



Pennsylvania Gaming Control Board

INSTITUTIONAL INVESTOR NOTICE OF OWNERSHIP FORM

PLEASE READ ALL INSTRUCTIONS CAREFULLY. AS PART OF THE INVESTIGATORY PROCESS YOU MAY BE ASKED TO SUPPLY ADDITIONAL DOCUMENTS OR INFORMATION.

INSTRUCTIONS

PENNSYLVANIA GAMING IS GOVERNED BY THE PENNSYLVANIA RACE HORSE DEVELOPMENT AND GAMING ACT (ACT) SET FORTH IN 4 PA.C.S. PART II, ENACTED BY THE ACT OF JULY 5, 2004 (P.L. 572, No. 71), AS AMENDED, AND 58 PA. CODE PART VII, GAMING CONTROL BOARD (REGULATIONS).

THE PURPOSE OF THIS NOTICE IS TO INFORM THE PENNSYLVANIA GAMING CONTROL BOARD (BOARD) OF INSTITUTIONAL INVESTORS THAT HAVE FILED AND REMAIN ELIGIBLE TO FILE A STATEMENT OF BENEFICIAL OWNERSHIP ON A SCHEDULE 13G WITH THE SECURITIES AND EXCHANGE COMMISSION (SEC).

INSTITUTIONAL INVESTOR IS DEFINED AS "A RETIREMENT FUND ADMINISTERED BY A PUBLIC AGENCY FOR THE EXCLUSIVE BENEFIT OF FEDERAL, STATE OR LOCAL PUBLIC EMPLOYEES, INVESTMENT COMPANY REGISTERED UNDER THE INVESTMENT COMPANY ACT OF 1940 (15 U.S.C.A. §§ 80A-1—80A-64), COLLECTIVE INVESTMENT TRUST ORGANIZED BY BANKS UNDER PART NINE OF THE RULES OF THE COMPTROLLER OF THE CURRENCY, CLOSED END INVESTMENT TRUST, CHARTERED OR LICENSED LIFE INSURANCE COMPANY OR PROPERTY AND CASUALTY INSURANCE COMPANY, BANKING AND OTHER CHARTERED OR LICENSED LENDING INSTITUTION, INVESTMENT ADVISOR REGISTERED UNDER THE INVESTMENT ADVISORS ACT OF 1940 (15 U.S.C.A. §§ 80B-1—80B-21), AND OTHER PERSONS REGISTERED IN ANY FOREIGN JURISDICTION AND REGULATED PURSUANT TO A STATUTE OF ANY FOREIGN JURISDICTION THAT THE BOARD DETERMINES TO BE SUBSTANTIALLY SIMILAR TO EITHER OR BOTH OF THE AFOREMENTIONED STATUTES."

COMPLETING THIS FORM:

1. THIS FORM SHOULD BE COMPLETED BY AN INSTITUTIONAL INVESTOR THAT HAS FILED AND WILL REMAIN ELIGIBLE TO FILE A STATEMENT OF BENEFICIAL OWNERSHIP (13G) WITH THE SEC.
2. YOU MUST MAKE ACCURATE STATEMENTS AND INCLUDE ALL MATERIAL FACTS. ANY MISREPRESENTATION, FALSIFICATION OR OMISSION, MAY BE SUBJECT TO CRIMINAL PENALTIES UNDER 18 PA C.S.A. §§ 4902, 4903, AND 4904.
3. ALL ENTRIES ON THIS FORM, EXCEPT INITIALS AND SIGNATURES, MUST BE TYPED OR PRINTED IN BLOCK LETTERING USING DARK INK. IF YOUR FORM IS NOT LEGIBLE, IT WILL NOT BE ACCEPTED. ALL PAGES MUST BE INITIALED USING BLUE INK.
4. IF YOU MAKE ANY MODIFICATION TO THE PRE-PRINTED QUESTIONS OR INFORMATION CONTAINED IN THIS FORM, YOUR FORM WILL BE REJECTED. ONCE YOUR FORM IS SUBMITTED, IT BECOMES THE PROPERTY OF THE BOARD AND WILL NOT BE RETURNED.
5. THE ORIGINAL AND ONE PAPER COPY OF THE FORM MUST BE SENT TO THE PENNSYLVANIA GAMING CONTROL BOARD, BUREAU OF LICENSING, 303 WALNUT STREET, FIFTH FLOOR, VERIZON TOWER, HARRISBURG, PENNSYLVANIA 17101.
6. RETAIN A COMPLETED COPY OF THIS FORM FOR YOUR OWN RECORDS.
7. A SEPARATE FORM MUST BE COMPLETED FOR EACH PENNSYLVANIA APPLICANT/LICENSEE IN WHICH THE INSTITUTIONAL INVESTOR HOLDS OWNERSHIP.

FEES:

THERE IS NO FEE ASSOCIATED WITH THE FILING OF THIS NOTIFICATION.

INSTITUTIONAL INVESTOR'S NAME

BUSINESS NAME AS IT APPEARS ON LICENSEE'S CERTIFICATE OF INCORPORATION, CHARTER, BYLAWS, PARTNERSHIP AGREEMENT OR OTHER OFFICIAL DOCUMENTS (SPELL OUT COMPLETE NAME, DO NOT USE ABBREVIATIONS).

TRADE NAME(S) AND DOING BUSINESS AS ("DBA") NAMES

CONTACT NAME

FIRST NAME	MIDDLE NAME	LAST NAME	SUFFIX (JR., SR., ETC.)
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TITLE	INDIVIDUAL EMAIL ADDRESS
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PHONE NUMBER ()	FAX NUMBER ()
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INSTITUTIONAL INVESTOR'S MAIN ADDRESS

ADDRESS LINE 1

CITY	STATE/PROVINCE	POSTAL CODE
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COUNTRY	EMAIL ADDRESS
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PHONE NUMBER ()	FAX NUMBER ()
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SEC FILINGS

DOES THE INSTITUTIONAL INVESTOR COMPLETING THIS FORM FILE AND REMAIN ELIGIBLE TO FILE A STATEMENT OF BENEFICIAL OWNERSHIP ON SCHEDULE 13G WITH THE SEC? YES NO

IF YES, ATTACH TO THIS NOTICE A COPY OF THE MOST RECENT SCHEDULE 13G FILED WITH THE SEC.

OWNERSHIP

NAME OF PENNSYLVANIA APPLICANT/LICENSEE THE INSTITUTIONAL INVESTOR HOLDS OWNERSHIP IN:	TOTAL AMOUNT OF OWNERSHIP HELD:
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AFFIRMATION

THE INSTITUTIONAL INVESTOR'S AUTHORIZED SIGNATORY AFFIRMS THAT THE INFORMATION PROVIDED HEREIN IS TRUE AND CORRECT AND THAT THERE IS NO MISREPRESENTATION, FALSIFICATION OR OMISSION IN THIS FORM. FURTHER, THE INSTITUTIONAL INVESTOR'S AUTHORIZED SIGNATORY IS AWARE THAT ANY FALSE OR MISLEADING STATEMENT OR OMITTED INFORMATION MAY BE SUBJECT TO CRIMINAL PENALTIES UNDER 18 PA C.S.A. §§ 4902, 4903, AND 4904.

AFFIRMATION DATE ____/____/20____

PRINT NAME OF INSTITUTIONAL INVESTOR'S AUTHORIZED SIGNATORY AND TITLE

SIGNATURE OF INSTITUTIONAL INVESTOR'S AUTHORIZED SIGNATORY

SUBSCRIBED AND SWORN TO ME THIS _____ DAY OF

_____ OF 20_____.

NOTARY PUBLIC

MY COMMISSION EXPIRES ON ____/____/20____