the entire area and premises to be licensed and agree that the place of business, if licensed, may be inspected and searched during business hours or at any time business is being conducted on the premises without a search warrant by officers of the Division of Alcoholic Beverages and Tobacco, the Sheriff, his Deputies, and Police Officers for the purposes of determining compliance with the beverage and retail tobacco laws." "I swear under oath or affirmation under penalty of perjury as provided for in Sections 559.791, 562.45 and 837.06, Florida Statutes, that the foregoing information is true and that no other person or entity except as indicated herein has an interest in the alcoholic beverage license and/or tobacco permit, and all of the above listed persons or entities meet the qualifications necessary to hold an interest in the alcoholic beverage license and/or tobacco permit."

STATE OF			
COUNTY OF			
APPLICANT SIGNATURE			
APPLICANT SIGNATURE The foregoing was () Sworn to and Subsc	cribed OR () Acknowledged Before me	this	Day
of, 20, By(print r	. ,		•
known to me OR () who produced		as	identification.
Notary Public	Commission Expires: _		

SECTION 10 - AFFIDAVIT OF TRANSFEROR NOTARIZATION REQUIRED Business Name (D/B/A) I, the undersigned, hereby swear or affirm that I am duly authorized to make this affidavit and do hereby consent, on my behalf or on behalf of the transferor, to the above transfer, and represent to the Division of Alcoholic Beverages and Tobacco that the license which is being transferred is as shown in the application and that a bona fide sale in good faith has been made to the within applicant of the business for which the foregoing transfer of license is sought. STATE OF_____ COUNTY OF_____ TRANSFEROR OR AUTHORIZED SIGNATURE TRANSFEROR OR AUTHORIZED SIGNATURE The foregoing was () Sworn to and Subscribed OR () Acknowledged Before me this ______Day known to me OR () who produced _____as identification. Commission Expires: Notary Public

Auth: 61A-5.010, FAC

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SECTION 11 - CURRENT LICE			
This section is to be completed for all current alcoholic		tobacco licer	nse holders listed on the
application to ensure the most up to date information is Business Name (D/B/A)	captured.		
Dusiness Name (DIDIA)			
Last Name Fire	st		M.I.
Current Alcohol Beverage and/or Tobacco License Per	mit/Number(s)		
Date of Birth	Social Security N	lumber*	
Street Address			
City		State	Zip Code
Last Name Fire	st		M.I.
Current Alcohol Beverage and/or Tobacco License Per	mit/Number(s)		
Date of Birth	Social Security N	lumber*	
Street Address			
City		State	Zip Code
Last Name Fire	st		M.I.
Current Alcohol Beverage and/or Tobacco License Per	mit/Number(s)		
Date of Birth	Social Security N	umber*	
Street Address			
City		State	Zip Code
Last Name Fire	st		M.I.
Current Alcohol Beverage and/or Tobacco License Per	mit/Number(s)		
Date of Birth	Social Security N	umber*	
Street Address			
City		State	Zip Code
Last Name Fire	st		M.I.
Current Alcohol Beverage and/or Tobacco License Per	mit/Number(s)		
Date of Birth	Social Security N	umber*	
Street Address			
City		State	Zip Code

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Quota License Transfer Fee Computation

STATE OF FLORIDA DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION

NOTE – This form must be submitted as part of an application packet

-	SECTION 12 – TRANSFER FEE COMPUTATION (QUOTA LICENSE ONLY)					
Business Name (D/B/A) License Number						
Date Seller Obtained License:						
FIRST YEAR	AMOUNT OF SALES	SECOND Y	'EAR	AMOUNT OF SALES	THIRD YEAR	AMOUNT OF SALES
FIRST YEAR =	\$					
SECOND YEAR	= \$					
THIRD YEAR =	\$					
TOTAL =	\$		divide	ed by 3 =	_	
				X.004 =	_ = Transfer fee	

This form is also provided in a Microsoft Office Excel format which will calculate the transfer fee for you once the figures are entered. This form can be found at:

QuotaTransfer FeeComputation.xls.

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SECTION 13 - DEPARTMENT OF REVENUE CLEARANCE COMPLETE THIS SECTION IF APPLYING FOR A TRANSFER OF OWNERSHIP NOTARIZATION REQUIRED

Business Name (D/B/A)

The following information is extremely important and should be read in its entirety. Because of restrictions placed on the Department of Revenue in divulging confidential tax information, the business activity of the previous owner cannot be discussed without expressed written consent. Therefore, if this application is for the transfer of an alcoholic beverage license, the following section of this form must be completed before the Department of Revenue can approve your application. If the owner is unwilling to complete this disclosure form, you may request a meeting with a Department of Revenue representative and the owner jointly to discuss any potential liability for which you could be held responsible.

to complete this disclosure form, you may request a meeting with a Departme to discuss any potential liability for which you could be held responsible.	nt of Revenue re	epresentative and the owner jointly			
DO NOT RETURN THIS FORM TO AB&T WIT	H YOUR APP	LICATION			
Purchaser's Name					
Business Name	Sales Tax Number				
Street Address	I				
City	State FL	Zip Code			
Signature of Owner, Partner, or Principal of Legal Entity					
This section must be completed by the present owner of this accompany your application for sales tax registration.	alcoholic be	verage license and must			
I, the undersigned individually, or if a corporation or other legal er	itity, for itself a	and its related parties,			
hereby authorize the Department of Revenue to release to the ab	ove purchase	r, the Division of Alcoholic			
Beverages and Tobacco, and		_ the status of my account			
number					
Seller's/Transferor's Name or Entity					
Signature of Owner, Partner, or Principal of Legal Entity					
STATE OF					
COUNTY OF					
The foregoing was () Sworn to and Subscribed OR () Acknowle	edged Before	me thisDay			
of, 20, By(print name(s) of person(s) ma	aking stateme	who is () personally nt)			
known to me OR () who produced		as identification.			
Com	mission Expir	res:			
Notary Public					

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