

The security represented by the transfer overleaf has been sold as follows: -

One Preference.....	Shares/Stock	Shares/Stock
.....	Shares/Stock	Shares/Stock
.....	Shares/Stock	Shares/Stock
.....	Shares/Stock	Shares/Stock
.....	Shares/Stock	Shares/Stock
.....	Shares/Stock	Shares/Stock

Balance (if any) due to Selling

Broker(s).....

Amount of Certificate(s).....One.....

Brokers Transfer Forms for above amounts certified

Stamp of Certifying Stock Exchange .

Stamp of Selling Broker(s).

FORM OF CERTIFICATE REQUIRED WHERE TRANSFER IS NOT LIABLE TO AD VALOREM STAMP DUTY

I/We hereby certify that the transaction in respect of which this transfer is made, and under which the fixed Duty of five punds is payable, falls within the following description:-

- (a) Vesting the property in trustees on the appointment of a new Trustee of a pre-existing Trust, or on the retirement of a Trustee.
- (*b) A transfer, where no beneficial interest in the property passes, (i) to a mere nominee of the Transferor, (ii) from a mere nominee of the Transferee, (iii) from one nominee to another nominee of the same beneficial owner.
- (*c) A transfer by way of security for a loan; or a re-transfer to the original Transferor on repayment of a loan.
- (d) A transfer to a residuary legatee of Shares, etc., which forms part of the residue divisible under a Will.
- (e) A transfer to a beneficiary under a Will of a *specific legacy* of Shares, etc.
- (f) A transfer of Shares, etc., being the property of a person dying intestate, to the person or persons entitled thereto.
- (g) A transfer to a beneficiary under a settlement on distribution of the trust funds, of Shares, etc., forming the share, or part of the share of those funds to which the beneficiary is entitled in accordance with the terms of the settlement.
- (h) A transfer on the occasion of a marriage to trustees of shares, etc., to be held on the terms of a settlement made in consideration of marriage.
- (I) A transfer by the liquidator of a Company of Shares, etc., forming part of the assets of the Company, to which the Transferee is entitled in satisfaction or part satisfaction of his rights as a Shareholder of the Company.

Here set out con-
 cisely the facts.....
 explaining.....
 the transaction in
 cases falling
 within (b) and (c)
 or in any case
 which does not
 clearly fall within.....
 any one of the
 clauses (a) to (g).
 Adjudication in any
 Case may be required.....

To.....

Date..... 200.....

Transferor*
.....
.....
.....

Transferee*
.....
.....
.....

Signature

Description

*Note:- The above Certificate must be signed in the case of (b) and (c) either by (1) all the transferors, or (2) a member of the Stock Exchange or a Solicitor acting for one or other of the parties, or (3) an accredited representative of a Bank. Where the Bank or it's official nominee is a party to the transfer, the Certificate may be to the effect that "the transfer is excepted from Section 74 of the Finance(1909-10) Act, 1910". The above Certificate in other cases should be signed by a Solicitor or other person (e.g., a Bank acting as Trustee or Executor) having a full knowledge of the facts.